



# ESA-JAXA Pre-Launch EarthCARE Science and Validation Workshop

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Cloud and Precipitation Microphysics Retrieval for EarthCARE's Doppler  
Cloud Profiling Radar Measurements

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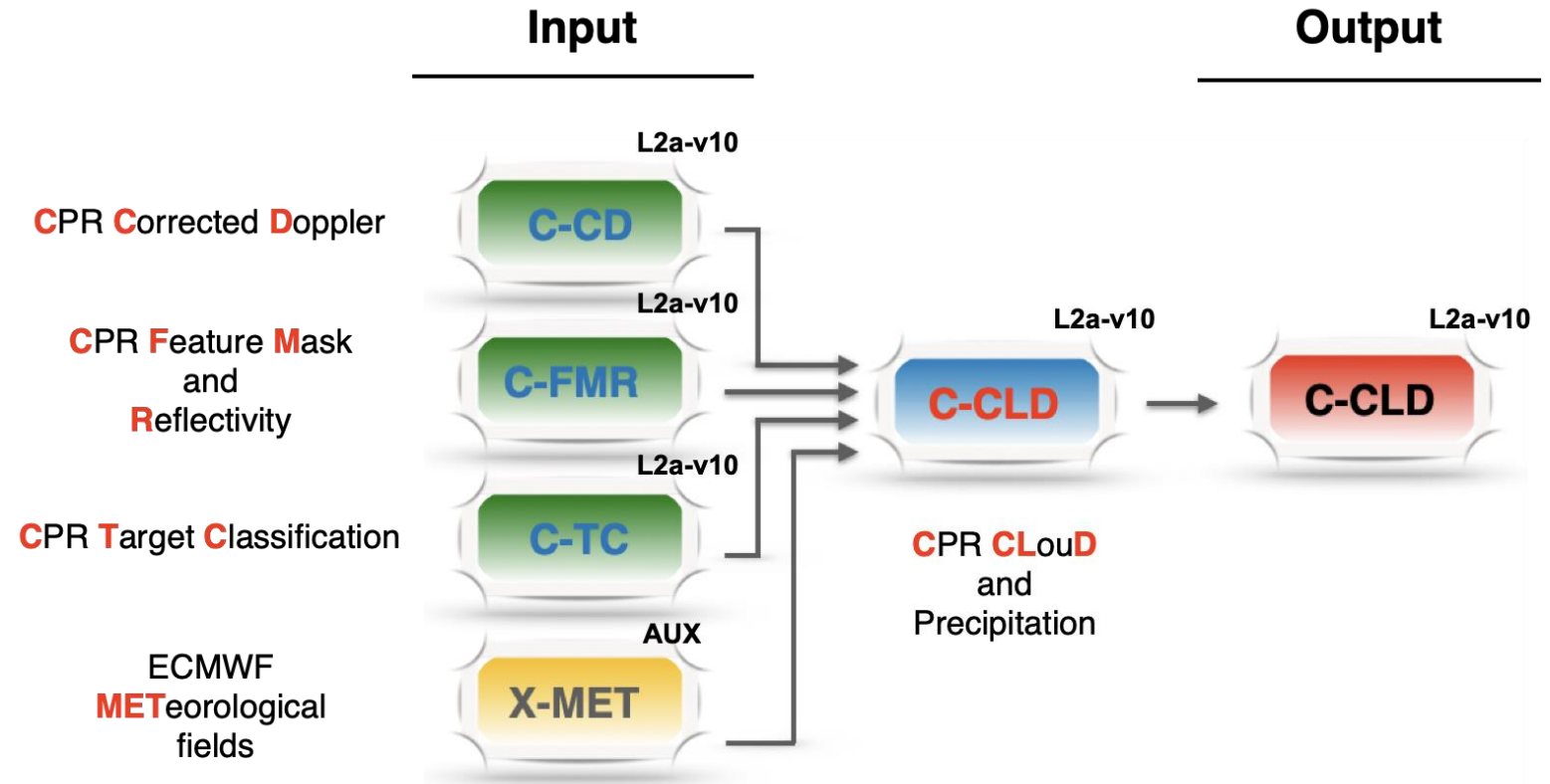
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# C-CLD overview



