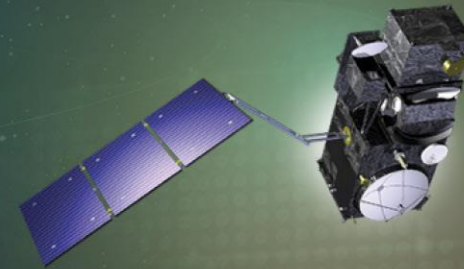




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# 7<sup>th</sup> Sentinel-3 Validation Team Meeting 2022

18-20 October 2022 | ESA-ESRIN | Frascati (Rm), Italy

## A new (validation) approach for systematic monitoring of the Sentinel-3 OLCI cloud mask using low-cost sky cameras

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## Overview of the talk

- Background
- Objective/Overview
- Validation site and methods preparation
- Validation results
- Current limitations & network extension
- Conclusion



## Background

- Validation of satellite-based cloud masks is commonly done by the algorithm developers themselves.
  - Non-independent validation
- A few attempts have been made to objectively inter-compare performances of satellite-based cloud masking algorithms (e.g., Skakun et al. 2021, Zekoll et al. 2021, Tarrío et al. 2020, Hammersson Sanchez et al. 2020, Chi & Zhang 2020).
- All these validations/inter-comparisons are based on different datasets, leading to variable results even if the same algorithm is analyzed.
  - This was shown during the Cloud Mask Intercomparison eXercise (CMIX)
- Most validation datasets are sensor dependent and don't allow cross-sensor validation of multi-sensor cloud detection algorithms.
- No validation dataset allows for operational constant QC of cloud mask performance.

**Goal: An independent validation source for cloud masking algorithms is needed, which is sensor independent and allows operational QC usage**

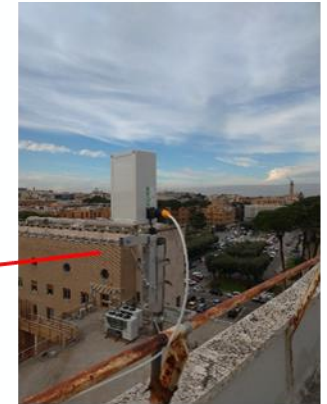
## Objective / Overview

- Objective: Define a standard (objective) method to monitor and validate the OLCI Level 2 cloud masking within the Optical Mission Performance Centre (OPT-MPC). To guarantee constant quality of the cloud screening and to provide quality indicators for routine quality assessment (QA).
- Work presented here started in the frame of ESA's Quality assurance framework for earth observation (QA4EO).
  - The objective was to analyse the usage of ground-based sky cameras (SC), as an independent validation source for satellite cloud masking algorithms.
  - The scope of this work was to prototype algorithms and methods to process sky camera data and compare them with satellite-based cloud masks.
  - A successful prototype was developed and tested based on Sentinel-2; Results presented at ESA's Living Planet.
  - Results are documented here: <https://doi.org/10.5281/zenodo.6626712> and <https://doi.org/10.5281/zenodo.6626802>
- In the context of QA4EO and OPT-MPC the approach was adapted to be used with Sentinel-3 OLCI L2 data

## Validation site and methods preparation

### Instrumentation setup

- A set of two cameras (stereo pair) was setup at La Sapienza University in Rome.
- The cameras use a pair of Raytheon Omnicam OV564 cameras. The field of view is 194 (horizontal) and 108 (vertical) meters. Distance between cameras is 10 meters. Currently collecting data every minute between 08:00 and 14:00 UTC.
- Sky camera two (Fermi) is located approx. 20m apart from the ceilometer (RAP)
  - comparisons between the RAP and SC based cloud detection



