Page-1

CSQ-3 Summary

Question	Knowledge Advancement	Observables	Measurement	Tools & Models	Policies /
	Objectives		Requirement		Benefits
How has the ocean carbon cycle responded to anthropogenic CO2 and climate change?	A) Can space-based measurements track changes in ocean uptake and removal of CO ₂ associated with changes in atmospheric CO ₂ concentration, sea surface temperature, ocean transport and biological productivity at 1°x1° resolution over the globe.	 Precise/accurate estimates of near- surface atmospheric CO₂ and its spatial and temporal gradients Sea surface temperature (SST) and salinity Surface vector winds Ocean colour 	 Precise/accurate (0.1 ppm) CO₂ and O₂ from high-spectral-resolution spectroscopy and LiDAR Ocean colour SST, salinity and wind speed at 1°x1° 	 Atmospheric GHG retrieval algorithms Atmospheric flux inverse models Global ocean biogeochemical models (GOBMs) Enhanced Cal/val 	CC mitigation and adaptation policy
	B) How is the Southern Ocean CO ₂ sink responding to climate perturbations and long-term climate change.	 Precise/accurate estimates of near- surface atmospheric CO₂ and its spatial changes throughout the seasonal cycle SST Surface vector winds 	 Precise/accurate (0.5 ppm) CO₂ and O₂ from high-spectral-resolution spectroscopy and LiDAR SST, salinity & wind at 1°x1° 	 Atmospheric GHG retrieval algorithms Atmospheric assimilation systems GOBMs Coordination with surface in situ data 	
	C) What is the impact of human activities and climate change on coastal processes that regulate the carbon sink, including river runoff, upwelling and biological productivity?	 XCO₂ and its spatial and temporal gradients near coastlines SST and salinity Surface vector winds Ocean colour 	 Precise/accurate (< 0.1 ppm) imaging spectroscopy of XCO₂ at < 1km resolution High spatial resolution SST, salinity and ocean colour 	In situ reference systems Enhanced techniques for integrating data sources	

CSQ-3 Narrative

The ocean carbon cycle is driven by interactions with CO_2 in the atmosphere, ocean dynamics and ocean biology. At the surface, CO_2 absorption is governed by Henry's Law (i.e., the amount of dissolved gas in a liquid is proportional to its partial pressure above the liquid, pCO_2). However, ocean dynamics continually transports anthropogenic carbon away from the surface into the interior and refreshes the surface with lower pCO_2 water. Some of the carbon transported to depth is remineralized and precipitates out of solution into a long-term sink. Biological processes within the ocean act to increase natural carbon with depth. All of these processes are now being affected by rapidly-increasing atmosphere CO_2 concentrations and the resulting changes in climate.

Over the industrial age, the amount of CO_2 absorbed by the ocean has increased in proportion to the increasing atmospheric CO_2 partial pressure, such that the ocean sink has continued to absorb about 25% of all anthropogenic emissions. While this has substantially reduced the atmospheric CO_2 growth rate and resulting climate change, this carbon absorption has contributed directly to ocean acidification. Other impacts are more difficult to assess because the spatial sampling of the ocean carbon measurement system is very sparce. Existing ship-based *in situ* measurements are accurate, but cover less than 1% of the 1°x1° grid boxes across the ocean on decadal time scales, providing far too little resolution or coverage to track transient events or the effects of climate change. These shipbased measurements are now being augmented by *in situ* carbon measurements collected by autonomous platforms, but these data have much lower accuracy than the ship-based measurements.

Ocean carbon observations with much greater coverage, resolution and repeat frequency are needed to monitor changes in the ocean sink expected in response to human activities and climate change. The ocean sink is expected to respond quickly to reductions in anthropogenic emission intensity. The Southern Ocean, a major component of the ocean carbon sink, is currently poorly constrained by observations and is expected to evolve in response to climate change. If not carefully monitored and understood, the changes in the ocean sink could partially mask the effectiveness of the emissions reductions efforts and potentially undermining their continuity and expansion.

In principal, global, space-based measurements of atmospheric CO₂ could dramatically improve the spatial resolution and coverage provided by the *in situ* data. Unfortunately, existing space-based measurements do not have the precision and accuracy needed to resolve the subtle (< 0.1 ppm) CO₂ concentration gradients associated with the weak, spatially-extensive ocean sources and sinks.

Observations needed to track changes in the ocean carbon sink

Improved and sustained, global, space-based observations and models of the ocean carbon cycle are critically needed to enhance the scientific utility of these data and to support carbon management strategies. Space-based estimates of XCO₂ could provide the data needed to upscale carbon fluxes inferred from the sparse *in situ* measurements collected by surface ships and autonomous platforms, but substantial (factor of 5) improvements in their precision and accuracy are needed for this application.

Fortunately, to monitor these processes over the open ocean, these observations do not need high spatial resolution. Space-based measurements could revolutionize our understanding of the ocean CO_2 sink if they could yield precisions and accuracies of 0.1 to 0.2 ppm on spatial scales of $1^{\circ}x1^{\circ}$ at monthly time scales. The largest challenge will be to deliver observations with this resolution and coverage over the polar oceans during the winter, when there is little sunlight and the regions are

persistently cloudy. Active CO_2/O_2 lidars might be needed to address this need. These advances are achievable, but are not currently being targeted by any space agency.