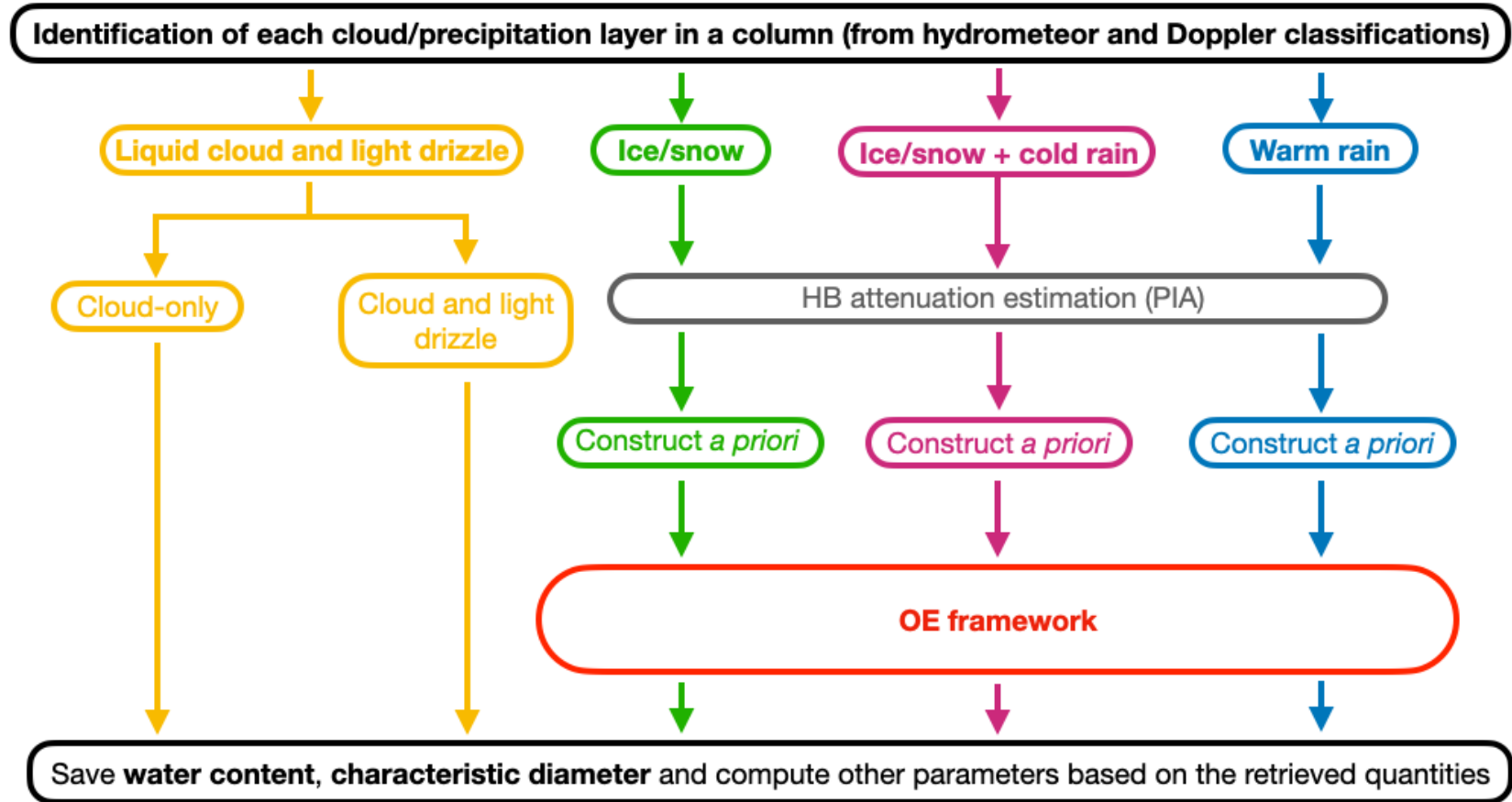
- Only the CPR data utilized:
  - C-FMR reflectivity
  - C-CD Doppler velocity
  - C-TC classification
- The algorithm is based on a profile-by-profile approach
- It is based on the Optimal Estimation framework
- Vertical distribution of 2 microphysical parameters is determined, i.e., water content and characteristic size



# C-CLD overview



- C-CLD is composed of several modules
- C-TC classification is used to select the specific algorithm
- Liquid cloud and light drizzle algorithm based on the power law relationships
- Snow/cold & warm rain algorithms are based on the OE





The OE framework aims at balancing the information provided by:

- The CPR measurements in the entire column
- The statistical information on ground-based precipitation rates and sizes
- Continuity of estimated quantities

$$2\phi = \underbrace{[y - F(x)]^T R_Y^{-1} [y - F(x)]}_{\text{Measurement component}} + \overbrace{[x - x_a]^T R_a^{-1} [x - x_a]}^{\text{A-priori term}} + \underbrace{x^T R_S^{-1} x}_{\text{Continuity}};$$

F - forward model

y – measured reflectivity and sedimentation velocity

x – state vector

$x_a$  – a-priori estimate of the state vector,

$R_y^{-1}$ ,  $R_a^{-1}$ ,  $R_x^{-1}$  - weights

# OE concept: measurement term



$$2\phi = \underbrace{[\mathbf{y} - \mathbf{F}(\mathbf{x})]^T \mathbf{R}_Y^{-1} [\mathbf{y} - \mathbf{F}(\mathbf{x})]}_{\text{Measurement component}} + \underbrace{[\mathbf{x} - \mathbf{x}_a]^T \mathbf{R}_a^{-1} [\mathbf{x} - \mathbf{x}_a]}_{\text{A-priori term}} + \underbrace{\mathbf{x}^T \mathbf{R}_S^{-1} \mathbf{x}}_{\text{Continuity}}$$

