

# Conflicts of Interest in Research across Scholarly Disciplines:

Cross-sectional study and qualitative content analysis of policies

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**Helena Van Beersel Krejcikova**, Christoffer Bruun Korfitsen, Lisa Bero, Jason Dana, David C Dorman, Quinn Grundy, Morten Rosenmeier, Asbjørn Hróbjartsson, Andreas Lundh









# Conflicts of Interest in Research across Scholarly Disciplines:

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No Conflict of Interest to Declare.









## **Conflicts of Interest: Definitions**

a set of circumstances that creates **a risk** that professional judgment or actions regarding **a primary interest** (integrity in research) will be **unduly influenced** by a **secondary interest** 

Institute of Medicine Report, 2009

a collision between **a duty** (office/position/ work-related duty) and **a tangible or intangible** interest, where the duty vs. interest collision tempts the person concerned to disregard their duty and constitutes **a threat** to the interest of someone else

Villarán A, 2020

## **Conflicts of Interest in Research**

A comparison of policy provisions for managing "financial" and "non-financial" interests across health-related research organizations: A qualitative content analysis Grundy, 2020.

Why Having a (Nonfinancial) Interest Is Not a Conflict of Interest Bero, 2016.

Mapping conflict of interests: scoping review Chimonas, 2021

Conflicts of Interest at Medical Journals: The Influence of Industry-Supported Randomised Trials on Journal Impact Factors and Revenue – Cohort Study Lundh, 2010.

IN MEDICAL RESEARCH, EDUCATION, AND PRACTICE

Inevitable.

Not per se unethical ....

....if properly disclosed and managed.

Proper disclosure/management strategies?

Not exclusive to medicine:

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IN MEDICAL RESEARCH, EDUCATION, AND PRACTICE

IoM, 2009.



Scientists' financial intere they write, studies sugge Frank van Kolfschooten 2002.

Implementation Of Financial Disclosure Policies To Manage Conflicts Of Interest Boyd, 2004.

A comparison of conflict of interest policies at peer-reviewed journals in different scientific disciplines Ancker, 2007.

Conflicts of Interest in Academic Research: Policies, Processes, and Attitudes Lipton, 2010.



# Aim & Objectives

investigate how conflicts of interest in research are addressed across scholarly disciplines: economics, engineering, environmental toxicology, law, medicine

- i. Definitions of conflict of interest
- ii. Types of interests potentially resulting in conflicts of interest.
- iii. Disclosure.
- iv. Management.
- v. Cross-disciplinary commonalities and differences.
- ? Better fit-for-purpose strategies

#### SDU 🏠

## **Methods**

Economics
Engineering
Env. Toxicology
Law
Medicine

top 20 journals per discipline

multidicsipl.
organisations

major 20 Publishers

top public universities international journal associations

main public funding agencies

## **Methods**

SDU 🏠

top 20 journals per discipline

multidicsipl.
organisations

major 20 publishers

### Clarivate

Journal Citation Reports™



Rankings <

top public universities

international journal associations

main public funding agencies



# **Methods: Triangulation**

"Your query has been forwarded to the Editor." (...)

"Unfortunately, the organisation is not taking inquiries at this time."

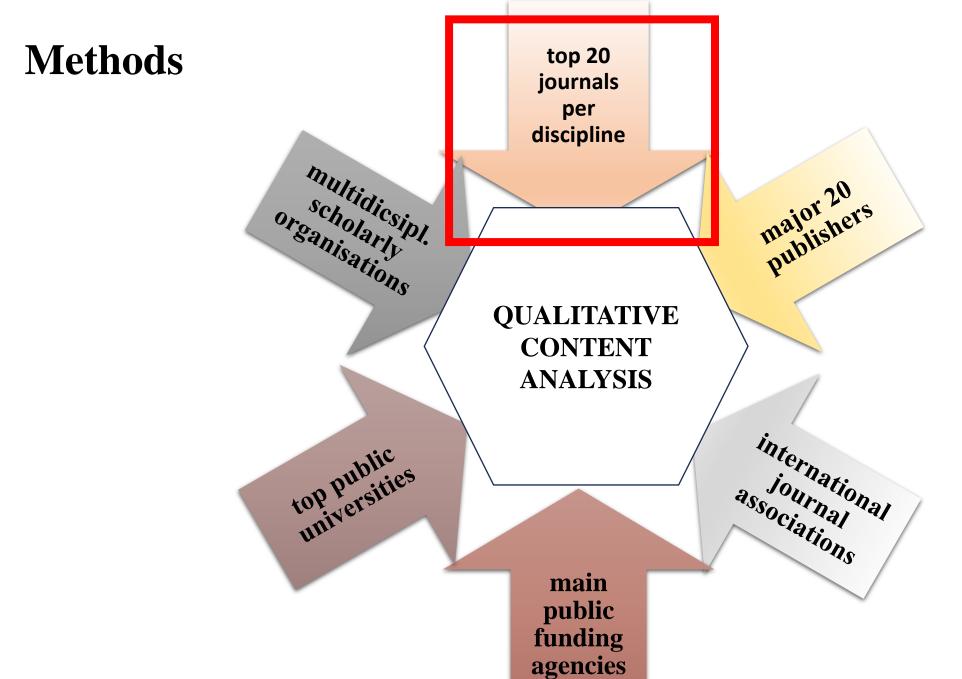
"Please kindly find all the information on the Journal's website."

