



**Jet Propulsion Laboratory**  
California Institute of Technology

# Beyond number concentration:

*Application of adiabatic cloud models to infer complete vertical profiles of warm cloud microphysical properties*

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*Colorado State University*

**John Haynes**

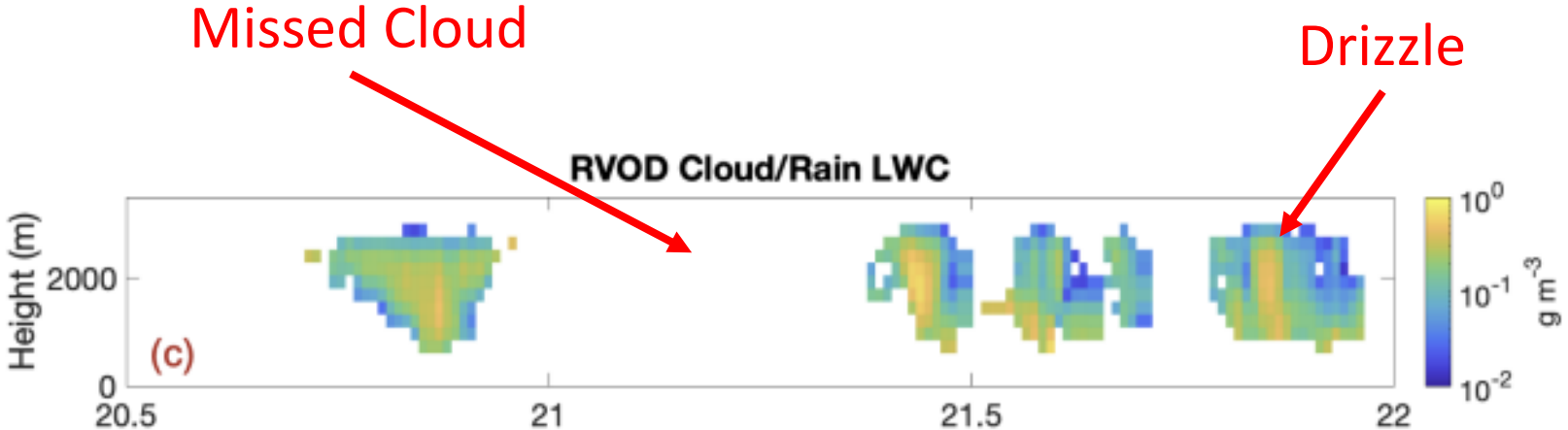
*Colorado State University*

# Existing Limitations

MODIS Visible Reflectance (True Color)



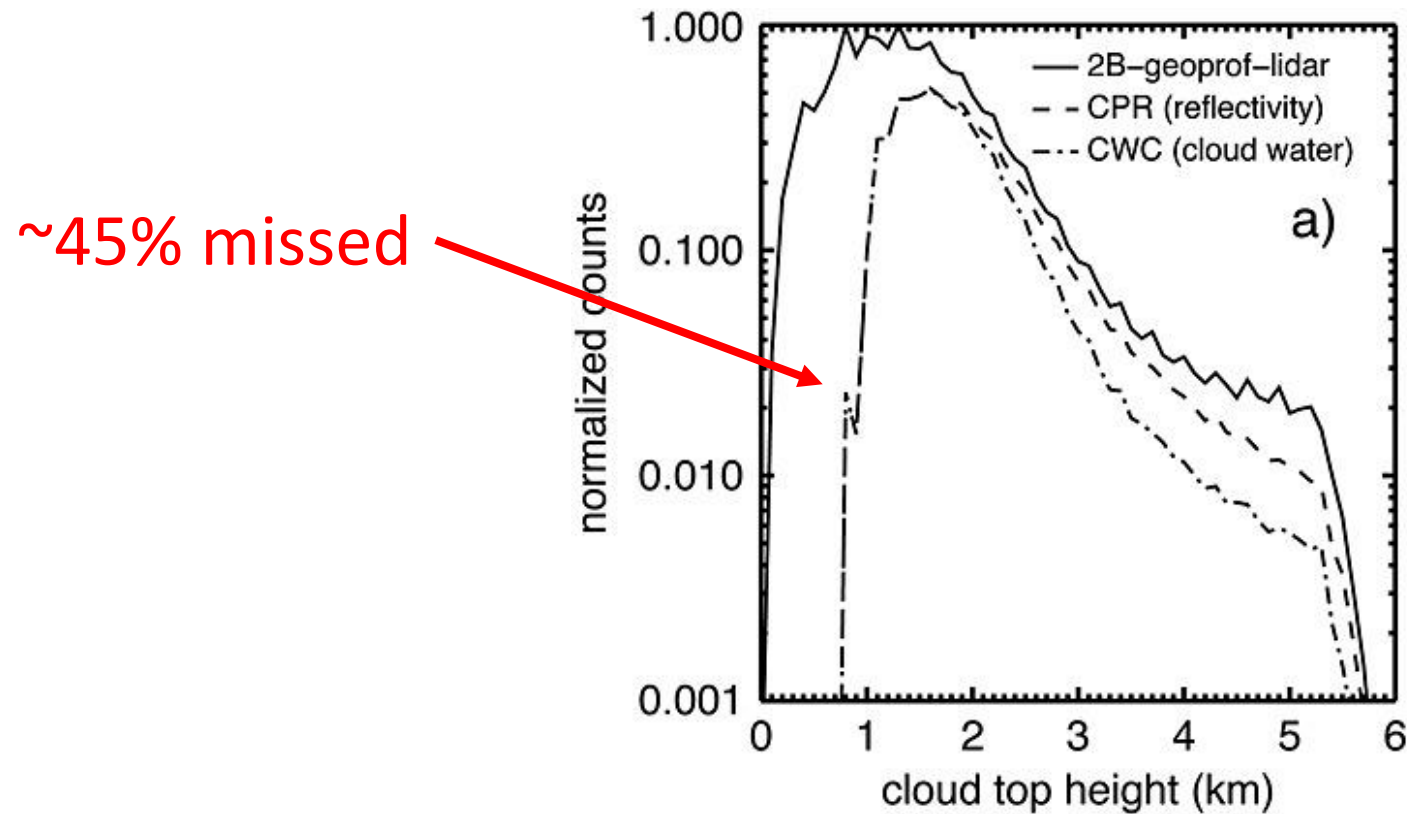
CWC-RVOD product:  
Combined Radar + Visible Optical Depth  
*Leinonen et al., 2016*



1. Radar-based retrievals of low-cloud profiles have major deficiencies:
  - Missed detection
  - Precipitation contamination
2. (Sub)adiabatic theory in good agreement with radar observations for non-precipitating clouds.
  - Only requires Vis/NIR observations to derive cloud profiles
3. Machine Learning (non-linear regression) can be used to exploit lidar observables and radar integral constraints to derive vertical profiles within reasonable uncertainties.
  - This works even when Vis/NIR observations are missing!

