



CNR-INO

ISTITUTO NAZIONALE DI OTTICA
CONSIGLIO NAZIONALE DELLE RICERCHE

Study of the retrieval of ice crystal habits from the far infrared spectral radiance to be measured by the FORUM sounder

Gianluca Di Natale,
Marco Ridolfi and
Luca Palchetti

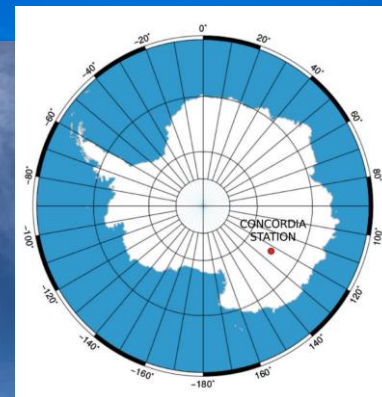
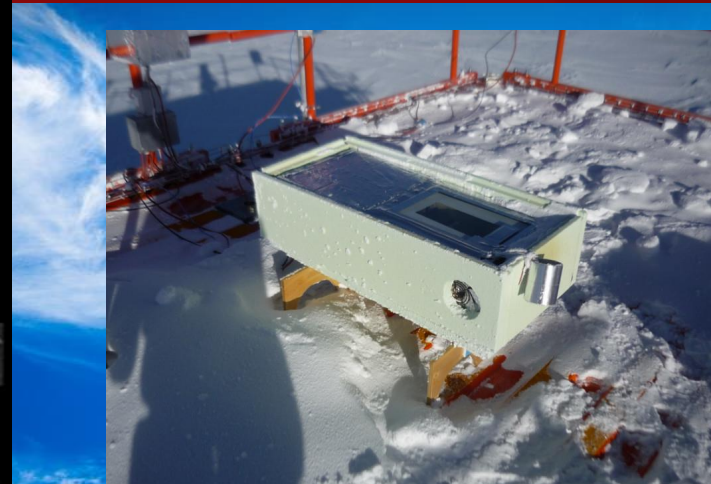
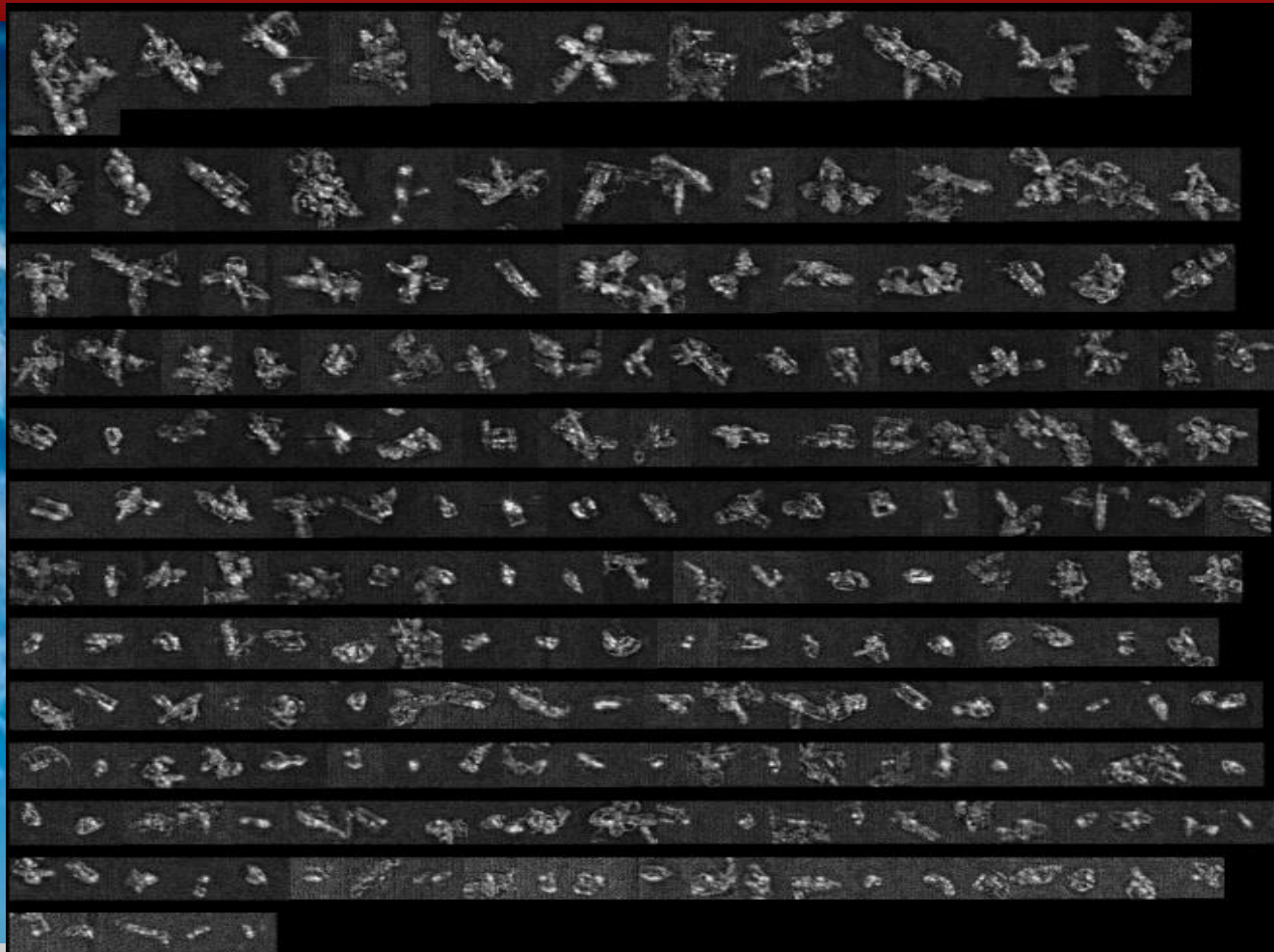
ATMOS 2024, Bologna (IT), 1st - 5th July

www.ino.cnr.it
www.ino.cnr.it

Ice clouds: a mixture of crystals

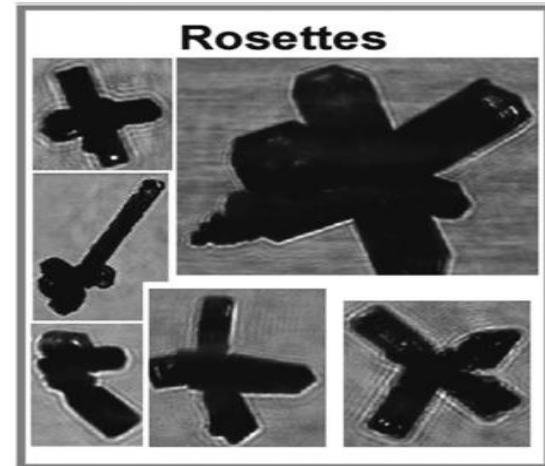
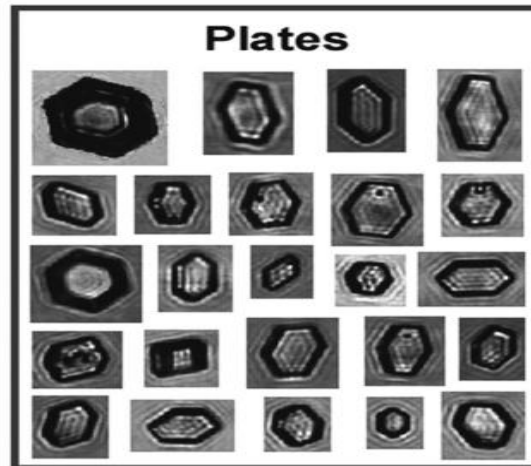
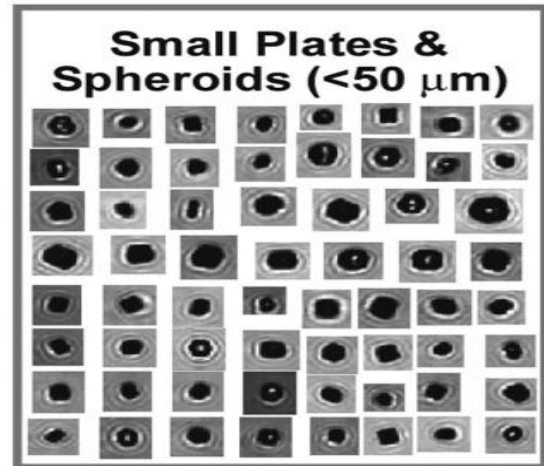