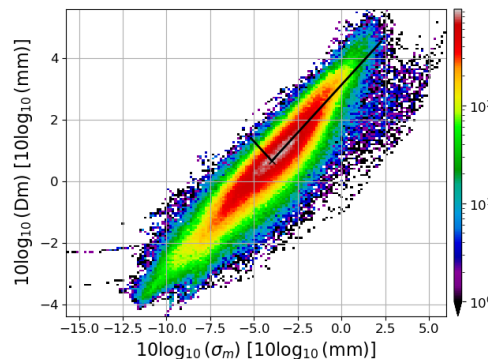
Measurement component

Continuity

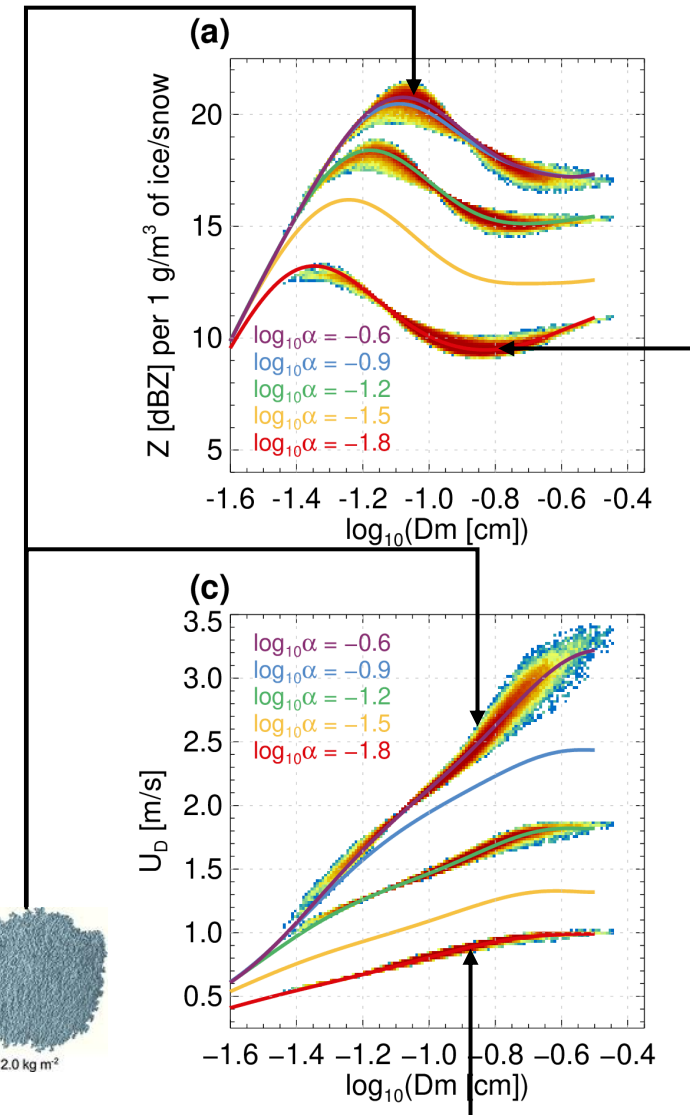
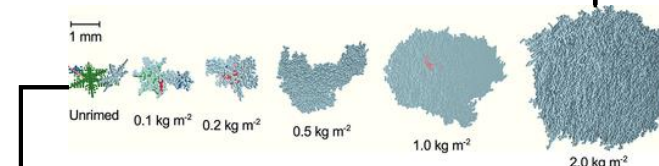
- Scattering properties of rain are simulated using T-matrix approximation
- Radar measurements are simulated using a scattering dataset that correspond to realistic snowflake shapes via the Discrete Dipole Approximation.
- Riming is parameterized, assuming the "fill-in" model
- Particle size distribution modeled as the Gamma function:

$$N(D) = \Gamma(D; D_m, WC, \mu)$$

where  $\mu =$   
 $\left(\frac{D_m}{\sigma_m}\right)^2 - 4 \approx$   
 $10D_m^{-0.8} - 4$



Leinonen & Szyrmer (2015)



C-CLD product

# OE concept: a-priori term



$$2\phi = \underbrace{[y - F(x)]^T R_Y^{-1} [y - F(x)]}_{\text{Measurement component}} + \overbrace{[x - x_a]^T R_a^{-1} [x - x_a]}^{\text{A-priori term}} + \underbrace{x^T R_S^{-1} x}_{\text{Continuity}}$$

