Data management in bullying, harassment and research misconduct cases

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### Context







Working in a positive research culture is important to produce high quality research. UK research is based on a system of self-regulation.

Funders and employers have clear, distinct processes for when things don't go right. Funders hear from employers, complainants and respondents that releasing information about investigations is of concern....

#### **Employer**

- "You don't have a right to know"
- "You don't need to know"
- "It may bring adverse publicity"
- "We may fail in our duty of care"
- "We may be sued for defamation"
- "Other researchers may be impacted if funding is removed"
- "We may be fined for a data breach"

#### **Complainant and/or respondent**

- "I may lose my funding"
- "It may affect my career"
- "It may affect my reputation"
- "It will affect my application "
- "Information will be kept on my record forever"
- "I need to be consulted on all information shared with another organisation. You can't give anybody information about me without asking"

#### Common perception is that 'GDPR says no'

#### What we want to achieve

There is a need to provide greater clarity about sharing of information to:

- develop a common understanding for data sharing and overcome barriers about information sharing for case management
- clarify the lawful basis for the sharing of data in bullying, harassment and research misconduct cases
- provide information and guidance to organisations to increase confidence in sharing information

### How we did it







Designed a set of stand-alone modules to provide information, guidance, support and clarity to funders and employers. Consulted with people working in the system who have responsibilities associated with investigations and cases. Drafted and redrafted the modules until we agreed they were clear, concise, and useful.

#### The end-product

Four downloadable video modules

- 1. General overview of information sharing and associated issues in bullying, harassment and research misconduct cases
- 2. General Data Protection Regulation and how it impacts on information sharing
- 3. What employers can do to enable sharing with funders where required
- 4. Employer perspectives on adapting to funders' policies and remaining compliant with GDPR

### Mock-up of how the modules would be presented



## GDPR and how it impacts on B&H and RM case management

# Thank you!

Any questions?