Camera 1: Marconi

Sky Camera 2: Fermi

Sky cameras are developed by University of Maryland & NASA

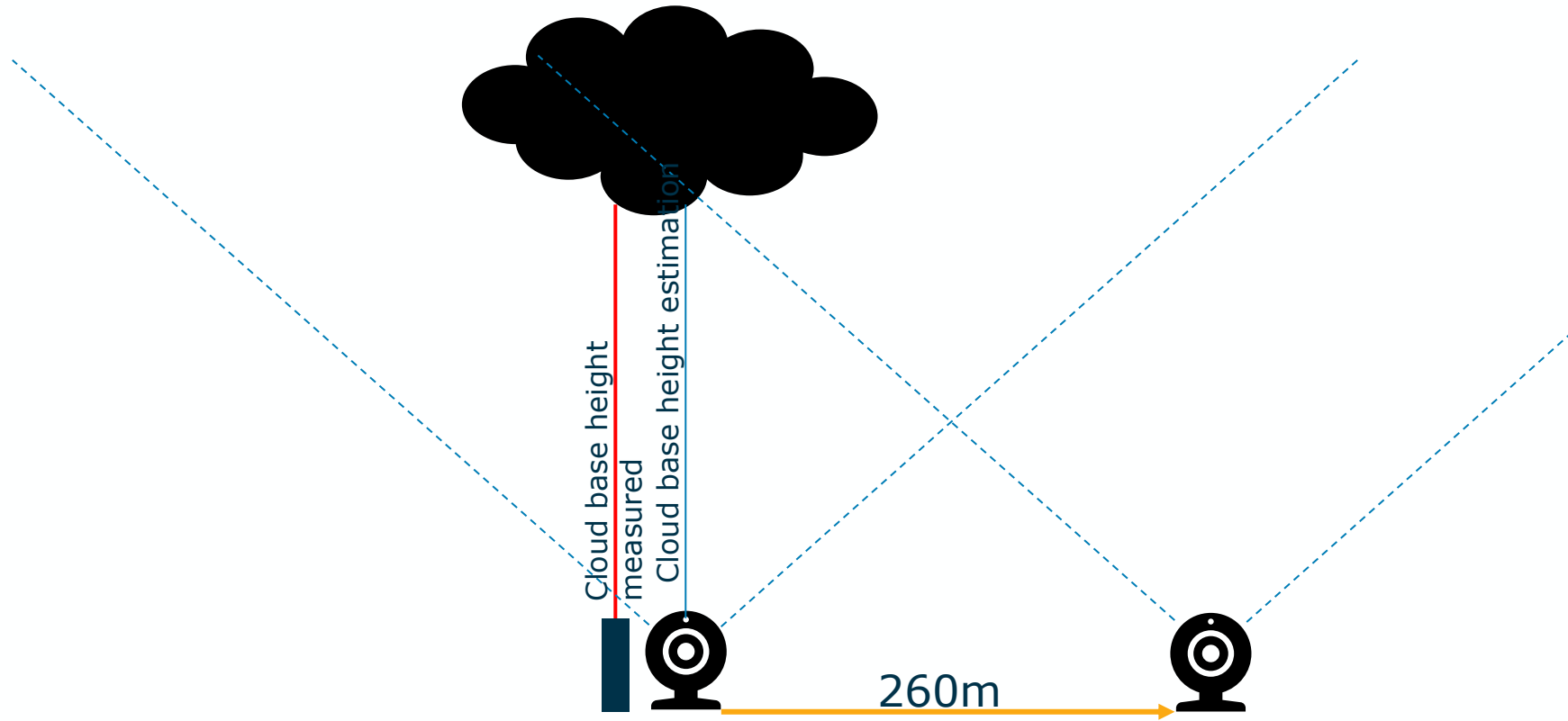
Skakun, S., Vermote, E. F., Santamaria-Artigas, A., Rountree, W. H., & Roger, J. C. (2021). An experimental sky-image-derived cloud validation dataset for Sentinel-2 and Landsat 8 satellites over NASA GSFC. International Journal of Applied Earth Observation and Geoinformation, 95, 102253.



Raymetrics Aerosol Profiler (RAP)



## SkyCam and RAP setup @La Sapienza



## Pre-processing of sky camera data to better match the satellite observations

- Crop: Reduce geometric distortion (increasing outside of the center).
- Rotate: The SCs are installed looking a bit northwest.
- Flip: The SC is looking from the ground up and the satellite does the opposite.



Example comparing SC with Sentinel-2 data for better understanding of spatial relationships

## Finding an appropriate classification method

- A few methods have been tested that have not led to required accuracies.

- Simple threshold
- Otsu threshold
- Otsu threshold
- Implementing a method to improve the results
- Brightness index

- Training of a random forest classifier

- 12 to 15 SC images
- Polygons representing cloud masks
- Inside these polygons, training samples are collected
- Overall, 11,100 samples for SC1 and 27,500 samples for SC2 have been collected.