Ice clouds: a mixture of crystals

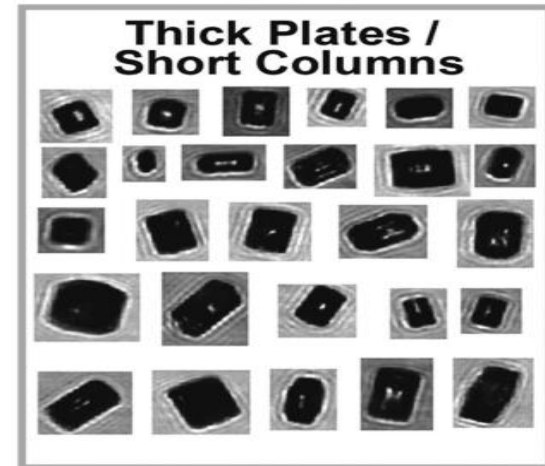
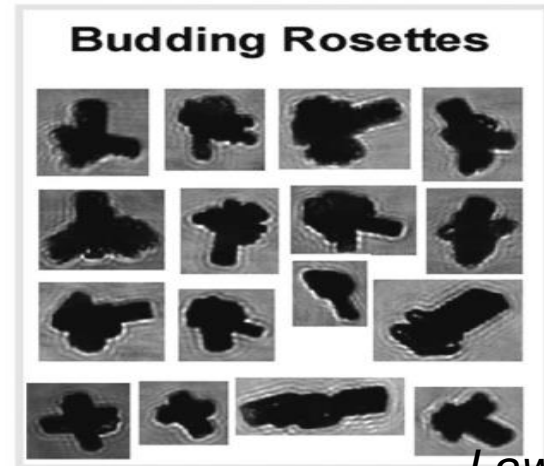


