Proposal for a digital maturity model for universities (MD4U)

Rafael Molina-Carmona¹, Faraón Llorens-Largo², Antonio Fernández-Martínez³

- ¹ Cátedra Santander-UA de Transformación Digital, University of Alicante, Ctra. San Vicente s/n 03690, Alicante, Spain, rmolina@ua.es
- ² Cátedra Santander-UA de Transformación Digital, University of Alicante, Ctra. San Vicente s/n 03690, Alicante, Spain, faraon.llorens@ua.es
- ³ Department of Computer Science, University of Almería, Crta. Sacramento s/n, La Cañada de San Urbano, 04120, Almería, Spain, afm@ual.es

Keywords

Digital transformation, IT governance, technological innovation, digitization, digitalization.

1. SUMMARY

As ITs become more important in organizations, there has been confusion between different related concepts: digitization, digitalization and digital transformation. It is important for universities to understand that the destination of this path is not simply their digitalization but to become true digital universities. We understand by digitalizing the use of information technologies to offer faster and more efficient solutions to existing business needs. However, digital transformation consists of both digitalizing and detecting the potential of a technology to drastically transform business processes or create new services or strategic business processes for the organization based on that technology. We propose a characterization of digital transformation on which our Digital Maturity Model for Universities (MD4U, from Spanish Modelo de Madurez Digital para Universidades) will be based, taking into account that the reasons we are going to provide and the definition of the model will be limited to the university environment, which has very specific and differentiated characteristics from the rest of the industry sectors.

2. STATEMENT OF THE PROBLEM AND BACKGROUND

Altimeter in its annual report *The State of Digital Transformation* (Solis, 2018) defines digital transformation as "the investment in and development of new technologies, mindsets, and business and operational models to improve work and competitiveness and deliver new and relevant value for customer and employees in an ever-evolving digital economy". As Bloomberg (2018) says "we digitize information, we digitalize processes and roles that make up the operations of a business, and we digitally transform the business and its strategy. Each one is necessary but not sufficient for the next, and most importantly, digitization and digitalization are essentially about technology, but digital transformation is not. Digital transformation is about the customer". Rogers (2016), concludes that "digital transformation is fundamentally not about technology but about strategy. Although it may require upgrading your IT architecture, the more important upgrade is to your strategic thinking. Traditionally, digital leaders, such as CIOs, were tasked with focusing on automating and improving the processes of an existing business. Today, digital leadership requires the ability to reimagine and reinvent that business itself".

Universities have already begun to worry about their digital transformation (Crue-TIC, 2018; Fernández y Llorens, 2018; Gómez, 2017; Llorens-Largo, 2018; Padilla-Verdugo, Cadena-Vela, Enríquez-Reyes, Córdova-Ochoa y Llorens- Largo, 2018; Ponce López, 2018). Kähkipuro (2018) proposes the grouping of digital capabilities into four clusters with similar government requirements: technical, mainstream digital, evolving digital and opportunistic. According to Navitas Ventures (2017), most university leaders are opting for a middle ground in relation to the change in the current university model, with plans to partially digitalize their current operations and also considering the creation of new digital models.

3. PROPOSAL

According to the abovementioned approach, a digital transformation of the business processes of a university will only be achieved if the technologies are disruptive and they completely change an existing process, adding new value or triggering the creation of new services or business processes. Therefore, we understand that the **ability to change or create new processes** is one of the fundamental characteristics of digital transformation.

When an organization implements new technologies, it can do so in such a way that the result speeds up or makes a process more efficient, but without making it strategic, or it can create a new process that is totally strategic for the organization. Therefore, we understand that the **ability to create strategic processes** is the other fundamental axis of the digital transformation.

Based on these two dimensions, we have designed a Digital Transformation Grid (Figure 1) that establishes the situation in which a university can find itself in relation to the impact of information technologies. We exclude the case in which the university is not aware of the importance of IT to improve its business processes and therefore does not invest in its implementation. On the contrary, we start from the assumption that the university wants to make an explicit commitment to information technologies. We establish four levels:

- **Digital management**: technologies help to make more efficient existing business processes that are not strategic for the university.
- **Digital innovation:** technologies make it possible to create new business processes or disruptively transform existing ones, but without turning them into strategic processes for the university. The usual situation is for the university to keep most of its processes in digital management mode and start up some new processes at the innovation level.
- **Digital governance**: there is good governance of the processes that are at the level of management or innovation and therefore these processes provide strategic value for the university.
- **Digital transformation**: the high potential of a new technology causes the creation of new disruptive and strategic business processes for the university.

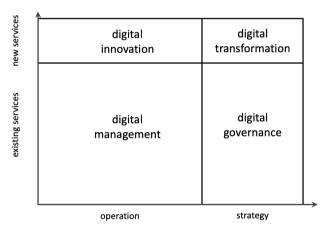


Figure 1. Digital Transformation Grid

4. CONCLUSIONS

The MD4U Model should help the university to assess the current situation for its IT, but also to identify the good practices that should be implemented to increase its maturity in the desired areas and thus move its resources from management or innovation to governance and digital transformation. We understand that in order to achieve this, the university must adopt an innovative attitude towards IT and assume the risks of being more cutting-edge than now in the implementation of new business processes based on technological trends.

Only universities that implement this type of strategy will be able to lead the digital transformation and gain a competitive advantage over universities that do not do so while maintaining their resources dedicated to non-strategic areas.

