

China's Research Integrity Monitoring System study and application

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Note: This report only represents personal opinions and does not represent the views of any agency.

Outlines

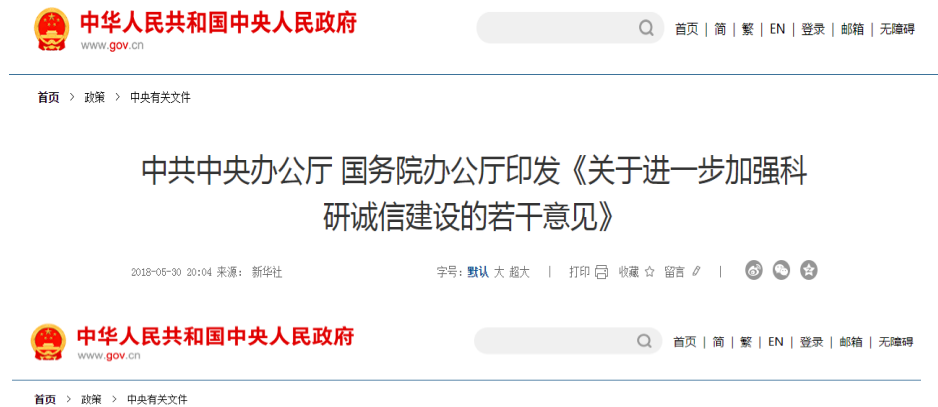
- 💬 **Why** do we need Research Integrity Monitoring System(RIMS)?
- 💬 **What** should RIMS be in China?
 - Research and analysis*
 - The framework of China's RIMS*
- 💬 The **application** of the RIMS in China
- 💬 Discussion.

1. Why do we need RI monitoring system?

■ *The retraction paper incident in 2017 (Tumor biology) has made people eager to know what the integrity status is in China.*

■ *Next year, the policy “Opinions on further strengthening research integrity” was issued by central government indicated that the government need to do the monitoring and evaluation on RI.*

■ *However, there is no RIMS that can be used directly.*



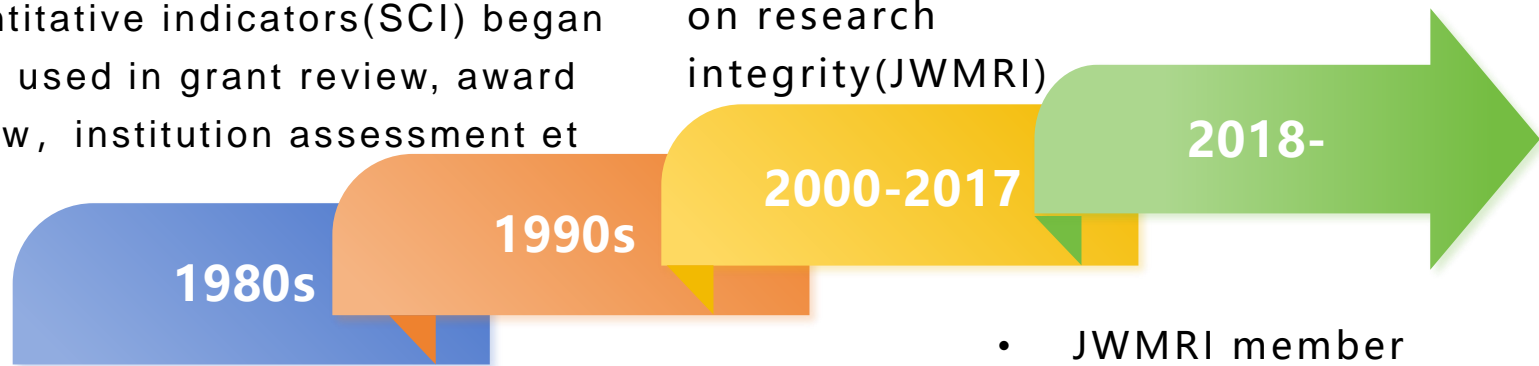
“Conduct dynamic monitoring ..., results serve as an important basis for improving related work, as well as performance evaluation of research institutions and government funding for enterprises.”
--Opinions on further strengthening research integrity by Chinese Central government

2. What should RIMS be in China?

- *Nothing can be evaluated in isolation from its original historical context.*
- *Understanding the **development process** of RI in China is of great significance to know the status and the problem of RI field in China.*

- Misconduct appeared, government paid attention on it.
- Quantitative indicators(SCI) began to be used in grant review, award review, institution assessment et al.