provided by Dr. Massimo Del Guasta

Ice clouds: a mixture of crystals

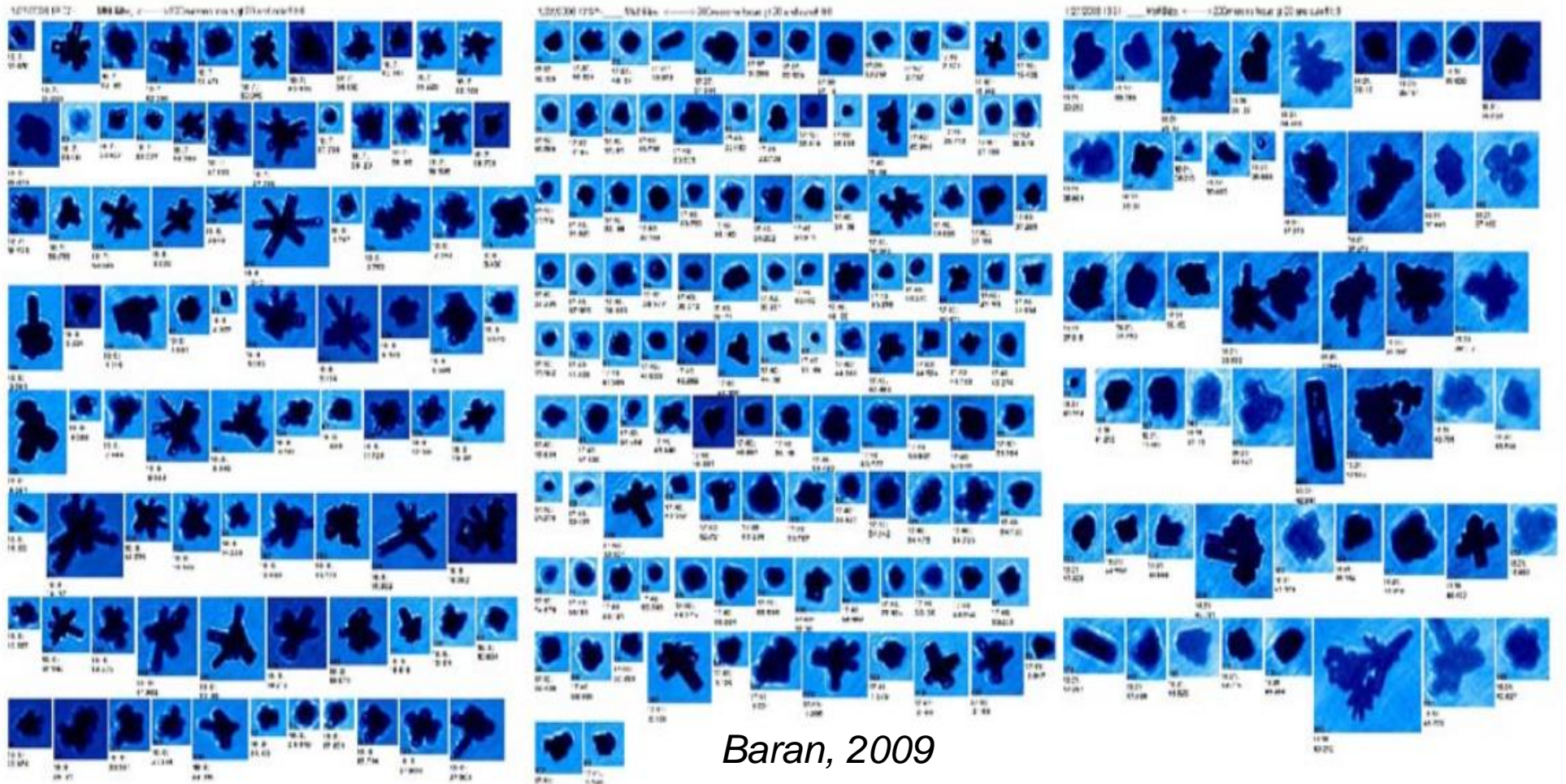


↔ 200 microns



Lawson et al. 2006

Ice clouds: a mixture of crystals



Baran, 2009

Ice crystals basic structure



Plate



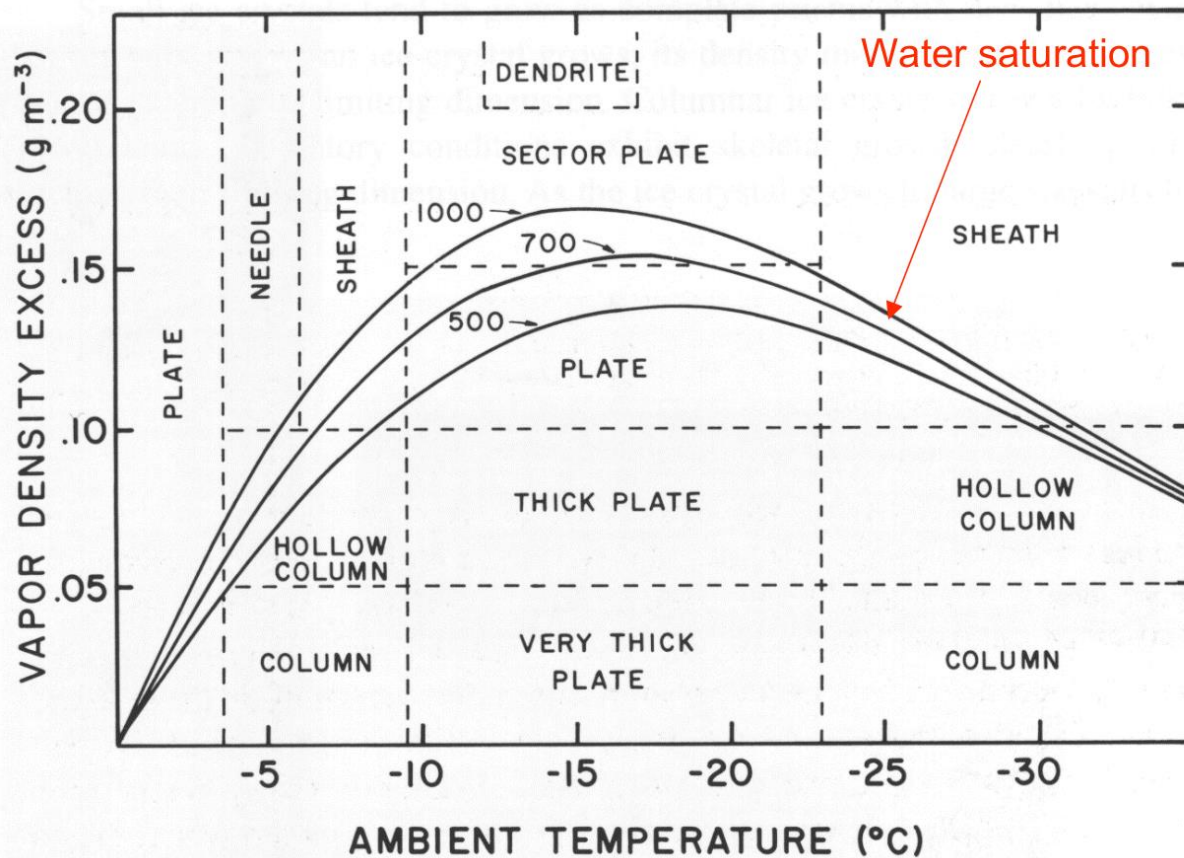
Column



**Growth depends
on the
local
supersaturation
and temperature**

From “Why are snowflakes like this?” Veritasium, interview to Prof. Kenneth Libbrecht

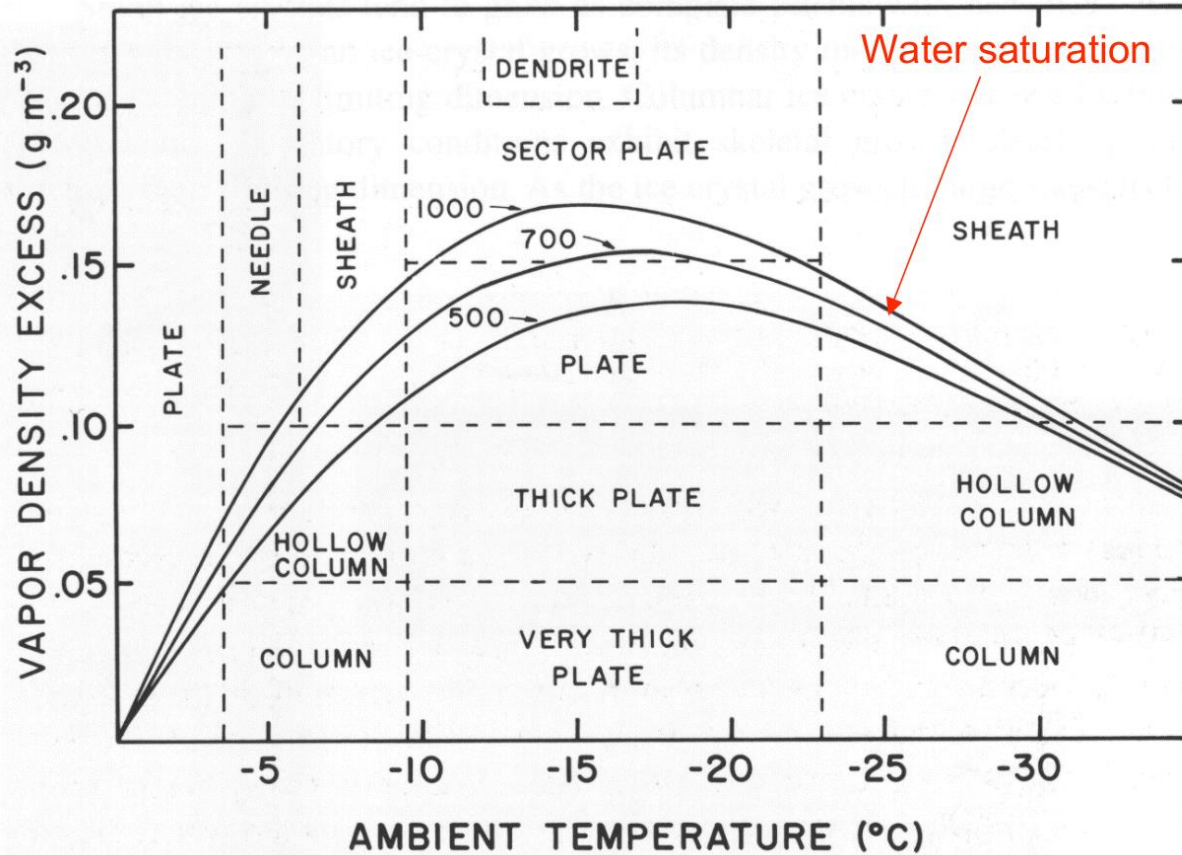
Crystal shape dependence on environmental conditions