# Problem #1

The CloudSat radar misses a large fraction of shallow warm clouds

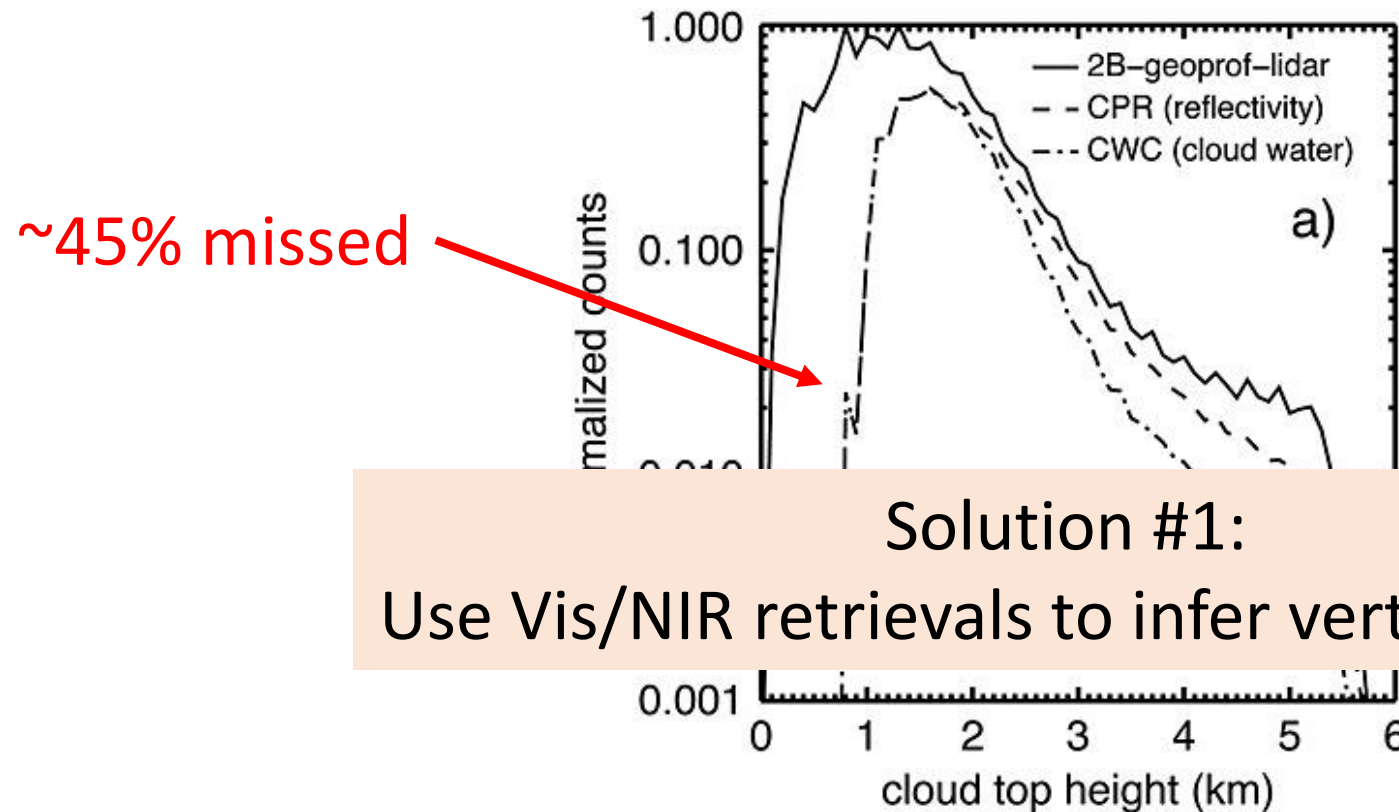


*Christensen et al., (2013)*

<https://doi.org/10.1002/2013JD020224>

# Problem #1

The CloudSat radar misses a large fraction of shallow warm clouds



Solution #1:  
Use Vis/NIR retrievals to infer vertical profiles

*Christensen et al., (2013)*

<https://doi.org/10.1002/2013JD020224>

# Adiabatic Cloud Model

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Adiabatic theory is widely used to translate:

$$\begin{matrix} \tau & LWP \\ r_e & \rightarrow & N_d \end{matrix}$$

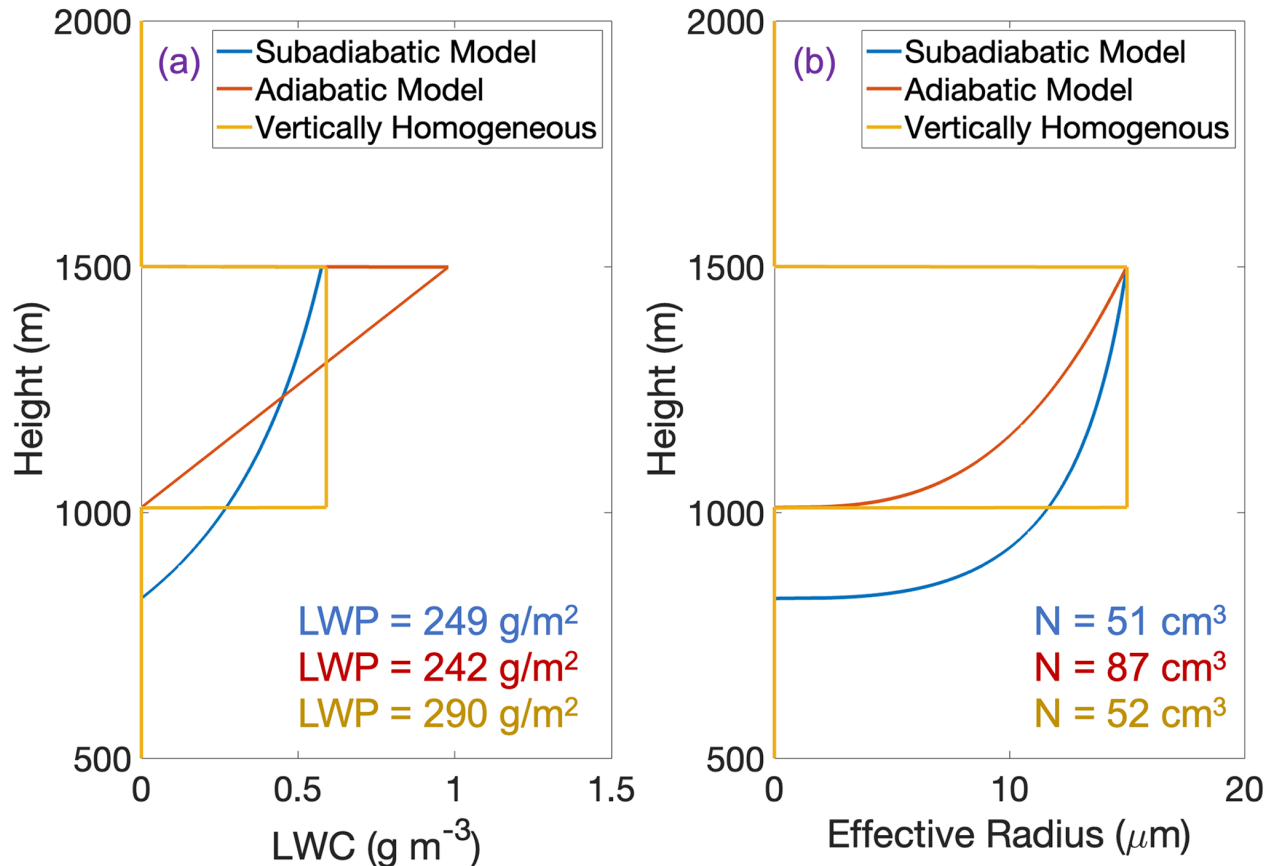
What's new here?

1. Use adiabatic theory to derive the complete profile - Not just number concentration.
2. Sub-adiabatic factor is a function of height above cloud base:

$$f_{ad}(h) = \frac{h_o}{h_o + h}$$

# Adiabatic Cloud Model

Comparison Between Cloud Models



Schulte et al., (2023)