**Ice/snow** (Matrosov & Heymsfield 2008, 2017):

**Rain** (NASA DSD dataset):

$$WC [g/m^3] = 0.086 (Z_e [mm^6m^{-3}])^{0.92};$$

$$\log_{10} D_m [cm] = 0.01 Z_e [dBZ] - 1.55 \pm 0.15 \text{ for } 10 \text{ dBZ} < Z_e$$

$$D_m [cm] = 0.052 (Z_e [dBZ])^{0.280} \text{ for snow,}$$

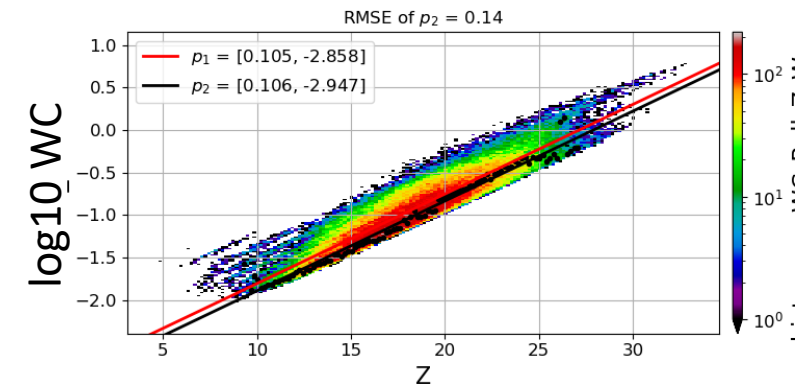
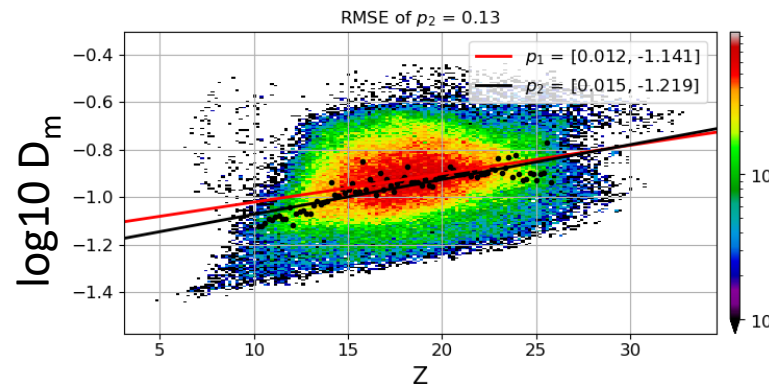
$$0.047 (Z_e [dBZ])^{0.294} \text{ for cirrus}$$

$$\log_{10} WC [g/m^3] = 0.109 Z_e [dBZ] - 2.93 \pm 0.2 \text{ for } Z_e > 12.5 \text{ dBZ}$$

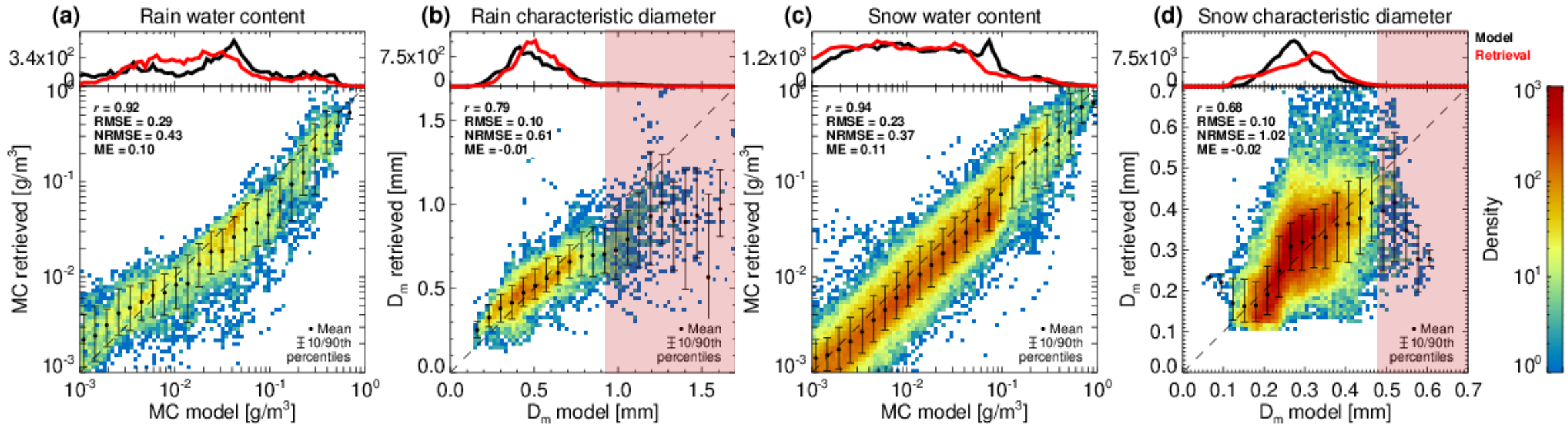
$$= 0.038 Z_e [dBZ] - 2.04 \pm 0.3 \text{ for } Z_e < 12.5 \text{ dBZ}$$