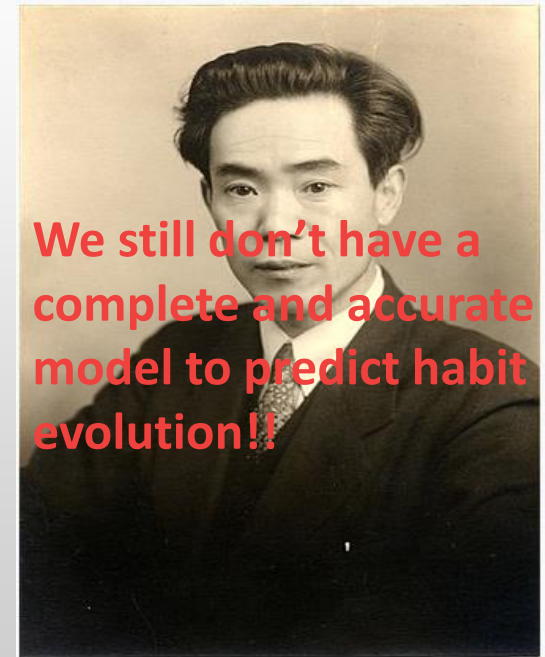
NAKAYA DIAGRAM



Crystal shape dependence on environmental conditions



NAKAYA DIAGRAM

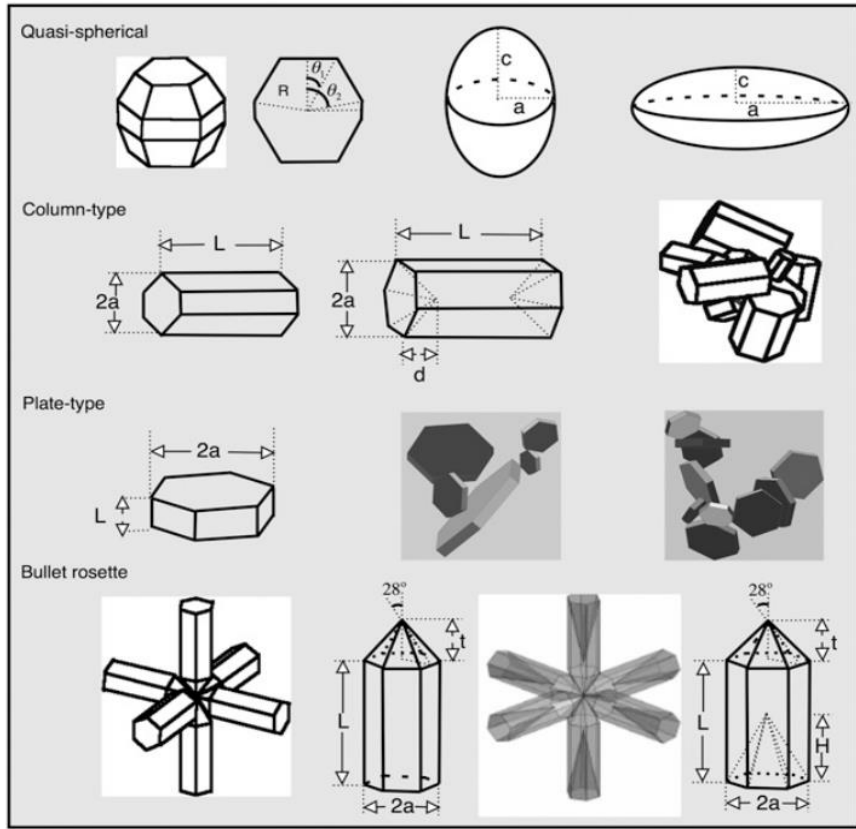


Why study cirrus clouds?

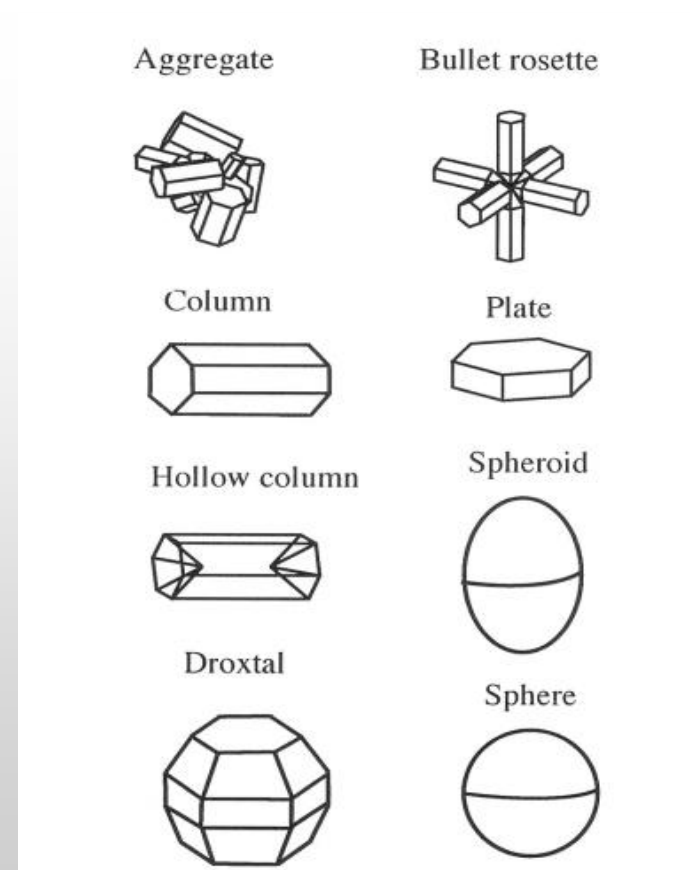


// Ice clouds are extremely important to determine the Earth's Radiation Budget and they have a strong impact on climate

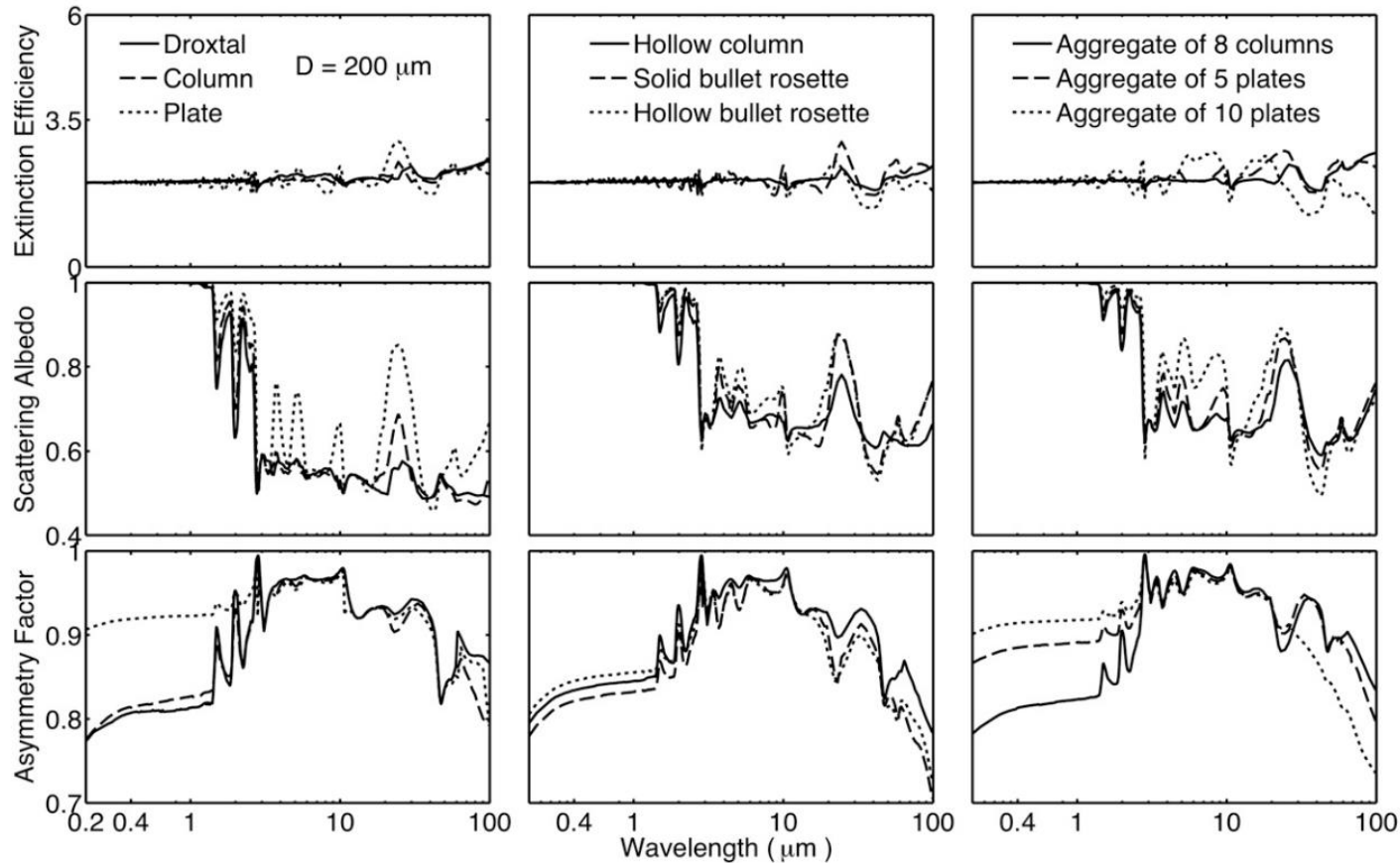
Single scattering properties of ice crystals



Ping Yang et al. 2013, Jour. Atm. Sci.