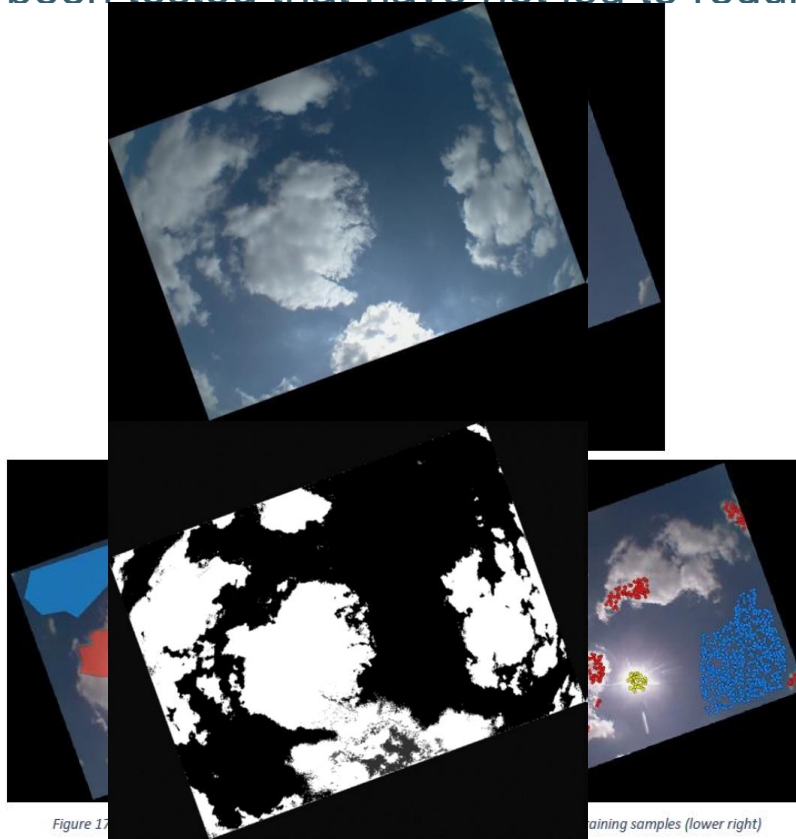


Figure 17

training samples (lower right)

images to

images.



Figure 14: SC 1 image 26.03.2021

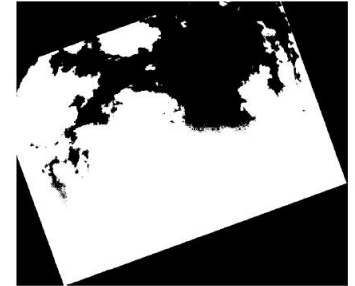


Figure 15: Classification using Otsu threshold after Gaussian filtering

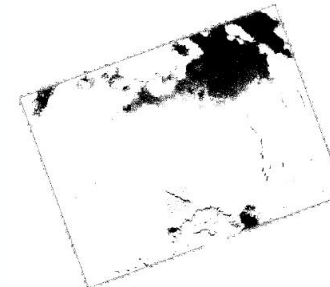


Figure 16: Classification using BISI method from Letu et al. 2014



## Validation results for the RF classifier

- Validation of the RF classifier shows high accuracy (93-96% OA)

SkyCam 1 manual classification vs. SkyCam 1 auto classification

		Sky Camera 1 manual classification				
Sky Camera 1 automatic classification	Class	Clear	Cloud	Sum	U A	E
	CLEAR	30	2	32	93.8	6.2
	CLOUD	2	27	29	93.1	6.9
	Sum	32	29	61		
	P A	93.8	93.1		OA:	93.44
	E	6.2	6.9		BOA:	93.45

Scotts Pi: 0.868  
 Krippendorfs alpha: 0.869  
 Cohens kappa: 0.868

SkyCam 2 manual classification vs. SkyCam 2 auto classification

		Sky Camera 2 manual classification				
Sky Camera 2 automatic classification	Class	Clear	Cloud	Sum	U A	E
	CLEAR	38	1	39	97.4	2.6
	CLOUD	1	26	27	96.3	3.7
	Sum	39	27	66		
	P A	97.4	96.3		OA:	96.97
	E	2.6	3.7		BOA:	96.85

Scotts Pi: 0.937  
 Krippendorfs alpha: 0.937  
 Cohens kappa: 0.937

## Test validation for OLCI – automatic SC classification

- Sentinel-3 OLCI results between 01.01.2021 and 31.12.2021
- 282 matchups
- 1 OLCI pixel over SC site is validated against cloud fraction in window of SC
- SC cloud defined as 50% cloud cover in 500x500 pixel window
- Comparable low OA 78% due to skewed reference
- Calculation of balanced overall accuracy (BOA) can correct for this: 82%
- These numbers are quite comparable with the validation results of OLCI PixBox validation (2021) over land surfaces