Uncertainty in  $\log_{10} WC$  of 0.9 B

Uncertainty in  $\log_{10} D_m$  of 0.9 B



# Validation: GEM simulations

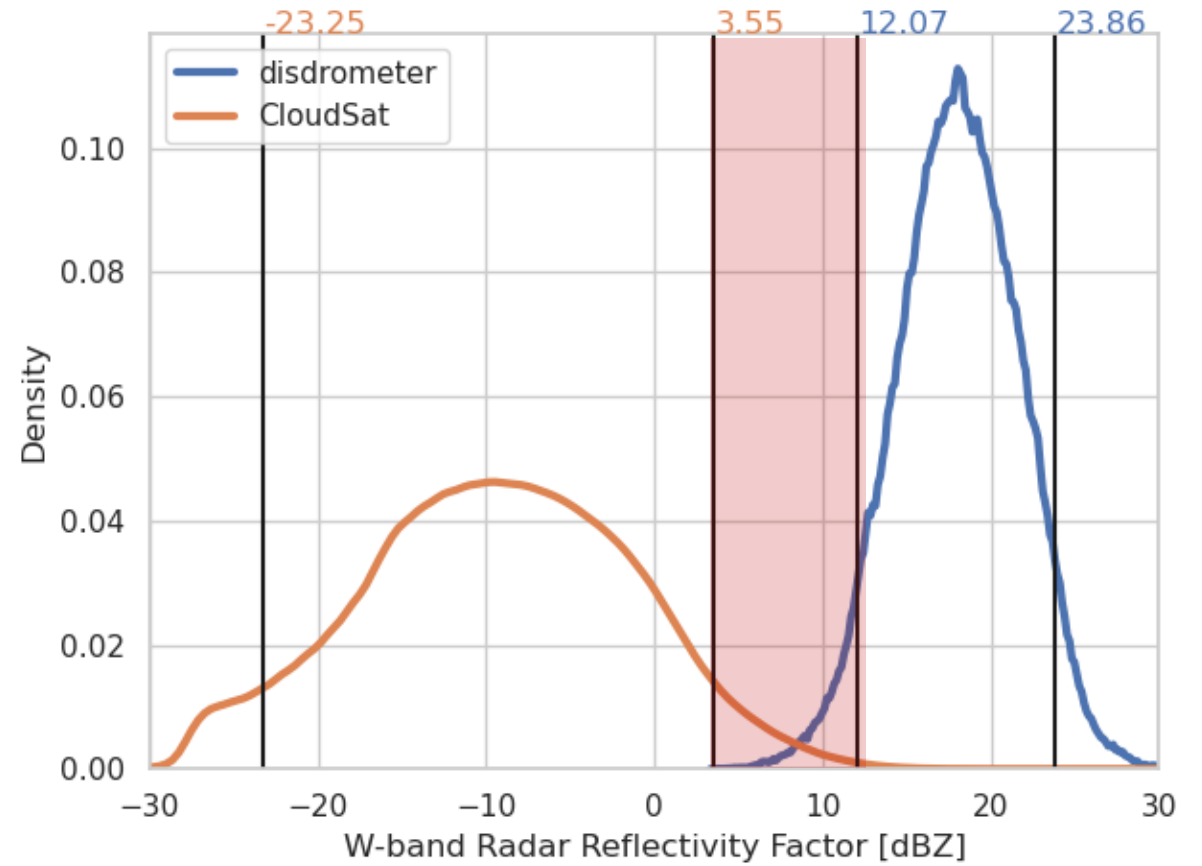
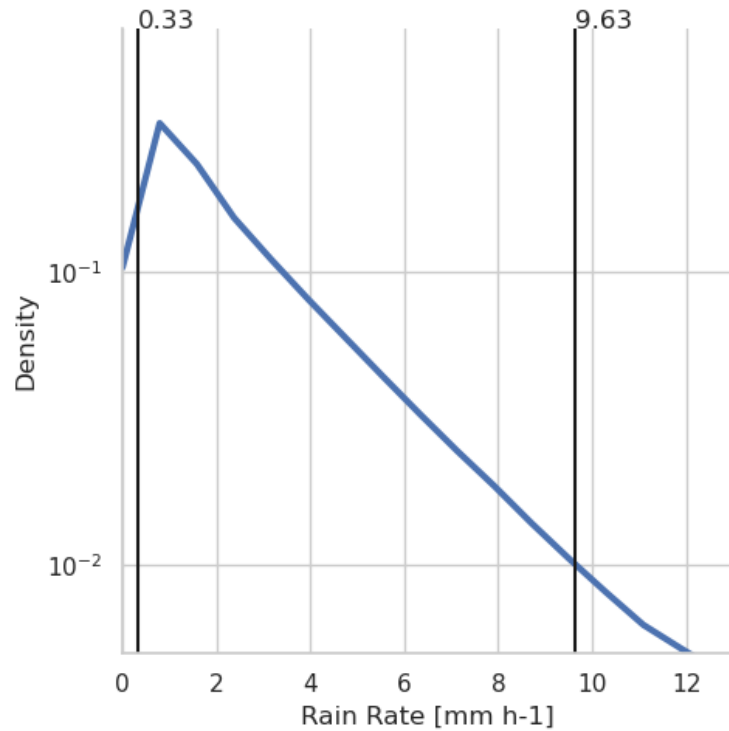