- Joint working mechanism on research integrity(JWMRI)



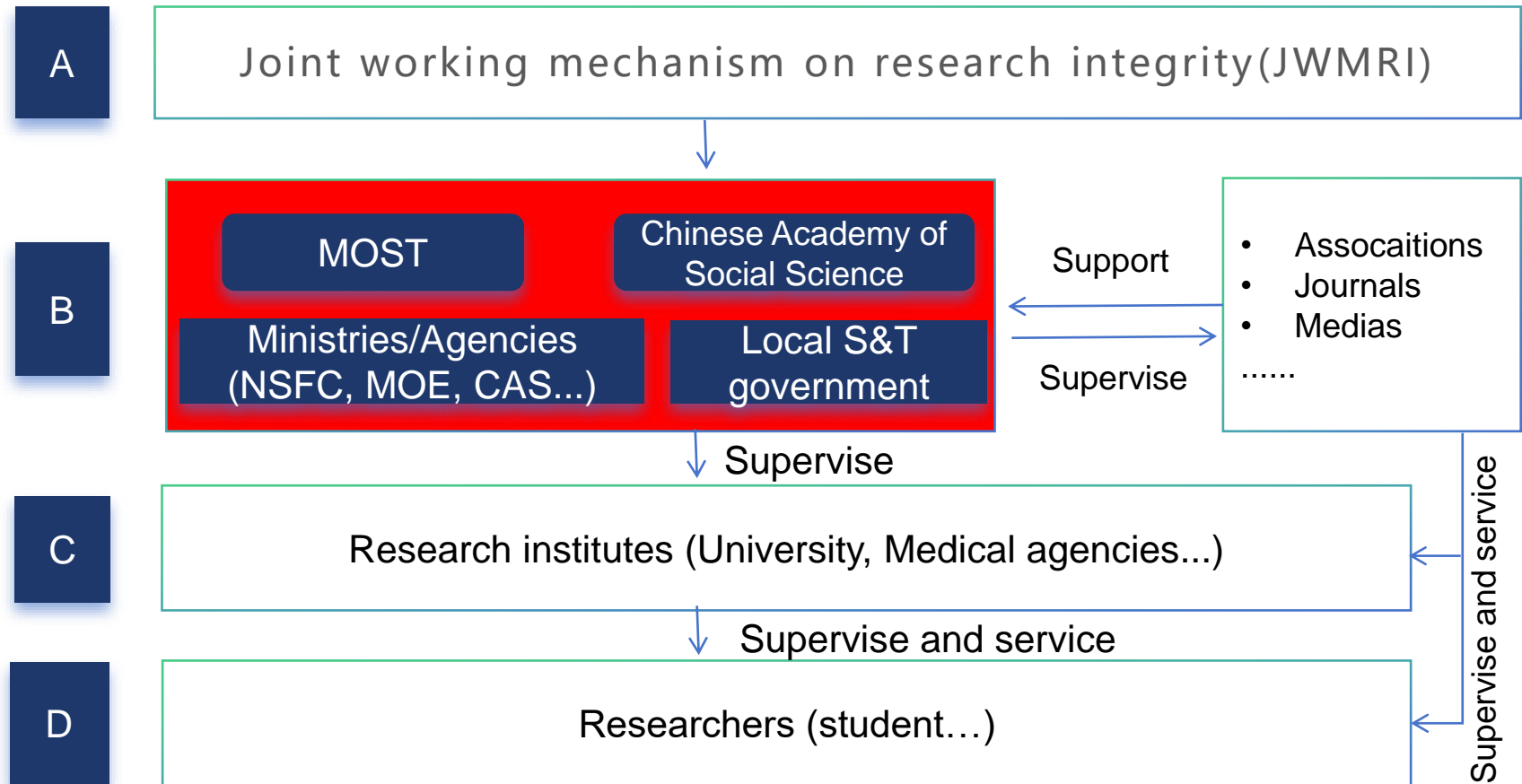
Representative event

- Ethics and Equity Committee(CAS, 1996)
- Scientific Ethics Committee (CAST,1996)
- Supervisory Committee(NSFC)

- JWMRI member increased from 6 to 21.
- Policy system
- Management system
- ...