### Sky Camera validation over Rome Using 2021 LFR data

SC 1 automatic classification vs. OLCI L2 LFR Cloud & Ambiguous & Margin

		Sky Camera 1			U A	E
Class		Clear	Cloud	Sum		
OLCI L2 LFR	CLEAR	136	7	143	95.1	4.9
	CLOUD	53	86	139	61.9	38.1
	Sum	189	93	282		
	P A	72.0	92.5		OA:	78.72
	E	28.0	7.5		BOA:	82.25

Scotts Pi: 0.56  
Krippendorfs alpha: 0.561  
Cohens kappa: 0.572



## Comparing the test validation for OLCI against latest PiBox collection

- PiBox validation is based only on the neural network part of the L2 cloud screening
- BOA is quite comparable
- Skewed distribution of SC classification hinders comparison a bit
  - Rome is often cloud free
  - Tendencies still comparable

**Sky Camera validation over Rome  
Using 2021 LFR data**

SC 1 automatic classification vs. OLCI L2 LFR Cloud & Ambiguous  
Sky Camera 1

OLCI L2 LFR	Sky Camera 1			U A	E	
	Class	Clear	Cloud			Sum
CLEAR		164	16	180	91.1	8.9
CLOUD		25	77	102	75.5	24.5
Sum		189	93	282		
P A		86.8	82.8		OA:	85.46
E		13.2	17.2		BOA:	84.8

Scotts Pi: 0.678  
Krippendorfs alpha: 0.679  
Cohens kappa: 0.678

**PiBox validation 2021  
using 2018 data over land surfaces**

OLCI A+B FR IdePix cloud val. - land surfaces  
In-Situ Database

OLCI FR IdePix	In-Situ Database			U A	E	
	Class	Clear	Cloud			Sum
CLEAR		3442	443	3885	88.6	11.4
CLOUD		1039	3183	4222	75.4	24.6
Sum		4481	3626	8107		
P A		76.8	87.8		OA:	81.72
E		23.2	12.2		BOA:	82.3

Scotts Pi: 0.634  
Krippendorfs alpha: 0.634  
Cohens kappa: 0.635



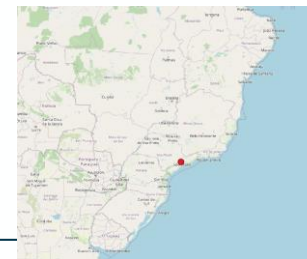
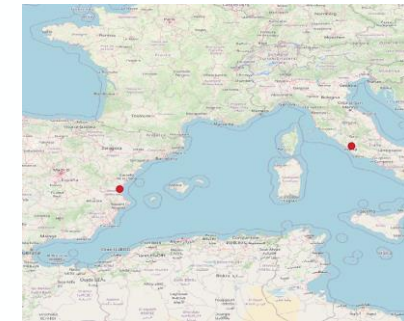
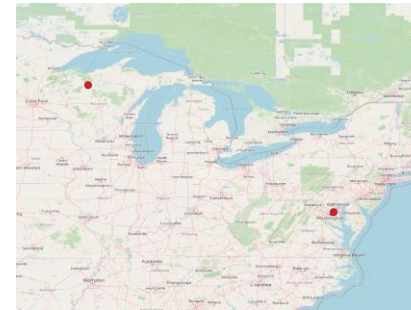


## Current limitations

- Algorithm to remove lens distortion no implemented yet
- Best fitting window size needs to be defined
- Best method for integration of classification results within window needs to be defined
- Influence of cloud parallax between OLCI acquisition and sky camera acquisition needs to be analysed
  - Issue for HR sensors like S2
- More sites are required to provide globally representative results.

## Network extension

- 5 additional sites (6 sites total)
  - Goddard Space Flight Center, USA
  - Park Falls, Wisconsin, USA
  - Valencia, Spain
  - Sao Paulo, Brazil
  - Princess Elisabeth Station, Antarctica



## Current use within the OPT-MPC

- The prototype is currently used to provide QA numbers for the monthly Data Quality Reports
- Limited to the Rome site but will be extended to all six sites.

COPERNICUS SPACE COMPONENT SENTINEL OPTICAL IMAGING  
MISSION PERFORMANCE CLUSTER SERVICE

**Data Quality Report**  
Sentinel-3 OLCI

Copernicus Sentinel  
Optical Mission Performance Cluster

Ref.: OMPACR.DQR.02.MM.YYYY  
Issue: 1.0  
Date: dd/mm/YYYY  
Contract: 4000136252/Z1/I-BG

OPT-MPC  
Data Quality Report - Sentinel-3 OLCI

Ref.: OMPACR.DQR.02.MM.YYYY  
Issue: 1.0  
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makes the interpretation of the results a bit complicated since the calculation of a BOA makes no sense if not at least a few cloud observations are present. Nevertheless, the detection of the clear cases is very good.