Single scattering properties of ice crystals



**Different behavior
of the optical coefficient**

Ping Yang et al. 2013, Jour. Atm. Sci.

Simulation of the radiance in presence of a mixture



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

Simulation of the radiance in presence of a mixture



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

Simulation of the radiance in presence of a mixture



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

→ habit fractions

Simulation of the radiance in presence of a mixture



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

EXTINCTION EFFICIENCY

$$\langle Q_e \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{e,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ABSORPTION EFFICIENCY

$$\langle Q_a \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{a,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

Simulation of the radiance in presence of a mixture



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

EXTINCTION EFFICIENCY

$$\langle Q_e \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{e,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ABSORPTION EFFICIENCY

$$\langle Q_a \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{a,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ASYMMETRY FACTOR

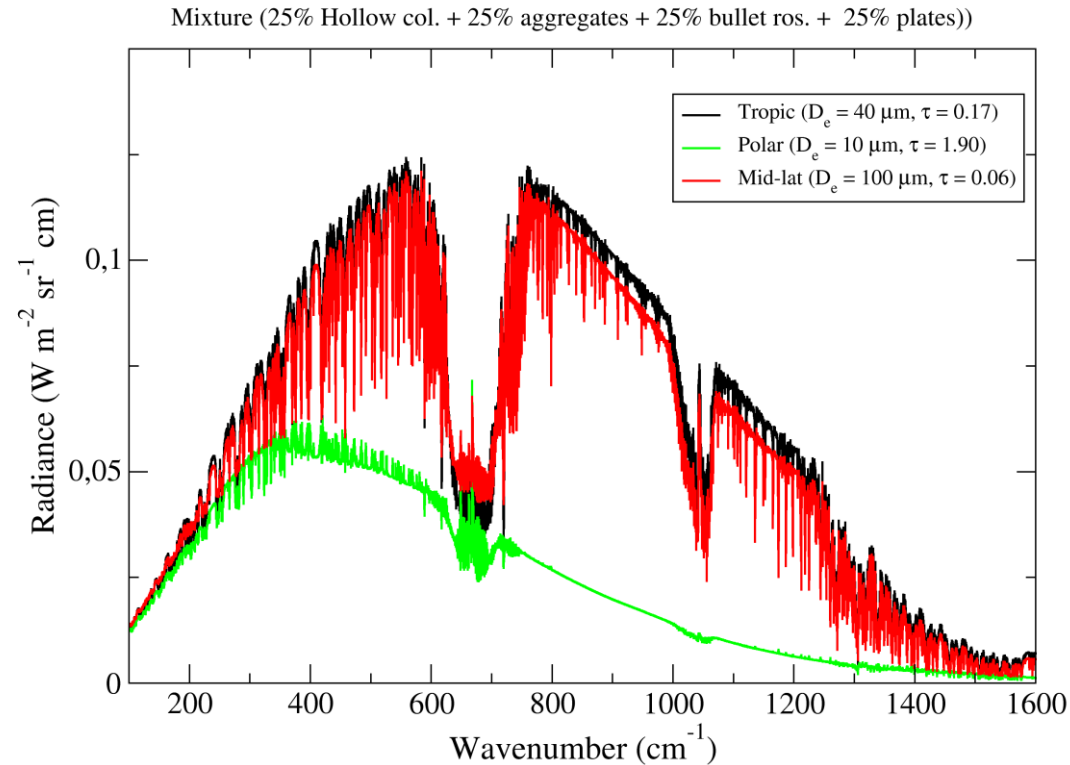
$$\langle g \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} g_h(L) Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}$$

Sensitivity to the habits

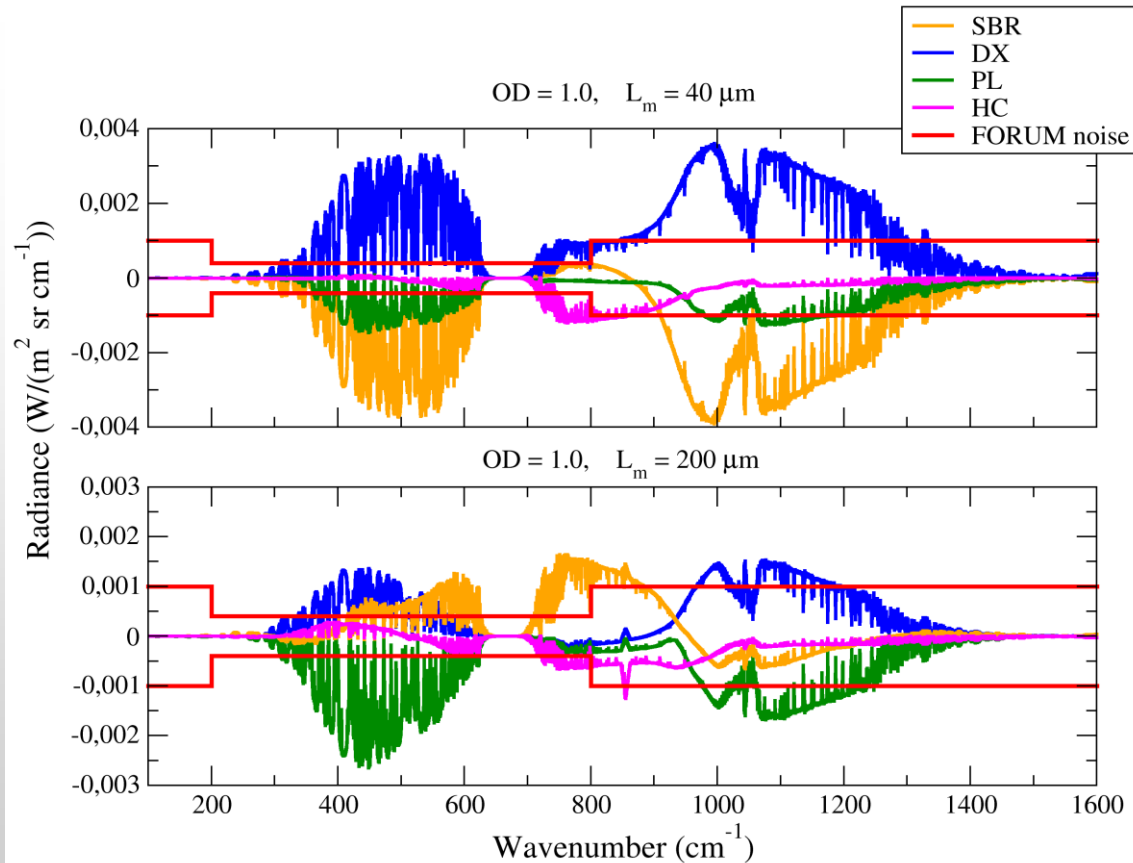


Let's fix an ice cloud between 8 km and 10 km and simulate FORUM observations obtained for different latitudes and different ice clouds assuming an homogeneous habit distribution