2. What should RIMS be in China?

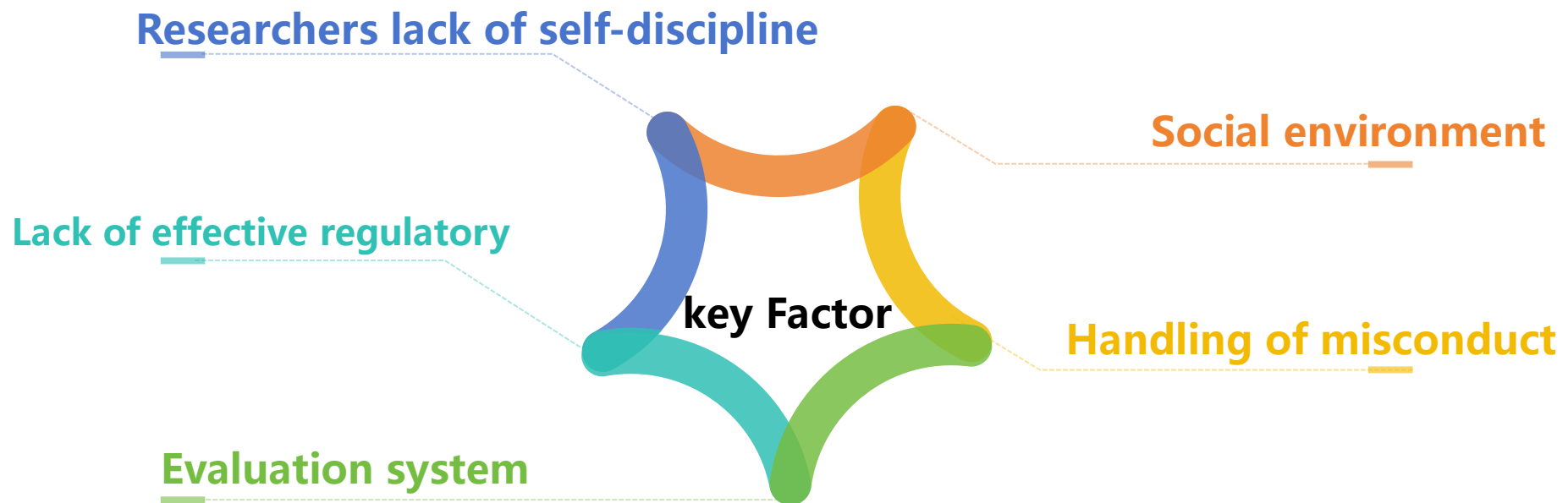
• China's Research Integrity Management System



(Summarized by Yao Yang)

2. What should RIMS be in China?

- *Key Influencing Factors of Research Integrity*



Referenced the Fourth National Survey Report on Science and Technology professionals conducted by Chinese Association for Science and Technology.

2. What should RIMS be in China?

- *Studies, surveys and monitoring activities*

Models

- Academic Integrity Maturity Model (Irene Glendinning group)
- Academic Integrity Rating System (International Center for Academic Integrity)

Annual report

- Finland
- Austria
- Denmark

Survey

- Netherlands
- Norway
- European
- USA
- Finland

2. What should RIMS be in China?

● Key Indicators

🗨️ **Level 1** Misconduct

- One purpose of RI work is to eliminate it.

🗨️ **Level 2** policy, investigation & handling, training, management office

- fundamental for research institutions to promote RI excellent

🗨️ **Level 3** information disclosure, cooperation, research

- play important roles in creating good RI environments

🗨️ **Level 4** social satisfaction

- directly reflect the quality of RI



2. What should RIMS be in China?

- It should be--**comprehensiveness, dynamicity, operability, efficiency**

Primary indicator	Secondary indicator	Data collection method
RI policy	1. Central government RI policy	ab
	2. Local government RI policy	ab
	3. Research institution RI policy	<u>ce</u>
	4. Association for Science and Technology RI policy	<u>ce</u>
	5. Journal RI policy	<u>ce</u>
RI management institute	6. RI management institution of national level	a
	7. RI management institution of local government	ab
	8. RI management department of research institution	<u>aef</u>
	9. <u>RI informatization</u>	a

Note: a, desk study. b, network retrieval. c, questionnaire survey. d, bibliometric. e, interview. f, field visit.