- Underestimate for large raindrop sizes
- Insufficient number of the validation points for large

# Validation needed: rain module



PDF of disdrometer data



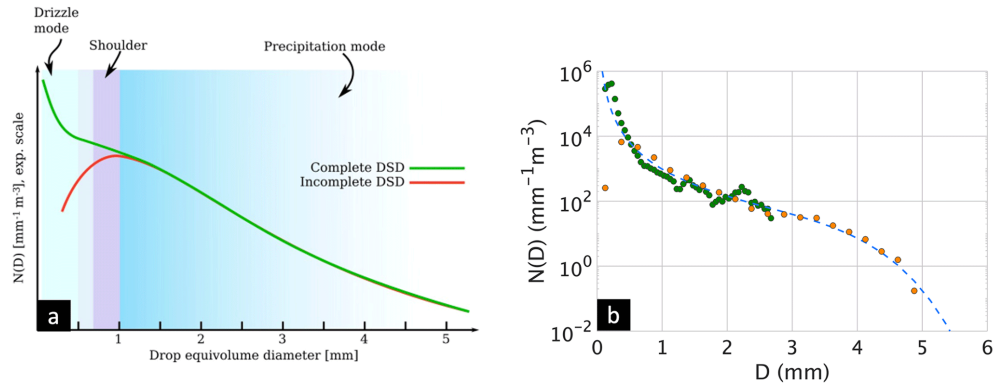
Only **0.2%** of CloudSat data in rain exceeds **12dBZ**



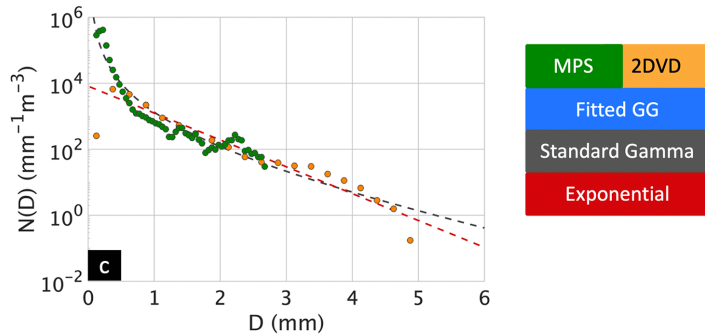
# Validation needed: rain module



## Disdrometer + cloud probe

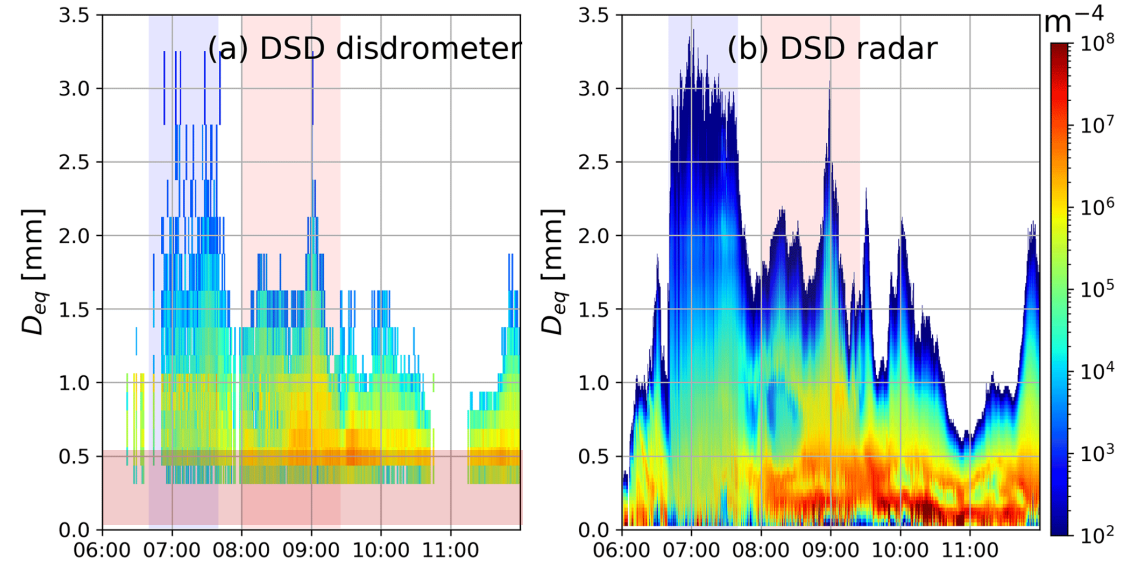


Bringi et al. (2020)