The SACR code (*Di Natale et al. 2020, JQSRT*) is used to simulated the radiative trasfer in the presence of ice clouds



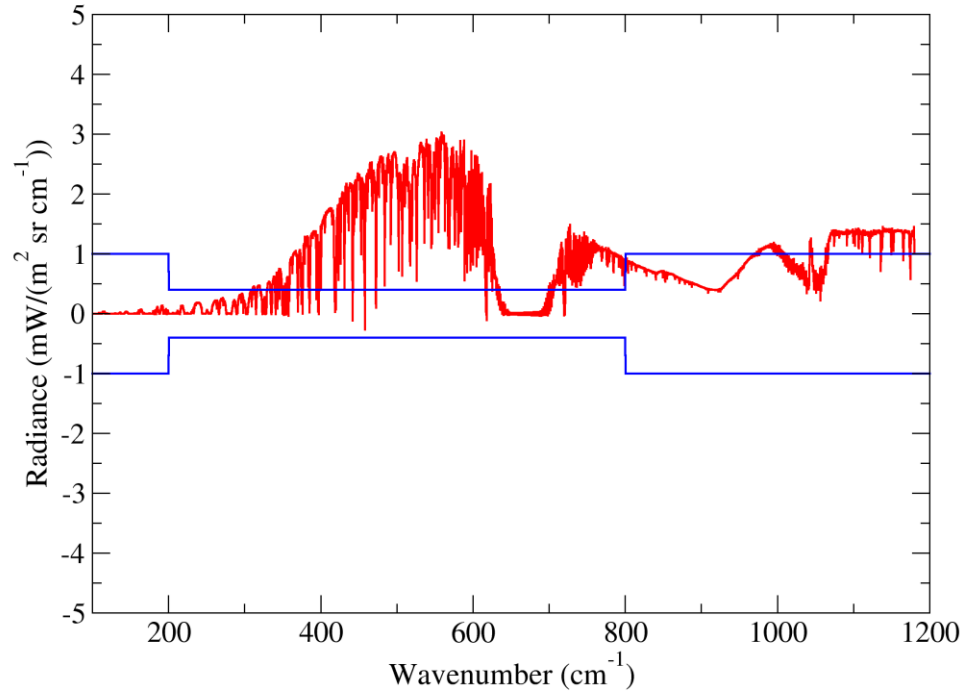
Sensitivity to the habits



Sensitivity to the habits



SOLID COLUMNS



Far InfraRed
spectrum
represents the
perfect
candidate
for ice crystal
habits
retrieval !

Towards the retrieval of the habit distribution



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

EXTINCTION EFFICIENCY

$$\langle Q_e \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{e,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ABSORPTION EFFICIENCY

$$\langle Q_a \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{a,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ASYMMETRY FACTOR

$$\langle g \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} g_h(L) Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}$$

Towards the retrieval of the habit distribution



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

EXTINCTION EFFICIENCY

$$\langle Q_e \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{e,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ABSORPTION EFFICIENCY

$$\langle Q_a \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{a,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ASYMMETRY FACTOR

$$\langle g \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} g_h(L) Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}$$

Towards the retrieval of the habit distribution



PARTICLE SIZE DISTRIBUTION (PSD)

$$n(L, L_m) = N_0 L^\mu e^{-(\mu+3)\frac{L}{L_m}}$$

EFFECTIVE DIAMETER

$$D_{ei} = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} V_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

EXTINCTION EFFICIENCY

$$\langle Q_e \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{e,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ABSORPTION EFFICIENCY

$$\langle Q_a \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{a,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} A_h(L) n(L, L_m) dL}$$

ASYMMETRY FACTOR

$$\langle g \rangle_v = \frac{\sum_h p_h \int_{L_{min}}^{L_{max}} g_h(L) Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}{\sum_h p_h \int_{L_{min}}^{L_{max}} Q_{s,h\nu}(L) A_h(L) n(L, L_m) dL}$$

Towards the retrieval of the habit distribution



The algorithm should meet the condition: $\sum_{h=1}^N p_h = 1$

Towards the retrieval of the habit distribution



The algorithm should meet the condition: $\sum_{h=1}^N p_h = 1$

$$\mathbf{q} = (q_1, \dots, q_N) \rightarrow \mathbf{p}(\mathbf{q}) = (p_1(\mathbf{q}), \dots, p_N(\mathbf{q}))$$

$$q_1, \dots, q_N \in [0, 1]$$



Towards the retrieval of the habit distribution



The algorithm should meet the condition: $\sum_{h=1}^N p_h = 1$

$$\mathbf{q} = (q_1, \dots, q_N) \rightarrow \mathbf{p}(\mathbf{q}) = (p_1(\mathbf{q}), \dots, p_N(\mathbf{q}))$$

$$q_1, \dots, q_N \in [0, 1]$$

$$\begin{cases} p_1(\mathbf{q}) = q_1 \\ p_2(\mathbf{q}) = q_2(1 - p_1(\mathbf{q})) = q_2(1 - q_1) \\ p_3(\mathbf{q}) = q_3(1 - p_1(\mathbf{q}) - p_2(\mathbf{q})) = q_3(1 - q_1 - q_2(1 - q_1)) \\ \vdots \\ p_{N-1}(\mathbf{q}) = q_{N-1}(1 - p_1(\mathbf{q}) - \dots - p_{N-2}(\mathbf{q})) = q_{N-1}(1 - \sum_{h=1}^{N-2} p_h(\mathbf{q})) \end{cases}$$