<https://doi.org/10.5194/amt-16-3531-2023>

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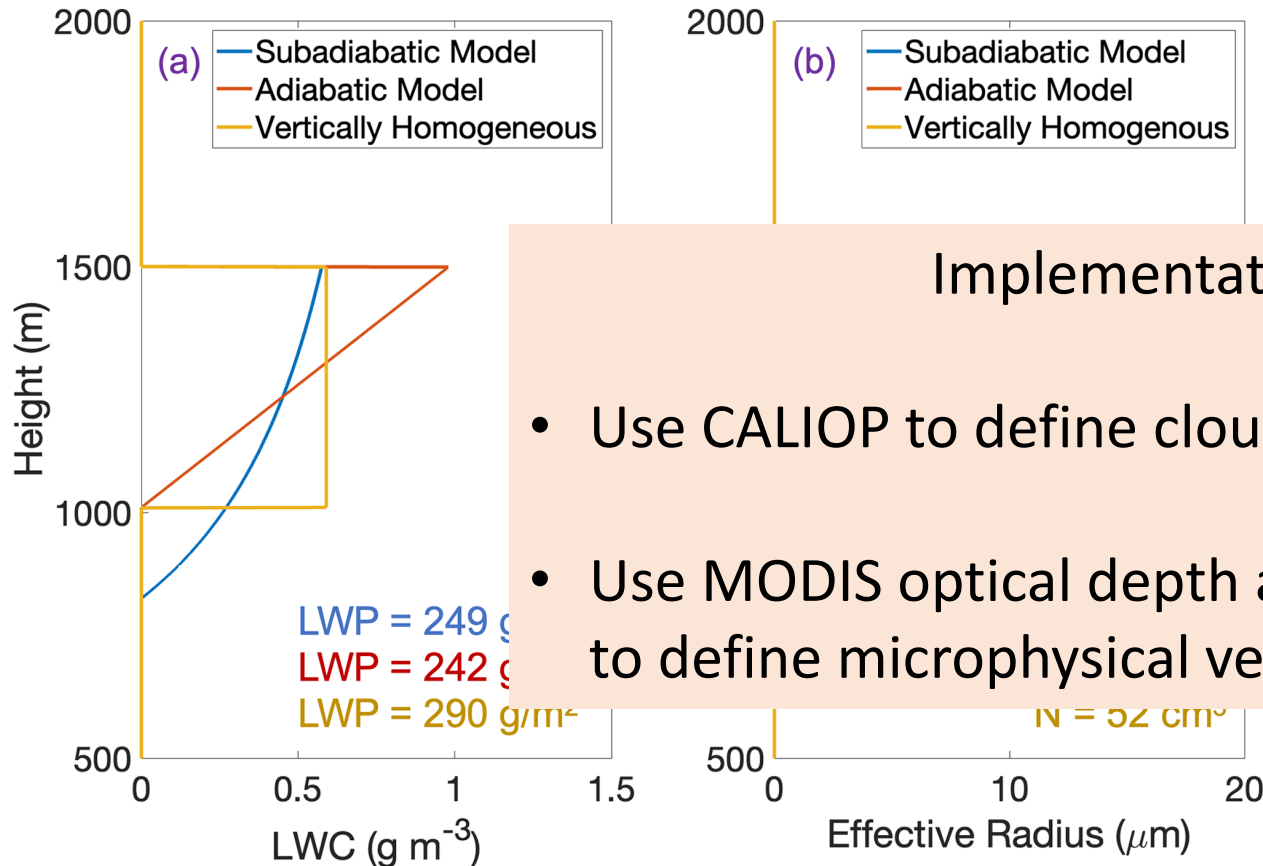
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# Adiabatic Cloud Model

Comparison Between Cloud Models



What's New here?

Implementation:

- Use CALIOP to define cloud top height.
- Use MODIS optical depth and effective radius to define microphysical vertical profile

adiabatic theory to derive complete profile. Not just concentration.

adiabatic factor is a function of height above cloud

base :

$$f_{ad}(h) = \frac{h_o}{h_o + h}$$

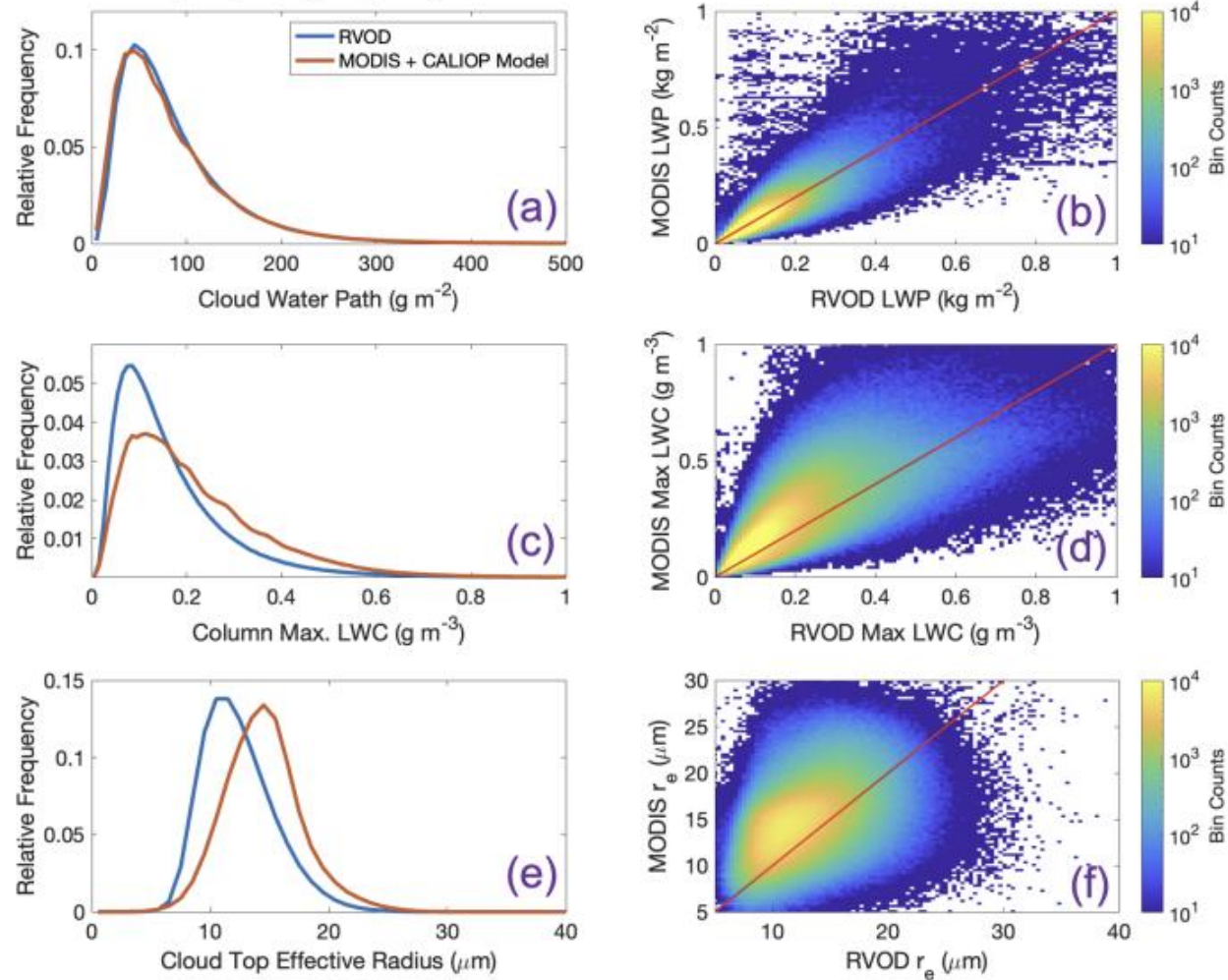
Schulte et al., (2023)

<https://doi.org/10.5194/amt-16-3531-2023>



# Validation of adiabatic Model

Nonprecipitating Single Layer Warm Clouds with Valid RVOD and MODIS Retrievals



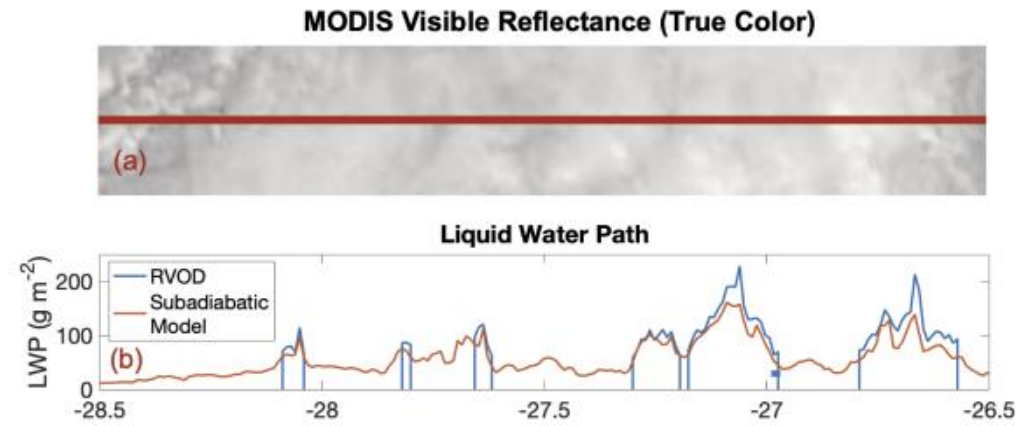
# Example Solution #1 (missed clouds)