- Long integration time
- Small sampling volume
- Direct DSD measurements

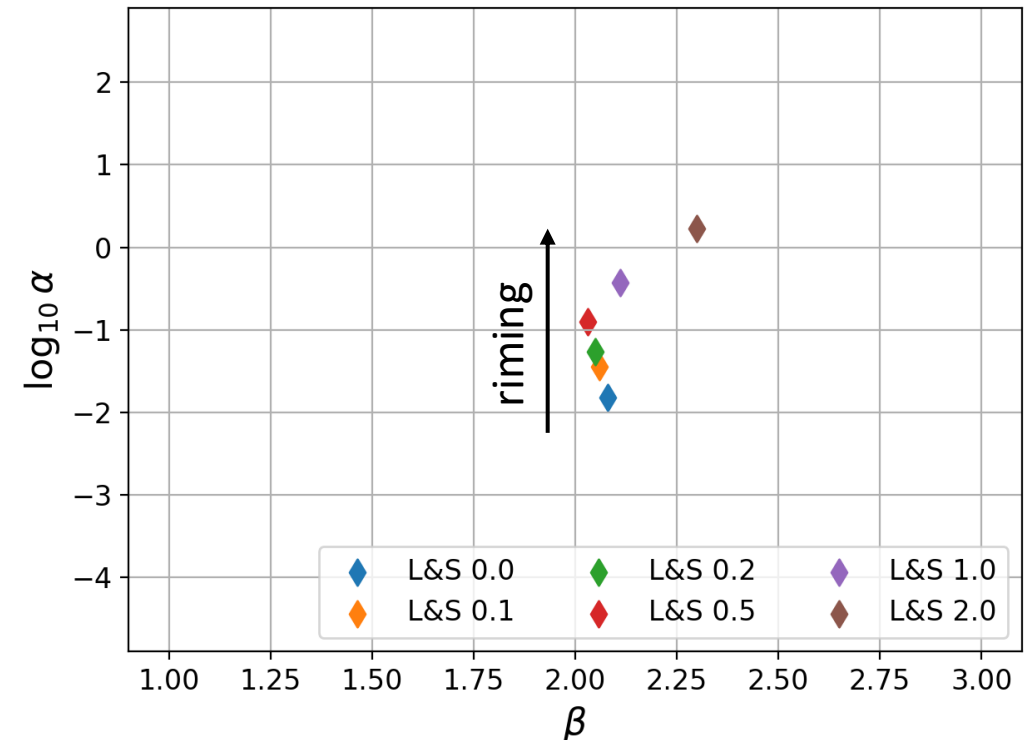
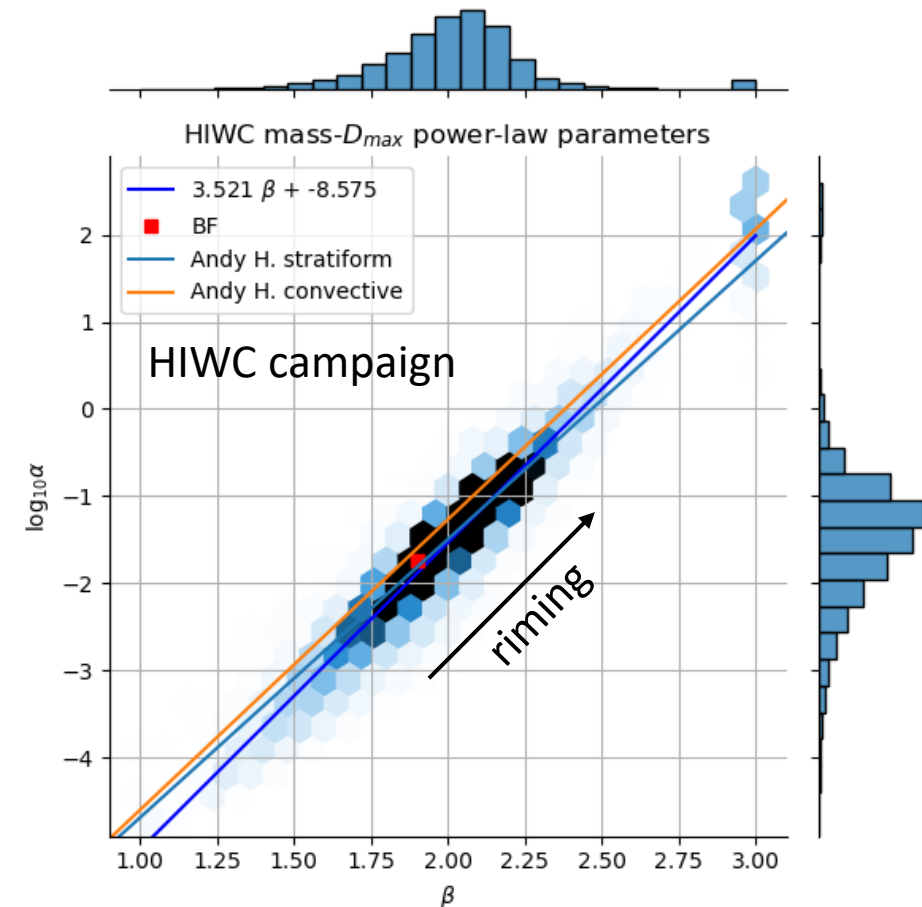
## Vertically pointing radar