Training and education	10. Training in national level	ab
	11. Training in local level	<u>ae</u>
	12. Training in Association for Science and Technology	<u>cef</u>
	13. Training in research institution	<u>cef</u>
Misconduct occurrence and handing	14. Curriculum education in research institution	<u>cef</u>
	15. misconduct handing in national level	<u>abd</u>
	16. Misconduct occurrence in research institution	<u>cd</u>
Misconduct paper retraction	17. misconduct handing in research institution	<u>cd</u>
	18. Retraction of international papers	d
RI academic study and cooperation	19. Types of misconducts	d
	20. International papers in RI field	d
Social satisfaction	21. Important meeting or cooperation in RI field	ab
	22. Social satisfaction	c
	23. Feelings on the occurrence of misconduct around them	c

3. The application of the RIMS in China

- The system has been used in China since 2018, it was optimized on some indicators during these years.



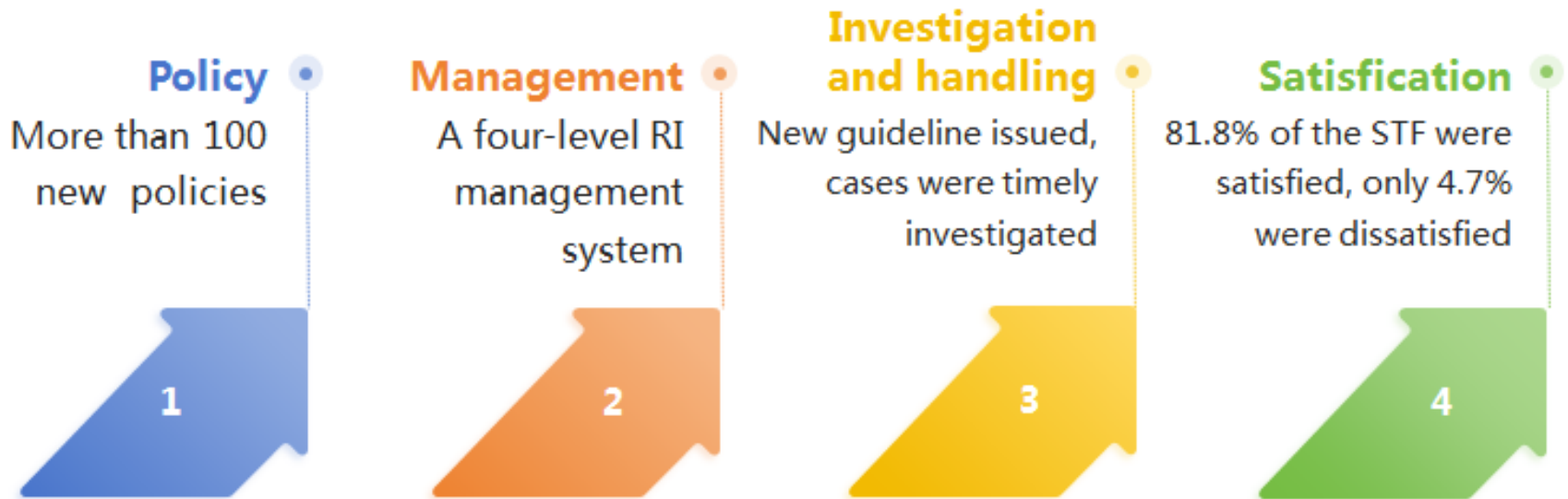
- 🗨️ **Desk study:** *more than 200 reports and papers*
- 🗨️ **Questionnaire survey:** *420 institutions, 36 Associations, 14014 researchers*
- 🗨️ **Interview:** *experts in RI field, RI manager in institutions*

- 🗨️ **Network retrieval:** *government websites related to RI*
- 🗨️ **Bibliometric:** *more than 29000 retracted papers in RETRACTION WATCH*

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4. Discussion

- *By analyzing on the monitoring data, it's not difficult to find that China has made great progress in RI in the past few years.*



4. Discussion

• **Challenges as well as the world**

💬 Assessment on science and technology

💬 scientific and industrial revolution

💬 paper mills

💬

Our monitoring system has some limitations

- First, the monitoring activity requires enough **funding** for the data collection, data analysis, interview.....
- Second, it's difficulties to collect some indicator **data** in the monitoring process, such as the misconduct data.
- Third, the monitoring system is **not universal**, it is valuable for other countries to reference but can not be used unchanged.

Thanks for your attention!

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