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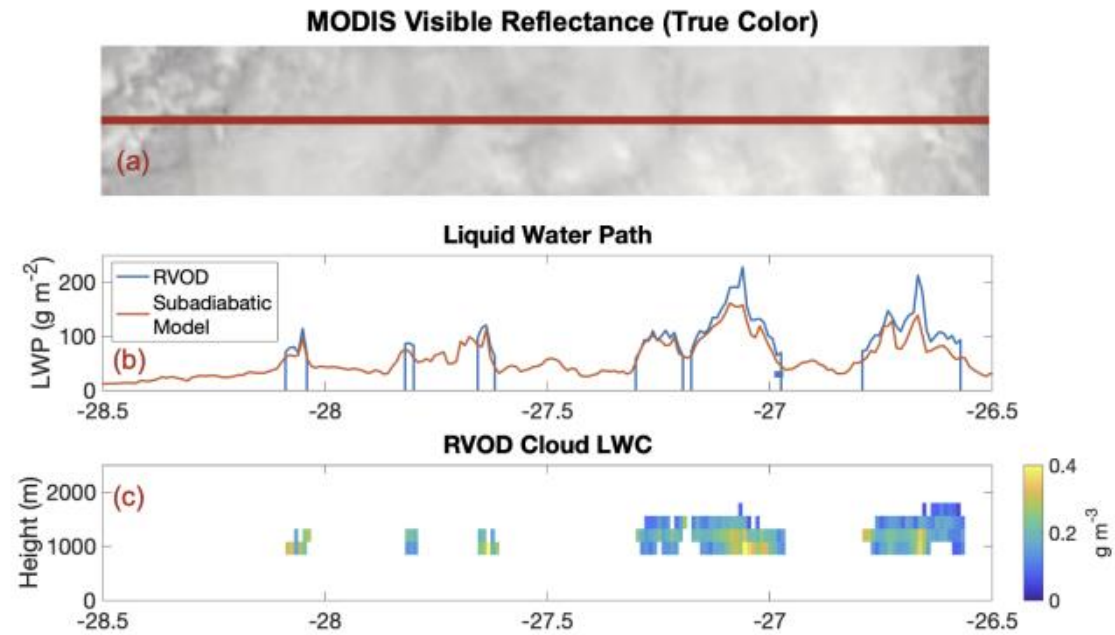
**MODIS Visible Reflectance (True Color)**



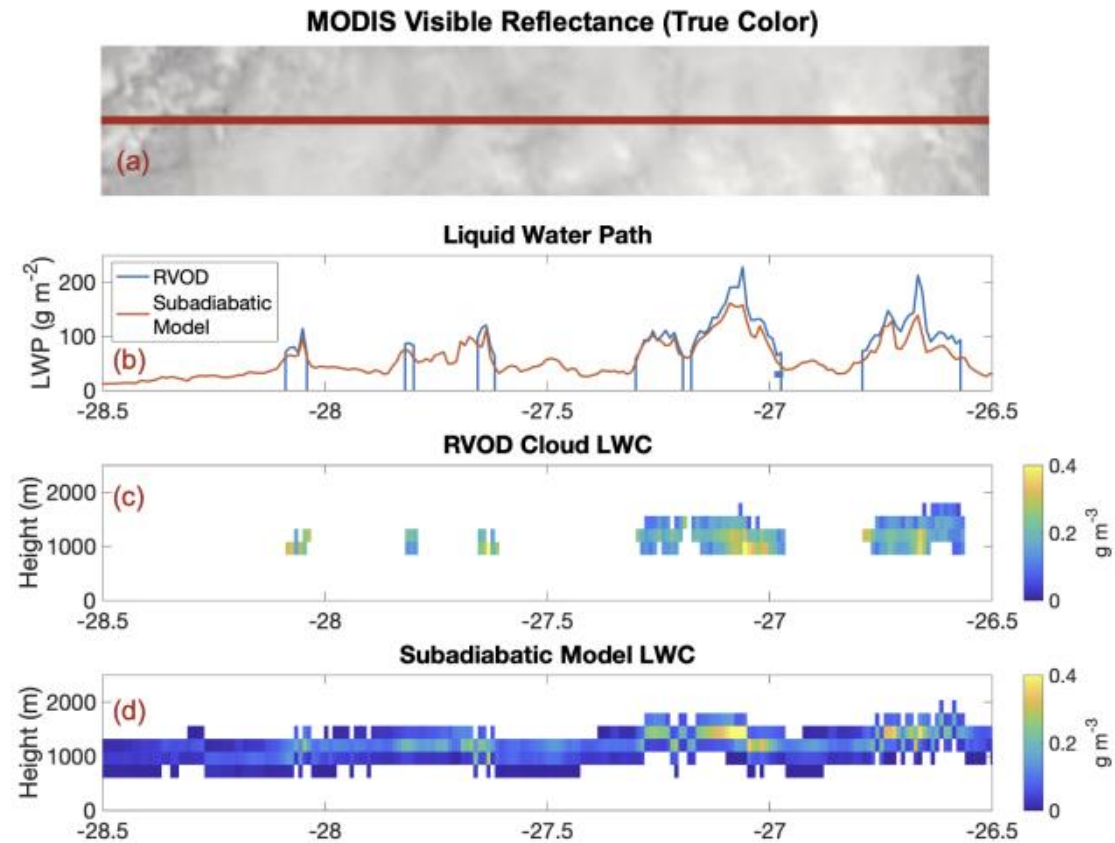
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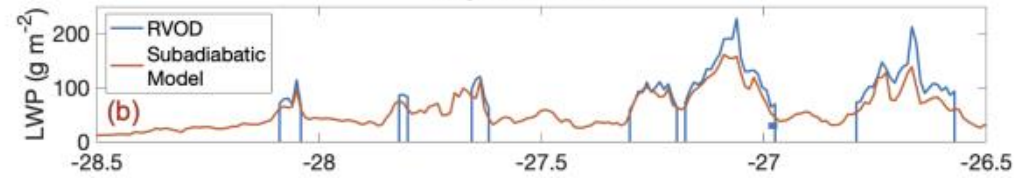


# Example Solution #1 (missed clouds)

MODIS Visible Reflectance (True Color)



