

When Research Collaboration and Equitable Partnerships Go Wrong:

The case study of a South African indigenous community and an international collaboration.

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1. Introduction

NEWS AFRICA

Major U.K. genetics lab accused of misusing African DNA

Sanger Institute's aborted effort to develop gene chip could set back research in Africa

Stellenbosch University (SU) received unfortunate global attention when it became apparent through a whistleblower that human tissue collected from an indigenous population for research purposes only, was used for commercialisation purposes by an external organization (Sanger), during an international collaboration¹.

- Legal stance violation of rights, halt further commercialisation.
- Research Integrity is there a case of misconduct?
- Ethics: Social justice what about the indigenous community?
- Scope of Informed consent from the participants: research, commercialisation?
- Movement of material and data by collaborators to the implicated external party – How did this happen?
- What has gone wrong and where?
- Contracts governing the transfer of material, collaboration and agreed jurisdiction
- Roles and responsibilities of all involved
- How to approach the collaborators who were involved in the movement of material?

3. Subsequent considerations

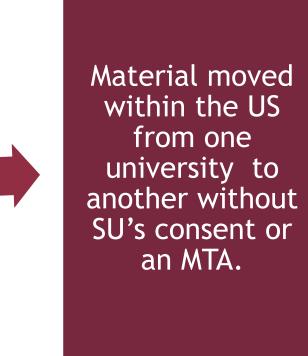
- Patient consent was limited to research purposes only key to this matter
- Movement of material from South Africa to the US to the UK
- Difference in Human Tissue Act USA law doesn't regard extracted DNA as human tissue, whereas SA law does. Jurisdiction is SA as agreed in contracts.
- SU, as custodians of material and data, handed over that role to a collaborator – lost control
- Appropriate actions against collaborator
- Appropriate legal actions against Sanger
- Equitable international partnerships in research

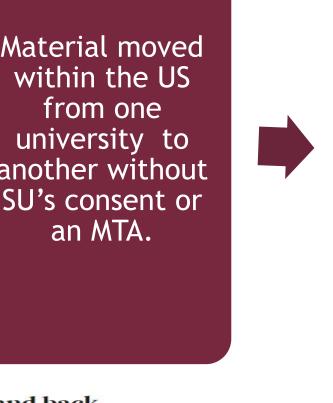


A Way to Monetize African People: their DNA

4. What went wrong?

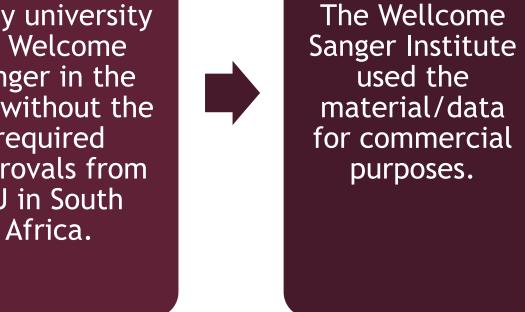
Material was moved from South Africa to the US under an MTA which prohibited the transfer of the material to any other third parties, without SU's written consent. Required export permits and ethics clearances were in place.

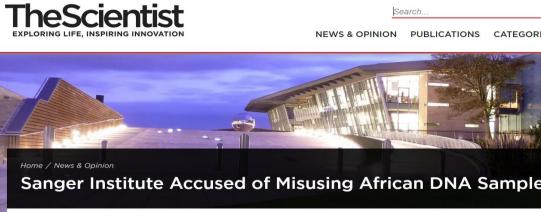


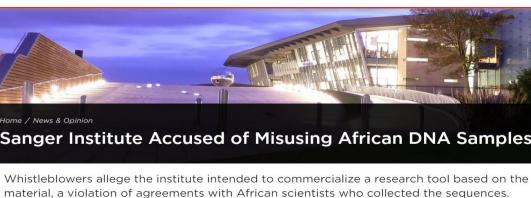












5. And why?

- Ignorance/negligence by the US partner in ensuring that the terms of the MTA were upheld when a researcher leaves – poor management and lack of understanding of legal application of Human Tissue Act & transfer of genetic material between different countries.
- The Wellcome Sanger Institute did not conduct thorough due diligence prior to commercialisation.
- Poor operational control in the lab.
- Stellenbosch University was only approached by Sanger to discuss benefitsharing after whistle-blowing.
- As the original patient consent was not obtained for any commercial purposes, SU could not enter into a benefit-sharing agreement and instead requested that all

commercial actions be terminated and all material be returned.

Stellenbosch University demands return of DNA samples - but UK lab hits back TimesLIVE Subscribe now

6. Outcomes & Lessons learned

Outcomes

- SU reclaimed custodianship of material and data and requested the return of DNA samples. ^{5,6}
- SU initiated transparent communication with the indigenous community.

Lessons learned

- Value of human genomic data
- Governance in the Operational sphere tracking of tissue/DNA



- Differences in legislation especially with regards to DNA highlight to collaborators
- Emphasis of importance of informed consent especially related to research vs commercialisation
- Emphasis to collaborators to honour the Code of Conduct of Indigenous peoples⁷ – equitable partnership

7. References:

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- https://www.the-scientist.com/sanger-institute-accused-of-misusingafrican-dna-samples-66573
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- 7. South Africa San Institute, "South African San Institute 2017," 2017.



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