$$p_N(\mathbf{q}) = 1 - \sum_{h=1}^{N-1} p_h(\mathbf{q})$$

From Di Natale and Palchetti, 2022, JQSRT



The algorithm is integrated in the SACR code

Scenarios



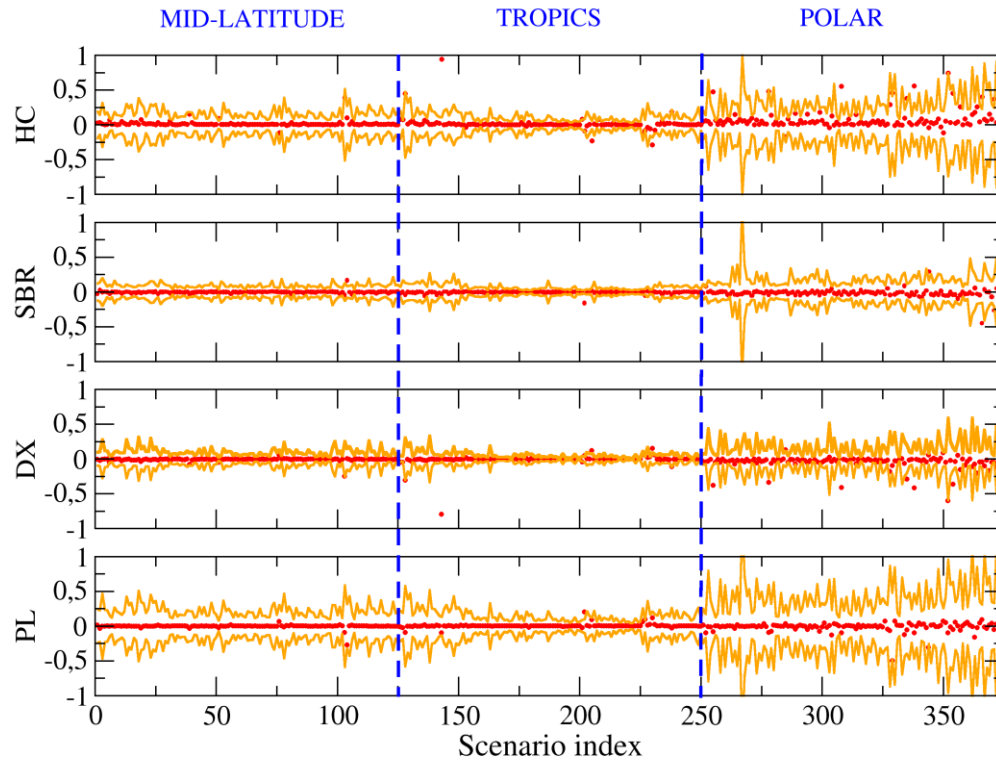
Tropics
Mid-latitude
Polar

OD	L_m (μm)
0.1	40
0.5	100
1.0	200
2.0	300
4.0	400

375 synthetic scenarios

	p ₁ (HC)	p ₂ (SBR)	p ₃ (DX)	p ₄ (PL)	p ₁ (HC)	p ₂ (SBR)	p ₃ (DX)	p ₄ (PL)	p ₁ (HC)	p ₂ (SBR)	p ₃ (DX)	p ₄ (PL)
	Mid-latitude				Tropics				Polar			
a)	0.80	0.10	0.05	0.05	0.80	0.10	0.05	0.05	0.80	0.10	0.05	0.05
b)	0.10	0.80	0.05	0.05	0.10	0.80	0.05	0.05	0.05	0.80	0.05	0.10
c)	0.05	0.10	0.80	0.05	0.05	0.05	0.80	0.10	0.05	0.05	0.80	0.10
d)	0.05	0.05	0.10	0.80	0.10	0.05	0.05	0.80	0.05	0.05	0.10	0.80
e)	0.20	0.30	0.30	0.20	0.30	0.25	0.25	0.20	0.40	0.10	0.40	0.10

Results

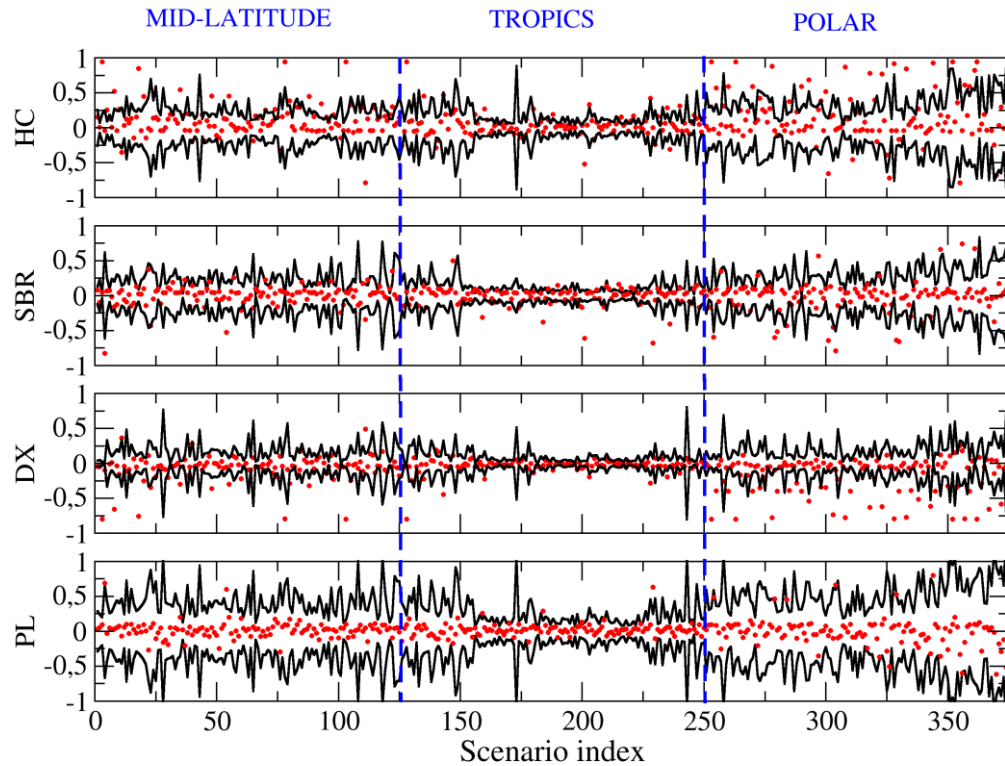


Let's start with a self consistency study of the algorithm by using free noise simulated observations

Optimal estimation approach with 100% a priori error for habit fractions

Di Natale et al. 2023, Atm. Meas. and Techniq.

Results



Vector of not retrieved parameters:

$$\mathbf{b} = (\mathbf{WV}, \mathbf{T}, \varepsilon_s, T_s, \text{CBH}, \text{CTH})$$

... and the associated variance-covariance matrix (VCM) \mathbf{S}_b used to derive the final radiance VCM:

$$\mathbf{S}_f = \mathbf{K}_b \mathbf{S}_b \mathbf{K}_b^T$$

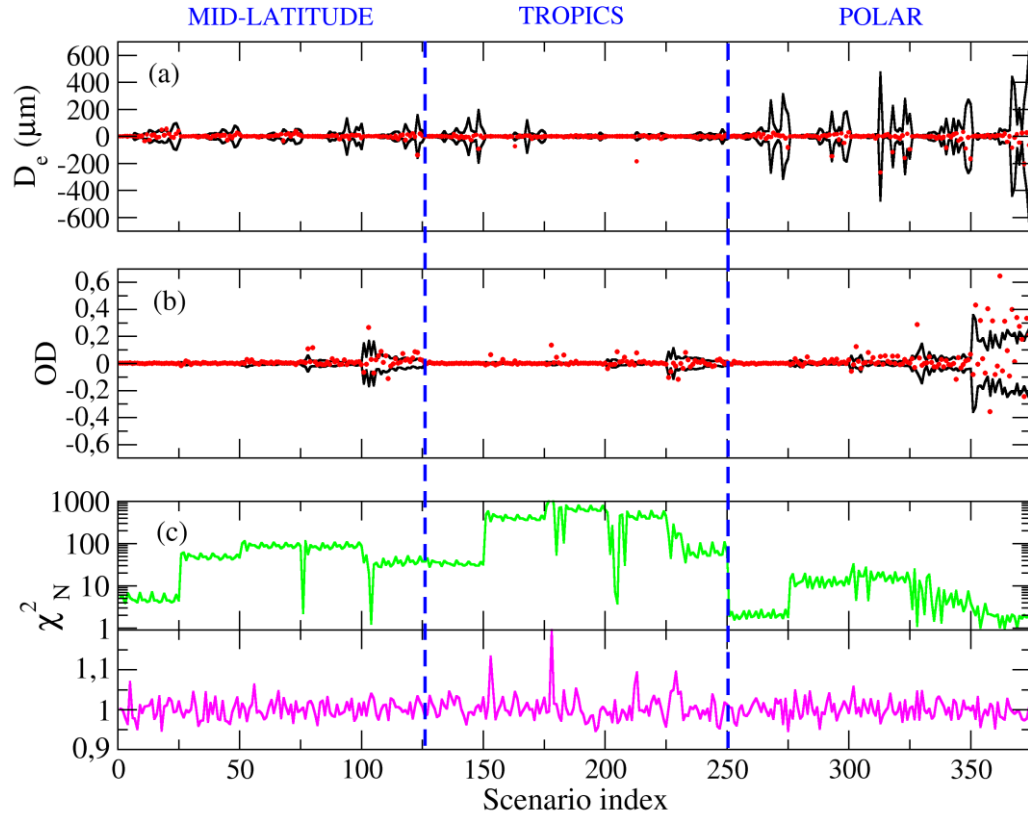
... and the final measurement VCM \mathbf{S}_y' :

$$\mathbf{S}_y' = \mathbf{S}_y + \mathbf{S}_f$$

... and the VCM on the retrieved \mathbf{p} :

$$\mathbf{S}_p = \mathbf{K}_{pq}^T (\mathbf{K}_q^T \mathbf{S}_y^{-1} \mathbf{K}_q + \mathbf{S}_{aq}^{-1}) \mathbf{K}_{pq}$$