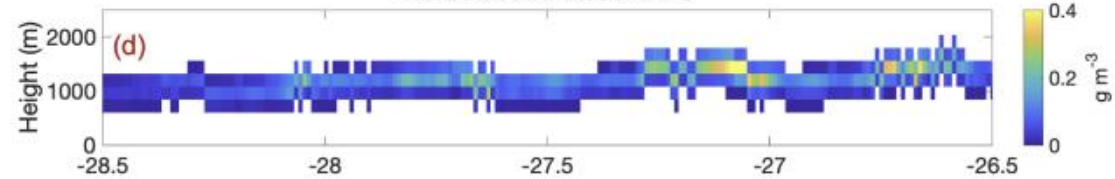
Liquid Water Path



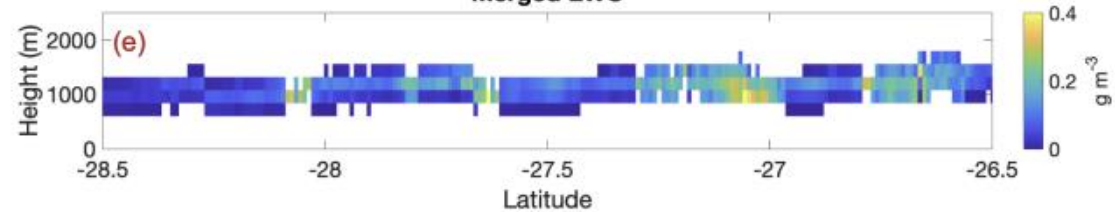
RVOD Cloud LWC



Subadiabatic Model LWC



Merged LWC

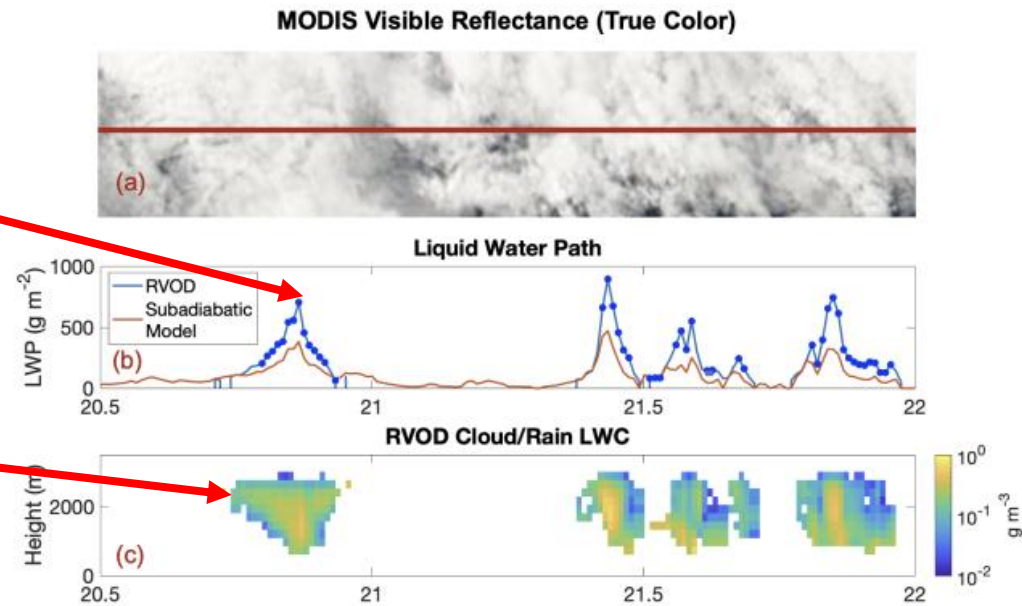


# Problem #2

## Radar retrievals misdiagnose precipitation as cloud water

Overestimated  
LWP

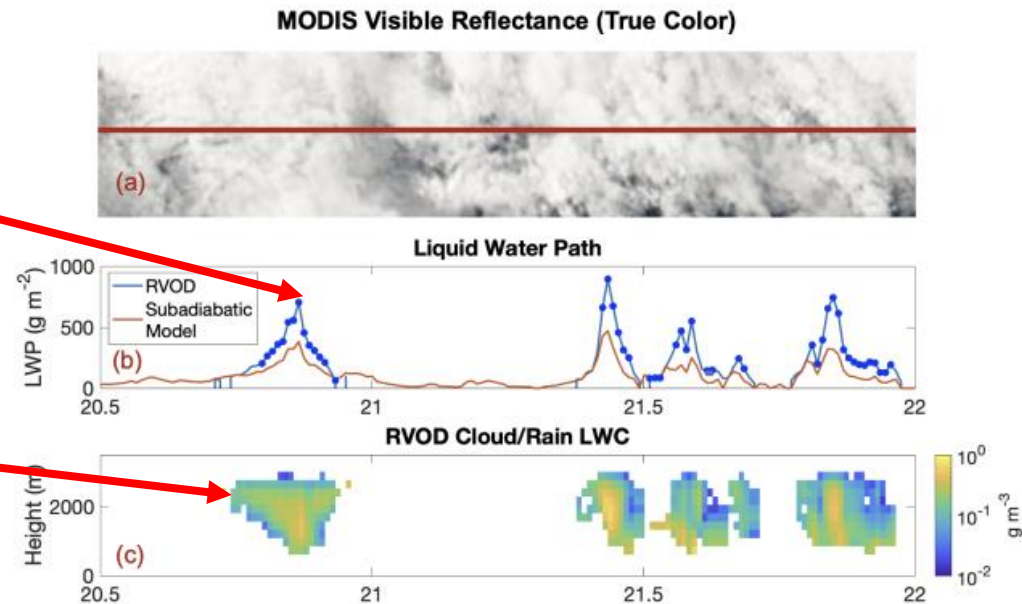
Drizzle Cells



## Radar retrievals misdiagnose precipitation as cloud water

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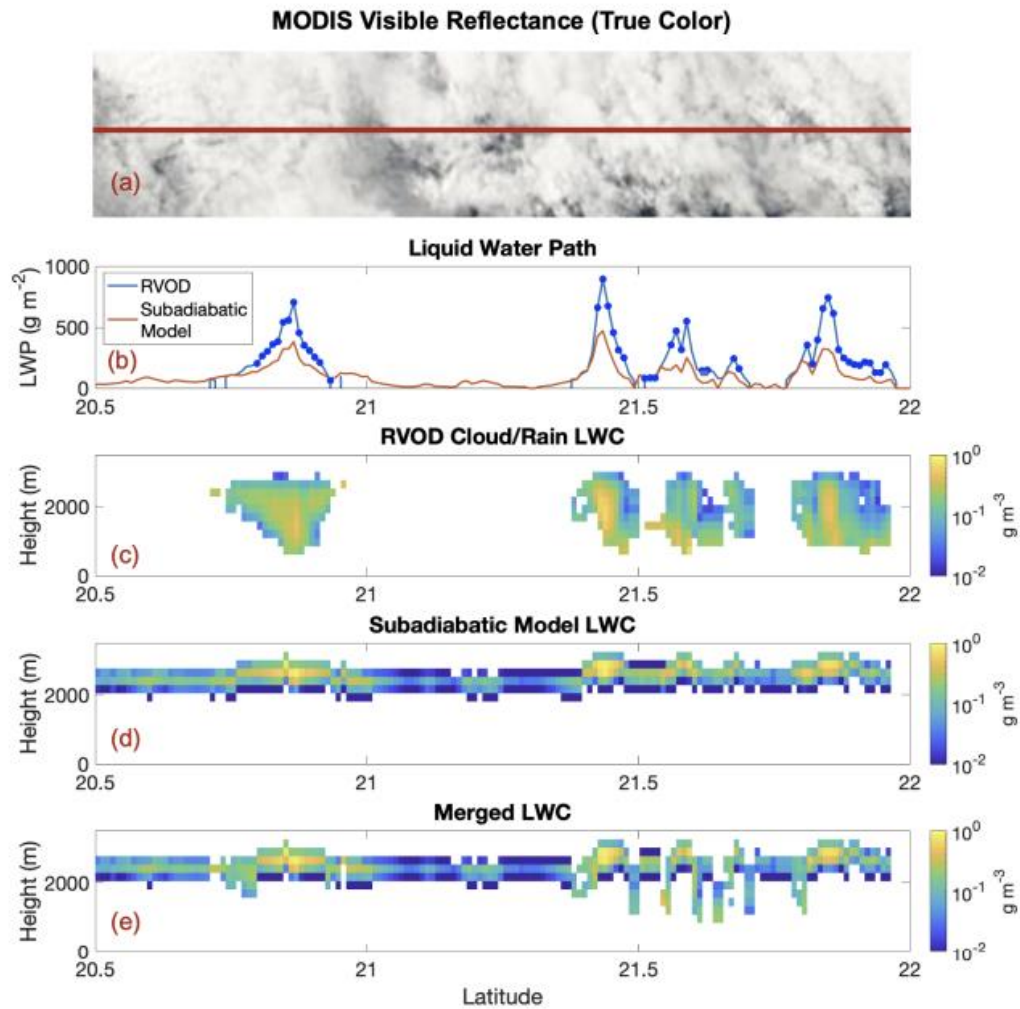


Solution #2:

Use Vis/NIR retrievals to infer vertical profiles



# Example Solution #2 (drizzle clouds)



# *Problem #3*

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We have no Vis/NIR retrievals at night