SC 1 automatic classification vs. OLCI L2 LFR Cloud & Ambiguous & Margin

		Sky Camera 1			U A	E
Class	Clear	Cloud	Sum			
OLCI L2 LFR	CLEAR	36	0	36	100.0	0.0
CLOUD	2	0	2	0.0	100.0	
Sum	38	0	38			
PA	94.7	0		OA:	94.74	
E	5.3	100		BOA:	47.35	

Scotts Pi: -0.027  
Krippendorfs alpha: -0.013  
Cohens kappa: 0.0

Figure 6: Confusion matrix showing validation results for OLCI L2 cloud screening against SC1 automated classification

End of document

Figure 1 shows the prototype validation results for August 2022. The weather in August around Rome is always still quite arid. Therefore, the majority of the SC observation show no clouds. This lack of observations unfortunately makes the interpretation of the results a bit complicated since there is skewness in the distribution. Calculation of a balanced overall accuracy (BOA) can decrease the effect caused by the skewed distribution. Nevertheless, the BOA and OA values agree with reported OLCI the last Data Quality Report.

SC 1 autom. classif. vs. OLCI L2 LFR Cloud & Ambiguous & Margin - Aug 2022

		Sky Camera 1			U A	E
Class	Clear	Cloud	Sum			
OLCI L2 LFR	CLEAR	28	1	29	96.6	3.4
CLOUD	5	5	10	50.0	50.0	
Sum	33	6	39			
PA	84.8	83.3		OA:	84.62	
E	15.2	16.7		BOA:	84.05	

Scotts Pi: 0.528  
Krippendorfs alpha: 0.534  
Cohens kappa: 0.535

Figure 1: Confusion matrix showing validation results for OLCI L2 cloud screening against SC1 automated classification

OPT-MPC  
Data Quality Report - Sentinel-3 OLCI

Ref.: OMPACR.DQR.02.MM.YYYY  
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Figure 2: Sky camera acquisitions over Rome during Sentinel-3 OLCI overpass

Due to the considerable high cloud occurrence again there is a great skewness in the distribution. Calculation of a balanced overall accuracy (BOA) can decrease the effect caused by the skewed distribution.

Figure 3 shows the validation results for the OLCI cloud flags including the margin. As explained before, the combination of clouds close to the centre of the SC and usage of the margin flag lead to deviating results. This can be seen in the Producers Accuracy (PA) for clear pixels or User Accuracy (UA) for cloudy pixels, showing a good number of clear pixels (SC, the reference) being detected as cloudy. When neglecting the margin (see Figure 5) the performance is better and agrees with the latest validation. The results for September also show the general behaviour of the margin flag. The margin helps to flag clouds at the cloud border that still can be influenced by clouds, but also comes with the effect of commissioning errors.

OPT-MPC  
Data Quality Report - Sentinel-3 OLCI

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SC 1 autom. classif. vs. OLCI L2 LFR Cloud & Ambiguous & Margin - Aug 2022

		Sky Camera 1			U A	E
Class	Clear	Cloud	Sum			
OLCI L2 LFR	CLEAR	11	1	12	91.7	8.3
CLOUD	8	7	15	46.7	53.3	
Sum	19	8	27			
PA	57.9	87.5		OA:	66.67	
E	42.1	12.5		BOA:	72.7	

Scotts Pi: 0.318  
Krippendorfs alpha: 0.33  
Cohens kappa: 0.362

Figure 4: Confusion matrix showing validation results for OLCI L2 cloud screening including margin against SC1 automated classification

SC 1 autom. classif. vs. OLCI L2 LFR Cloud & Ambiguous - Sep 2022

		Sky Camera 1			U A	E
Class	Clear	Cloud	Sum			
OLCI L2 LFR	CLEAR	18	2	20	90.0	10.0
CLOUD	1	6	7	85.7	14.3	
Sum	19	8	27			
PA	94.7	75.0		OA:	88.89	
E	5.3	25.0		BOA:	84.85	

Scotts Pi: 0.723  
Krippendorfs alpha: 0.728  
Cohens kappa: 0.723

Figure 5: Confusion matrix showing validation results for OLCI L2 cloud screening excluding margin against SC1 automated classification



## Conclusion

- First prototype testing of validating OLCI cloud mask with SC shows quite comparable results
- The development is still in a prototype phase
- Currently only a few sites exist (six), and all are located over land surfaces
- The development of a complete network will take some time
  
- The SC approach can be used as a valuable source for routine monitoring of the cloud mask performance -> automated input to the monthly performance reports





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