5. REFERENCES

Bloomberg, J. (2018). *Digitization, Digitalization, And Digital Transformation: Confuse Them At Your Peril*. Forbes. https://www.forbes.com/sites/jasonbloomberg/2018/04/29/digitization-digitalization-and-digital-transformation-confuse-them-at-your-peril.

Crue-TIC (2018). *TIC 360. Transformación Digital en la Universidad*. Grupo de Trabajo de Directores TI de Crue-TIC. Crue Universidades Españolas. Recuperado de http://tic.crue.org/publicaciones/#tendencias.

Fernández, A y Llorens, F. (2018). "Cómo están pensando las universidades europeas la transformación digital", en el taller *La transformación digital en las universidades latinoamericanas*. TICAL 2018 - VIII Conferencia de Directores de Tecnología de Información y Comunicación en Instituciones de Educación Superior, 3 de septiembre de 2018, Cartagena de Indias (Colombia). Red CLARA (Cooperación Latino Americana de Redes Avanzadas).

Gómez, J. (Ed.) (2017). *UNIVERSITIC 2017*. *Análisis de las TIC en las Universidades Españolas*. Crue Universidades Españolas. http://tic.crue.org/publicaciones/#universitic.

Kähkipuro, P. (2018). "Governance framework for digital transformation in higher education". *EUNIS Congress*, Paris, june 2018. European University Information Systems.

Llorens-Largo, F. (2018). "Transformación Digital de las Universidades: fontanería al servicio de la filosofía". En Gutiérrez Díaz de León, L. A. (coor.) Las tecnologías de información y comunicación: con rumbo a la transformación digital en la Universidad de Guadalajara. Editorial Universitaria, Universidad de Guadalajara.

Navitas Ventures (2017). *Digital Transformation in Higher Education*. https://www.navitasventures.com/insights/digital-transformation-higher-education.

Padilla-Verdugo, R., Cadena-Vela, S., Enríquez-Reyes, R., Córdova-Ochoa, J. y Llorens- Largo, F. (2018). *UETIC 2017. Estado de las Tecnologías de la Información en las Universidades Ecuatorianas*. Red CEDIA (Corporación Ecuatoriana para el Desarrollo de la Investigación y la Academia) https://www.cedia.edu.ec/dmdocuments/publicaciones/Libros/UETIC_2017.pdf.

Ponce López, J.L. (Coord.) (2018). Estado actual de las tecnologías de la información y las comunicaciones en las instituciones de educación superior en México. Estudio 2018. Asociación Nacional de Universidades e Instituciones de Educación Superior de México (ANUIES). http://anuiestic.anuies.mx/web/estudios.

Rogers, D. (2016). The digital transformation playbook. Rethink your business for the digital age. Columbia University Press.

Solis, B. (2018). *The State of Digital Transformation*. 2018-2019 edition. Altimeter, Prophet. Recuperado de https://www.prophet.com/2019/01/what-are-the-key-drivers-of-digital-transformation-in-2019.

6. AUTHORS' BIOGRAPHIES



Rafael Molina-Carmona received his B.Sc. and M.Sc. in Computer Science from the Polytechnic University of Valencia, Spain in 1994, and his Ph.D. in Computer Science from the University of Alicante, Spain in 2002. He is a professor at the University of Alicante, and he belongs to the department of Computer Science and Artificial Intelligence. He is also a member of the Cátedra Santander-UA de Transformación Digital, devoted to explore new trends in digital transformation. Member of the GTI4U research team (http://www.gti4u.es). His interests are mainly the applications of Artificial Intelligence to different fields: computer-aided design and manufacture, computer graphics, learning, creativity, information representation and IT governance.



Faraón Llorens-Largo is a professor of Computer Science and Artificial Intelligence at the University of Alicante (UA), Spain. He received his B.Sc. in Education from the UA, his B.Sc. and M.Sc. in Computer Science from the Polytechnic University of Valencia, and his Ph.D. in Computer Science from the UA. He is the director of the *Cátedra Santander-UA de Transformación Digital* (http://catedra-transformacion-digital.ua.es). He has been Vice-rector of Technology and Educational Innovation (2005-2012) in the UA, and Executive Secretary of the ICT Sectorial Commission of the Conference of Rectors of Spanish Universities (2010-2012). He received the "Sapiens 2008 Professional Award", by the Valencian Official Association of Computer Engineers, and the "AENUI 2013 Award for Quality in Teaching Innovation", by the Association of

University Teachers in Computer Science. His works are framed in the fields of artificial intelligence, videogame development, the application of digital technologies to education and IT governance. Cocoordinator of the GTI4U research team (http://www.gti4u.es). More information in http://blogs.ua.es/faraonllorens.



Antonio Fernández-Martínez is a professor of Computer Science and Artificial Intelligence at the University of Almería (UAL), Spain. He received his B.Sc. and M.Sc. in Computer Science from the University of Granada, and his Ph.D. in Computer Science from the UAL. He was director of the IT Service at UAL (1999-2007). He is currently the Government Coordinator and Delegate of the Rector for Interaction with Society and Companies of the University of Almeria. He is co-coordinator of the GTI4U (http://www.gti4u.es) research team, responsible for the research part of the UNIVERSITIC report for Spanish and Latin American universities and the IT Government Start-up Project, which has been successfully implemented in 10 Spanish universities. Both initiatives promoted by the ICT

Sectorial Commission of the Conference of Rectors of Spanish Universities. Member of the BencHEIT initiative of European University Information Systems, of the ISO 20000 and ISO 38500 Standards Committee of AENOR and he is ISACA Academic Advocate.