OR

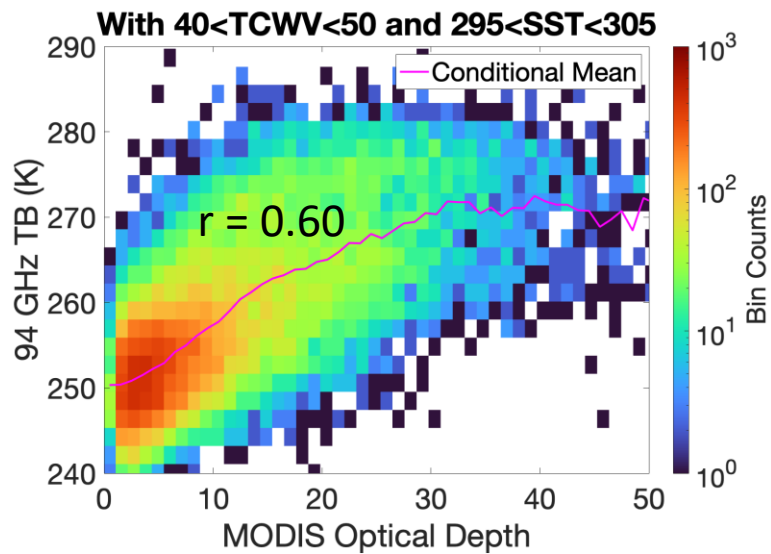
Vis/NIR retrievals fail

# Problem #3

We have no Vis/NIR retrievals at night

OR

Vis/NIR retrievals fail

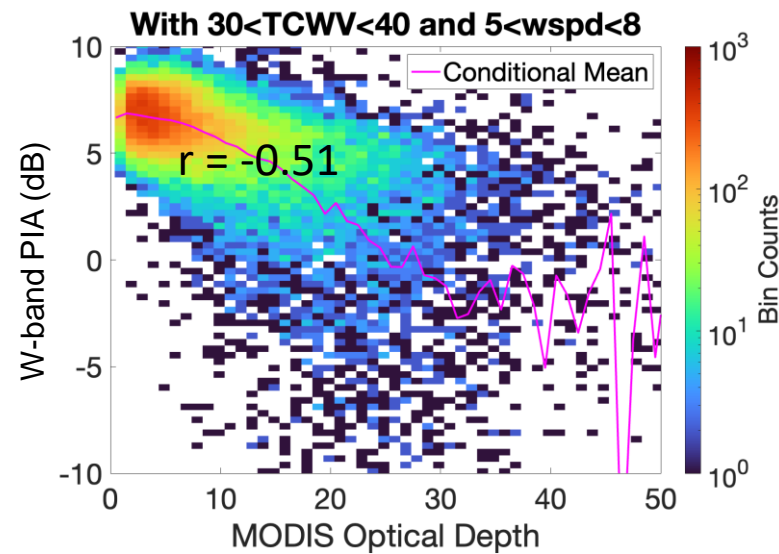
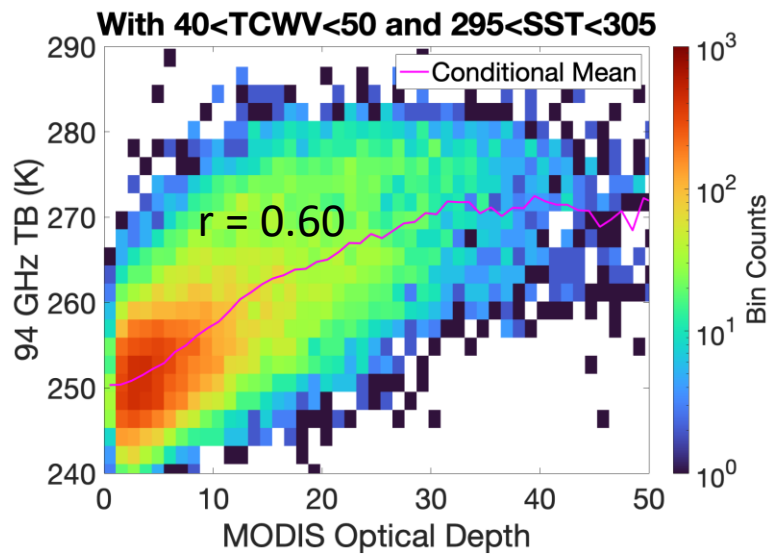


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Vis/NIR retrievals fail

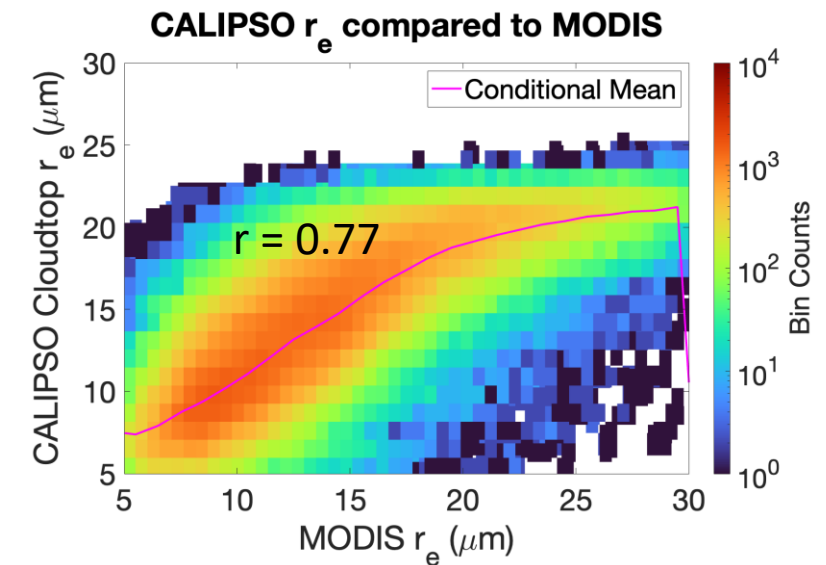
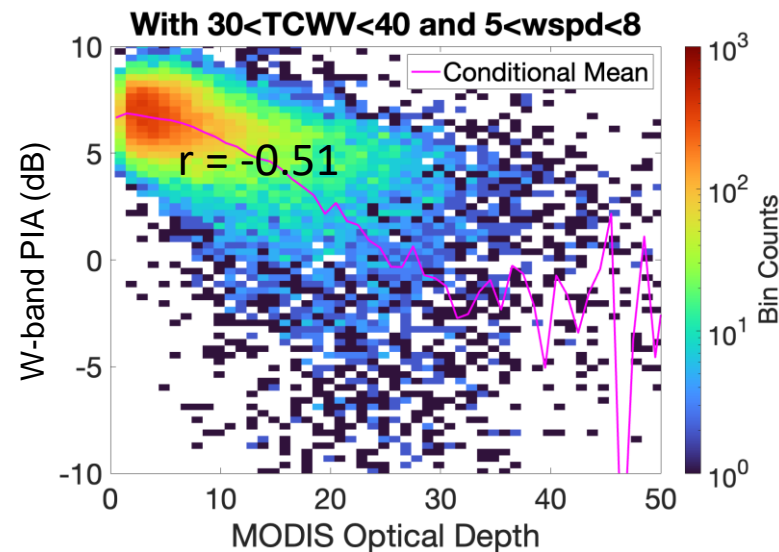
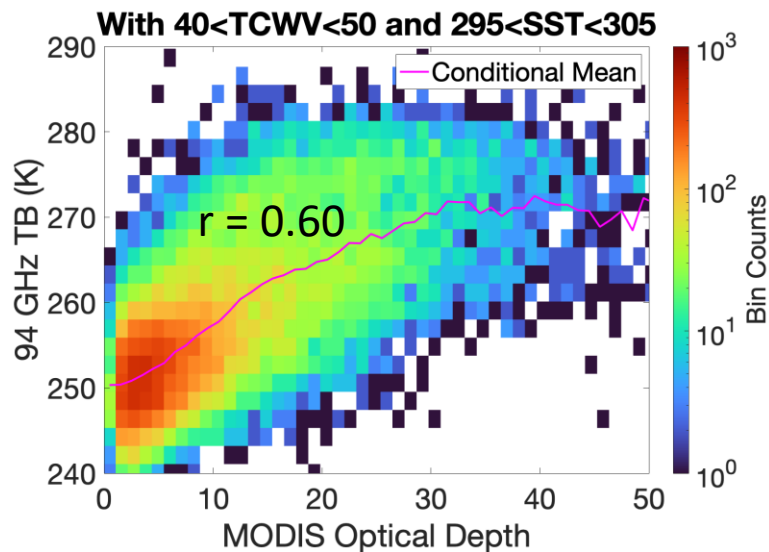