SDU 🎓

# **Methods**







## Results: Publishers' Influence on Journals' CoI Policies

**Journals** (N = 100)

54%

Journal's\* and publisher's policies 14%

Only journals' policies\* 32%

<sup>\*</sup> including journal's internal policies

## Results: Publishers' Influence on Journals' Policies

Strictly unifying publisher's policy
Taylor and Francis, Nature Portfolio, Annual Reviews

Harmonizing effect of publisher's policy ("aligned with"): *Elsevier - One Earth* 

Subsidiarity of publisher's policy: Wiley - Comprehensive Reviews in Food Science and Food Safety

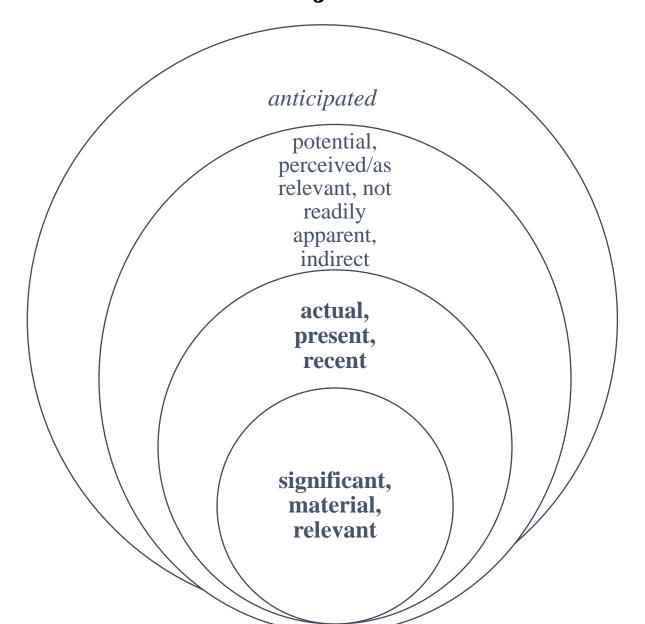
Diversity (law, economics)

Oxford Academic - Quarterly Journal of Economics, Journal of Environmental Law

Non-Compliance (request for anonymity)



# Results: Range of Interests Subjected to Journals' Policies





# Results: Types of Interests Targetted by Journals' Policies

#### **Stakeholders**

#### authors

financial (conflicts of) interests

Comprehens. Rev. in Food Science and Food Safety

all conflicts of/competing interests/ interests

#### reviewers, editors

relationships towards authors, manuscripts Review of Financial Studies

all conflicts of/competing interests/ interests

#### Financial tresholds

#### subjective criterion

"any undeclared competing financial interests that could embarrass you were they to become publicly known after your work was published" *Nature Portfolio* 

#### objective criterion

financial support summing to at least US\$10,000 in the past three years

Econometrica

# Results: Fit-for-purpose disclosure, management and enforcement strategies in Journals' Policies?

#### **Authors Conflicts of interest**

Disclosure. No management, no enforcement.

Energy policy

#### Disclosure $\rightarrow$ ?

"The disclosure MAY be published with the article." Transnational Environmental Law

#### **Disclosure** + preventive management

"We do not...publish pieces for which the author was paid taxable income by an organization other than the relevant employer ....." Stanford Law Review

#### Disclosure + enforcement of disclosure. No management.

revocation of acceptance: Yale Law Review,

authors correction: Advances in Optics and Photonics

journal erratum: Annual Reviews

retraction: Taylor and Francis

#### **Reviewers/Editors Conflicts of Interest**

**Disclosure** → management

another editor, reviewer assigned

# No disclosure. Management of reviewer's conflicts of interests

IEEE Communications Surveys and Tutorials



## Conclusion: Conflict of Interest in Journals' Policies

= to significant extent: conflict of interest in publishers' policies

fragmental, hard to navigate (even for journals themselves)

diverse (legal and economics journals)

reflecting on current debates:

having a "non-financial conflict of interest": having other interests/relationship, professional, personal, or business concerns, outside activities

Bero L. PLoS Biol 2016



**Questions?** 

# Thank you for your attention.