Results

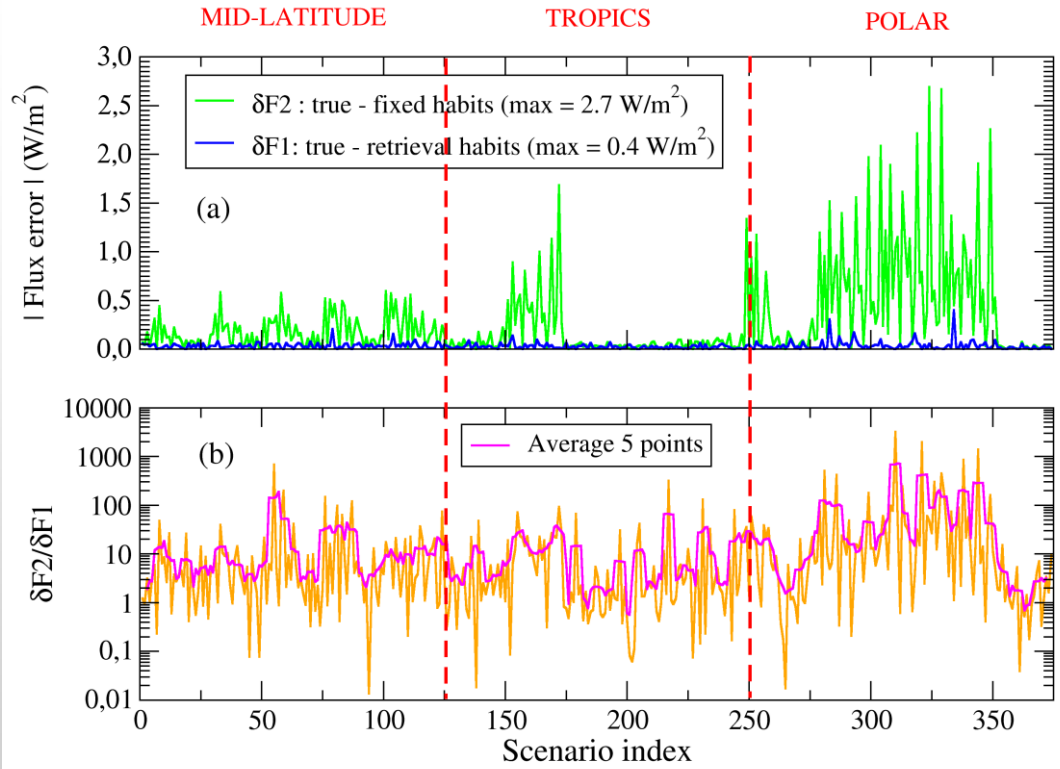


Regarding the effective diameters
and optical depths

Overall, normalized χ^2_N lower than
1.1 are found

Di Natale et al. 2023, in discussion on Atm. Meas. and Techniq.

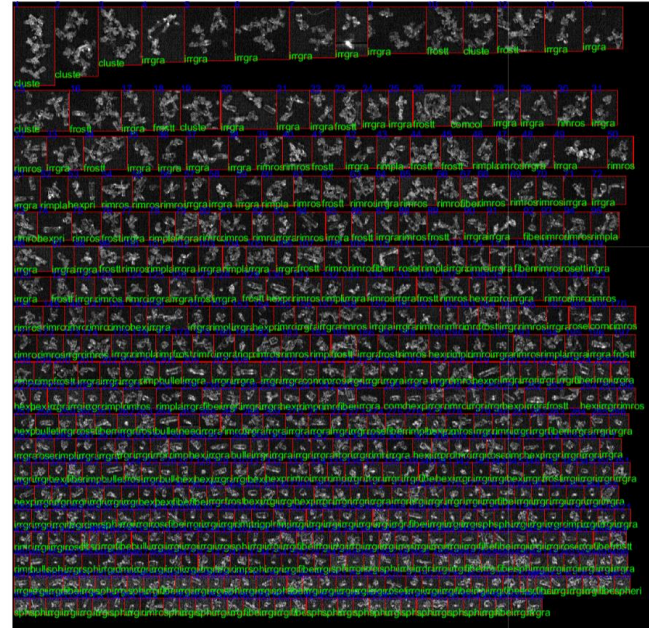
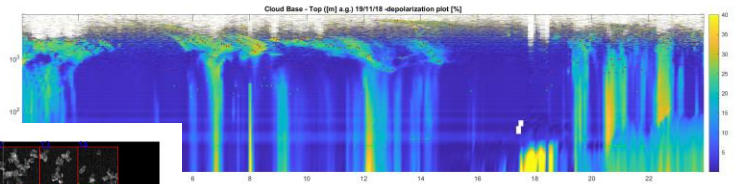
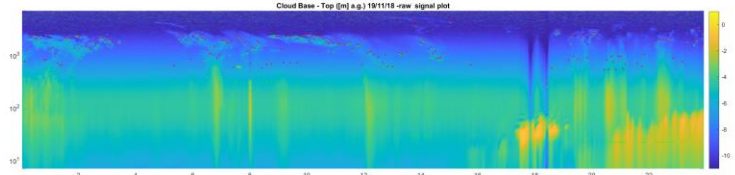
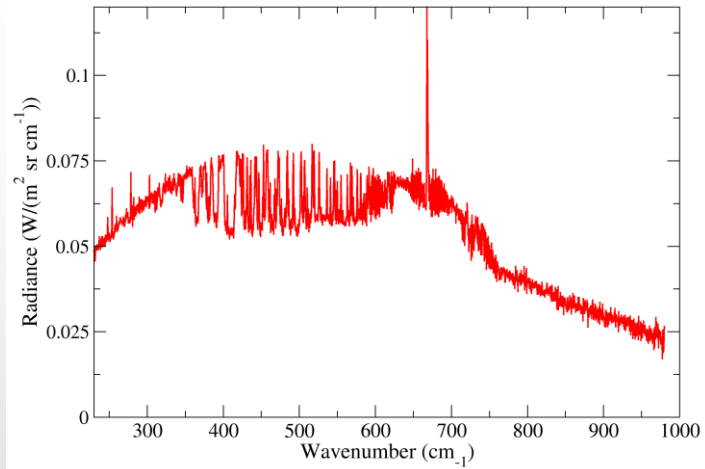
Results (fluxes)



Impact of the habit assumption on the fluxes calculation at TOA

Di Natale et al. 2023, in discussion on Atm. Meas. and Techniq.

Antarctic dataset for validation



Summary



- Cirrus clouds are composed of a myriad of crystal habits which affect radiance spectrum.
- A new approach to retrieve crystal habits developed for FORUM observations
- Results on the synthetic spectra are very promising !
- We need to validate the method for instance by using spectra provided by the REFIR-PAD spectroradiometer in Antarctica

• Thank you!!