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# Problem #3

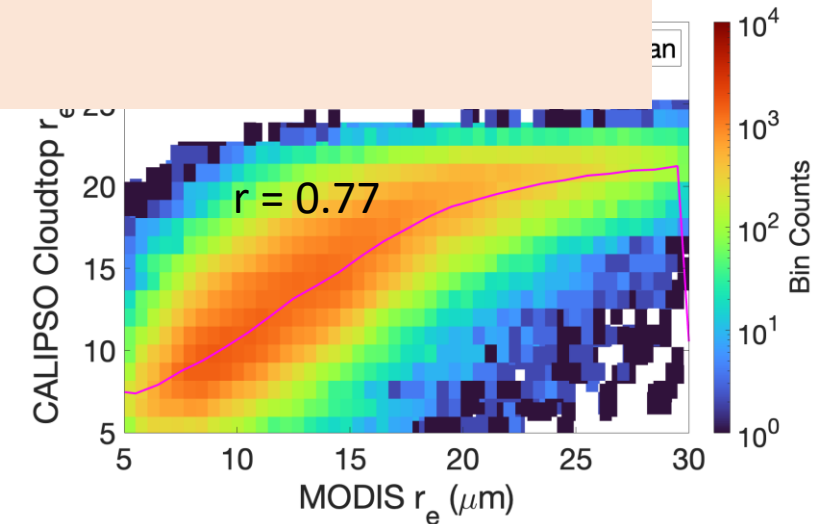
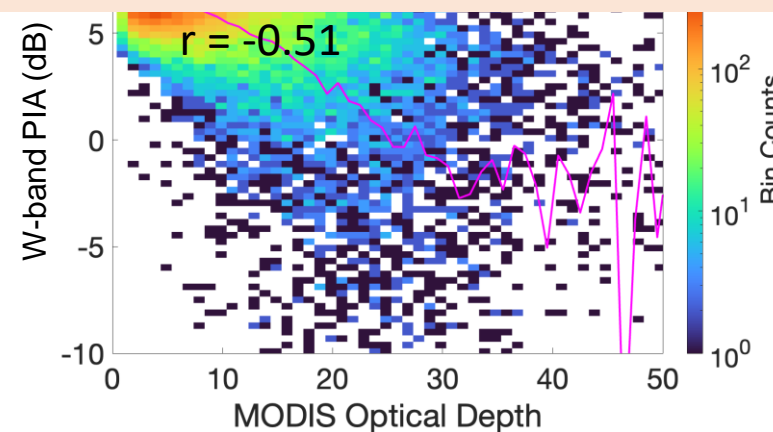
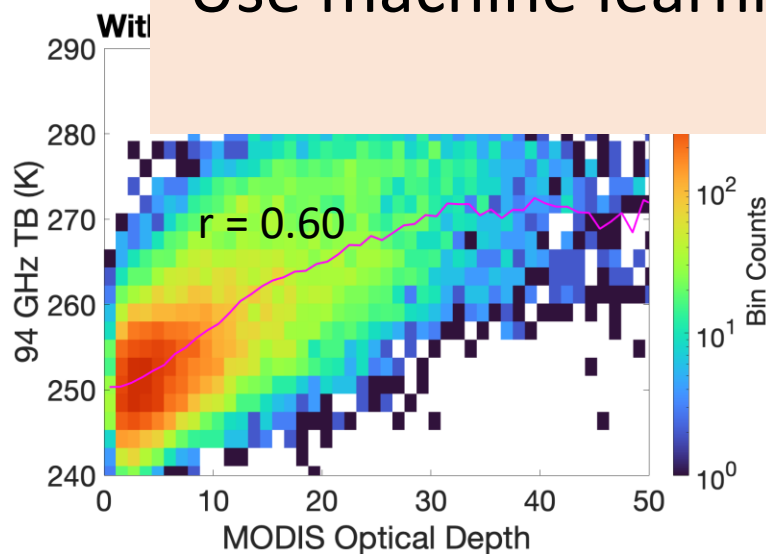
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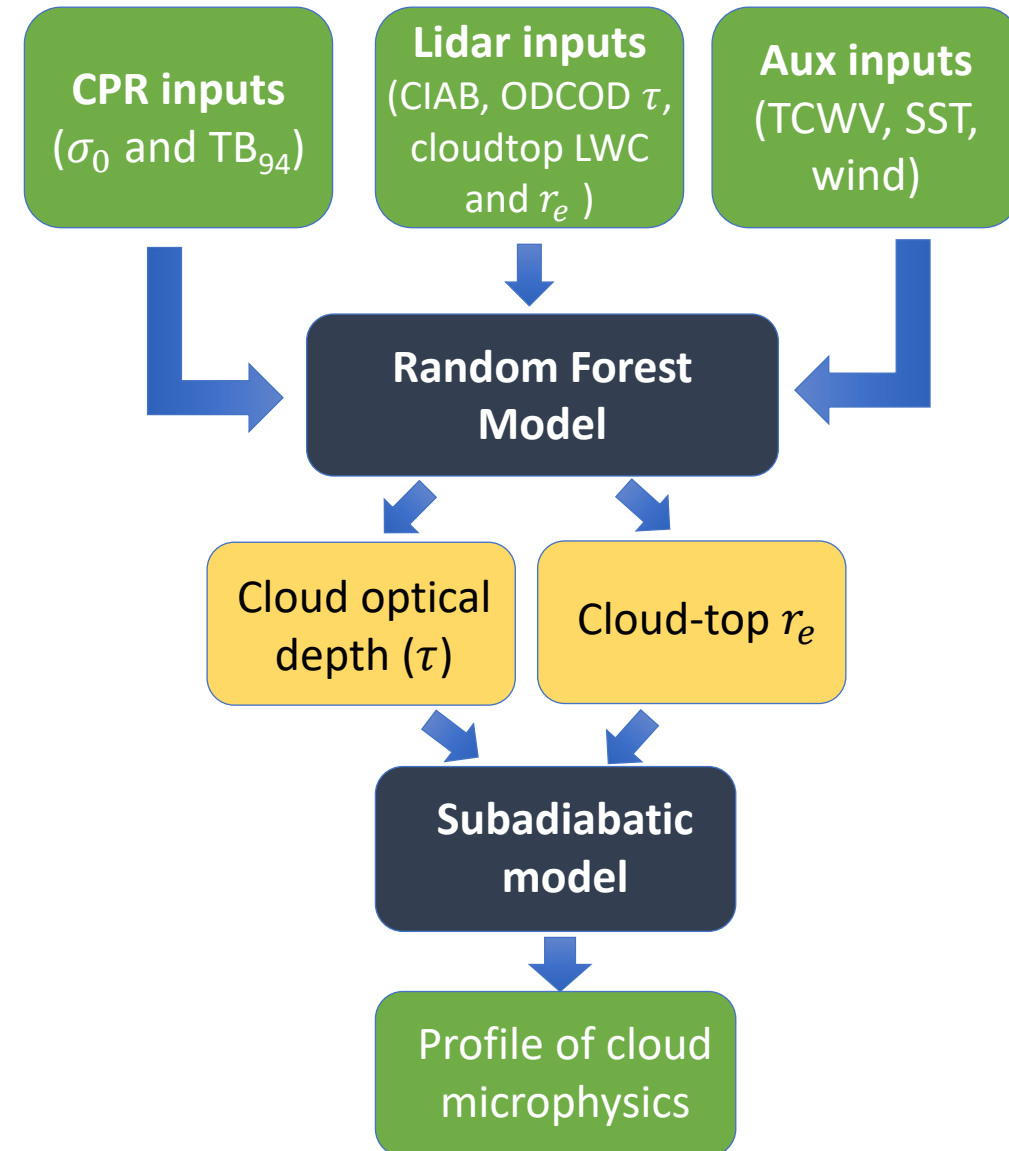
Solution #3:

Use machine learning to exploit available observables to infer optical depth and effective radius