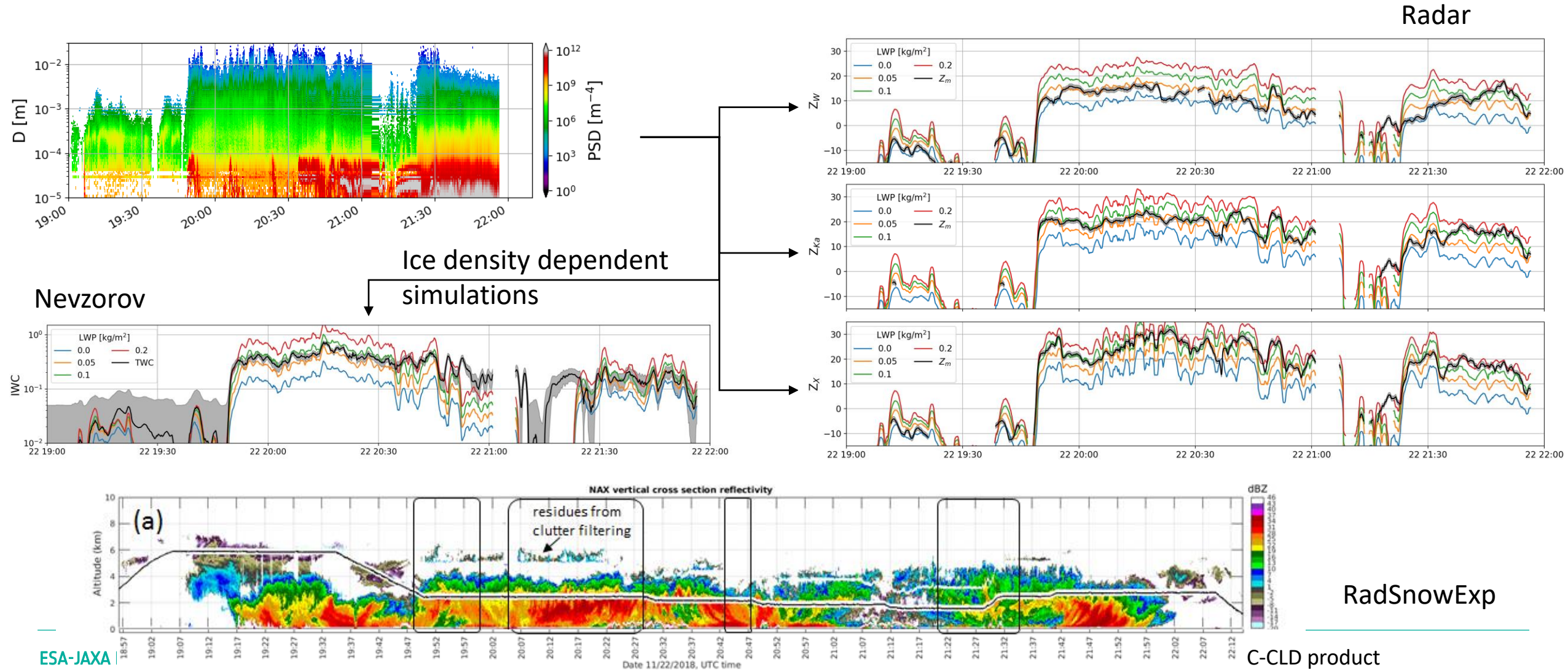
- Short integration time
- Large sampling volume
- Indirect DSD estimate:
  - Vertical wind estimate is crucial for accuracy – W or G band system needed (Kollias et al. 2002)
  - Lidar system needed to estimate #droplets below 200um

## Mass-size relationship: $m \text{ [kg]} = \alpha D \text{ [m]}^\beta$

Simulations of aggregates



# Validation needed: snow/ice module



- C-CLD is a precipitation retrieval based on the OE framework
- Information content of EC CPR measurements varies depending on hydrometeor size and type:
  - reflectivity is more informative for ice and snow
  - mean Doppler velocity more useful in rain
- Calibration and validation activities need to focus on weather conditions not included in GEM simulations:
  - Large particle sizes
  - Warm rain conditions
- C-CLD algorithm was applied to stratiform precipitation only; we can test it in convective profiles
- More studies on liquid cloud detection needed
- Explore potential for horizontal continuity, i.e., diverge from a profile-to-profile approach