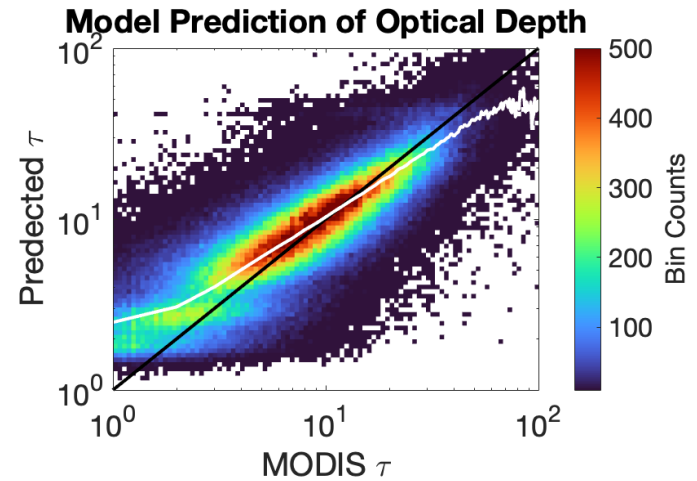


# Machine Learning Approach

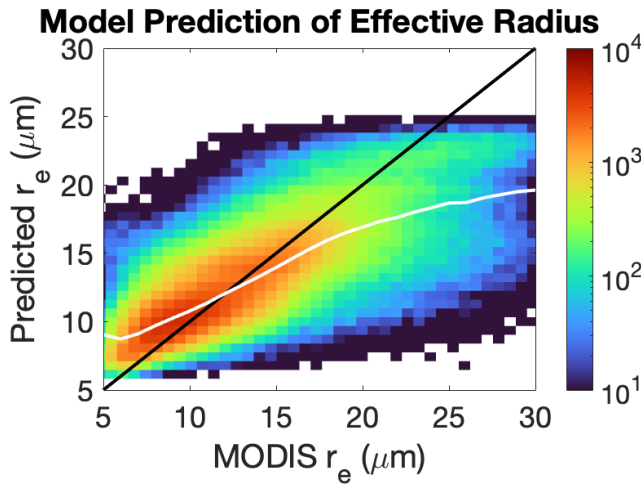
- Random forest regression model details:
  - Trained on Jan 2008, tested on February 2008
  - 50 trees
  - Ocean pixels only
  - Used Python's scikit-learn package
- Model inputs:
  - CPR surface return ( $\sigma_0$ ) and 94 GHz brightness temperature ( $TB_{94}$ )
  - ECMWF environmental data: total column water vapor (TCWV), SST, and surface wind speed
  - CALIOP 532 nm column integrated attenuated backscatter (CIAB) and ODCOD optical depth
  - CALIPSO-based estimates of cloud top LWC and  $r_e$  from (Hu et al. 2021)



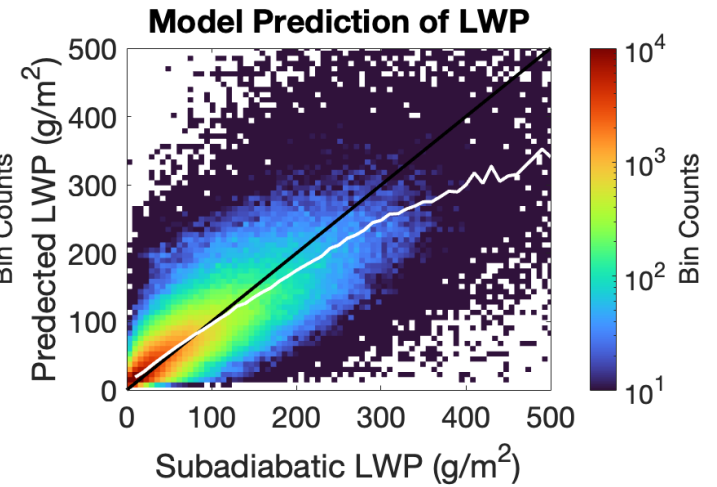
# ML Model Performance



$r = 0.77$   
MAE = 3.41  
Bias = +0.22



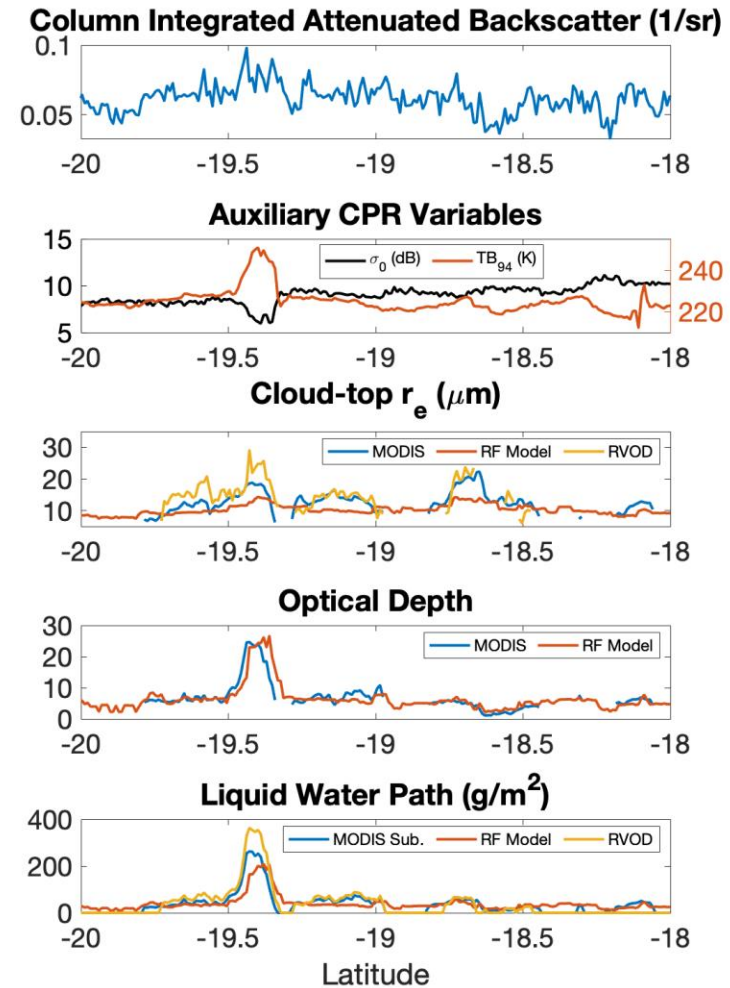
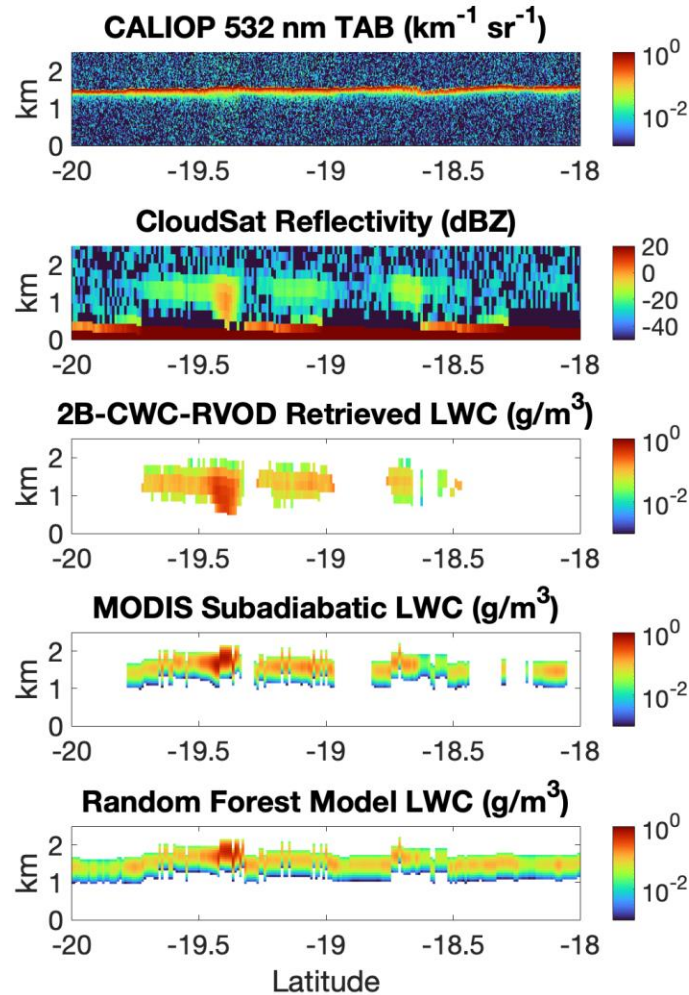
$r = 0.76$   
MAE = 2.22  $\mu\text{m}$   
Bias = +0.05  $\mu\text{m}$



$r = 0.81$   
MAE = 27.6  $\text{g}/\text{m}^2$   
Bias = +2.1  $\text{g}/\text{m}^2$



# ML Case Study



1. Radar-based retrievals of low-cloud profiles have major deficiencies:
  - Missed detection
  - Precipitation contamination
2. (Sub)adiabatic theory in good agreement with radar observations for non-precipitating clouds.
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