Monitoring changes in the ocean sink associated with coastal processes (e.g., river runoff, upwelling, biological productivity) poses different challenges. Here, much higher high spatial and temporal resolution are needed to resolve the underlying biogeochemical and transport processes associated with the coastline. However, somewhat less precision and accuracy may be needed to resolve the atmospheric CO₂ signals associated with the coastal processes. Space-based CO₂ monitoring systems currently being developed to monitor anthropogenic and land biospheric processes may therefore provide the precision, accuracy, resolution and coverage needed for this application.

Sustained and improved space-based observations of weather and climate variables (ocean surface winds, ocean topography, and sea surface temperatures, salinity, ocean color and ice cover) are also needed to better constrain the relative roles of surface winds and ocean dynamics on CO₂ fluxes as these critical ocean processes continue to evolve in response to anthropogenic emissions and climate change.

References

- Ballantyne, A. P., Alden, C. B., Miller, J. B., Tans, P. P. and White, J. W. C. (2012). Increase in observed net carbon dioxide uptake by land and oceans during the past 50 years. *Nature*, **488**, 70–72. doi:10.1038/nature11299
- Bennedsen, M., Hildebrand, E. and Koopman, S. (2019). Trend analysis of the airborne fraction and sink rate of anthropogenically released CO₂. *Biogeosciences*, **16**, 3651–3663. doi:10.5194/bg-16-3651-2019
- Berninger, A., Lohberger, S., Stängel, and Siegert, F., (2018). SAR-Based Estimation of Above-Ground Biomass and Its Changes in Tropical Forests of Kalimantan Using L- and C-Band. *Remote Sensing*, 10, 831. doi: 10.3390/rs10060831
- Byrne, B., Baker, D. F., Basu, S., Bertolacci, M., Bowman, K. W., Carroll, D., Chatterjee, A., Chevallier, F., Ciais, P., Cressie, N., Crisp, D., Crowell, S., Deng, F., Deng, Z., Deutscher, N. M., Dubey, M. K., Feng, S., García, O. E., Griffith, D. W. T., Herkommer, B., Hu, L., Jacobson, A. R., Janardanan, R., Jeong, S., Johnson, M. S., Jones, D. B. A., Kivi, R., Liu, J., Liu, Z., Maksyutov, S., Miller, J. B., Miller, S. M., Morino, I., Notholt, J., Oda, T., O'Dell, C. W., Oh, Y.-S., Ohyama, H., Patra, P. K., Peiro, H., Petri, C., Philip, S., Pollard, D. F., Poulter, B., Remaud, M., Schuh, A., Sha, M. K., Shiomi, K., Strong, K., Sweeney, C., Té, Y., Tian, H., Velazco, V. A., Vrekoussis, M., Warneke, T., Worden, J. R., Wunch, D., Yao, Y., Yun, J., Zammit-Mangion, A., and Zeng, N. (2023). National CO2 budgets (2015–2020) inferred from atmospheric CO₂ observations in support of the global stocktake, *Earth Syst. Sci. Data*, **15**, 963–1004, doi: 10.5194/essd-15-963-2023
- Chevallier, F. (2021). Fluxes of carbon dioxide from managed ecosystems estimated by national inventories compared to atmospheric inverse modeling. *Geophysical Research Letters*, e2021GL093565. doi: 10.1029/2021GL093565
- Crisp, D., Dolman, H., Tanhua, T., McKinley, G. A., Hauck, J., Bastos, A., Sitch, S., Eggleston, S., and Aich, V. (2022). How well do we understand the land-ocean-atmosphere carbon cycle? *Reviews of Geophysics*, **60**, e2021RG000736. doi: 10.1029/2021RG000736

- Duncanson, L., Kelliner, J. R., Armston, J., et al. (2022). Aboveground biomass density models for NASA's Global Ecosystem Dynamics Investigation (GEDI) lidar mission. *Remote Sensing of Environment*, **270**, 112845. doi: 10.1016/j.rse.2021.112845
- Fawcett, D., Sitch, S., Ciais, P., Wigneron, J.-P., Silva-Junior, C. H. L., Heinrich, V., Vancutsem, C., Achard, F., Bastos, A., Yang, H., Li, X., Albergel, C., Friedlingstein, P., and Aragão, L. E. O. C. (2022). Declining Amazon biomass due to deforestation and subsequent degradation losses exceeding gains. Global Change Biology, 29, 1106-1118. doi: 10.1111/gcb.16513
- Frey, M., Sha, M. K., Hase, F., Kiel, M., Blumenstock, T., Harig, R., Surawicz, G., Deutscher, N. M., Shiomi, K., Franklin, J. E., Bösch, H., Chen, J., Grutter, M., Ohyama, H., Sun, Y., Butz, A., Mengistu Tsidu, G., Ene, D., Wunch, D., Cao, Z., Garcia, O., Ramonet, M., Vogel, F. and Orphal, J. (2019). Building the COllaborative Carbon Column Observing Network (COCCON): long-term stability and ensemble performance of the EM27/SUN Fourier transform spectrometer. *Atmospheric Measurement Techniques*, **12**, 1513–1530. doi: 10.5194/amt-12-1513-2019
- Friedlingstein, P., Jones, M. W., O'Sullivan, M., Andrew, R. M.,Bakker, D. C. E., Hauck, J., Le Quéré, C., Peters, G. P., Peters, W., Pongratz, J., Sitch, S., Canadell, J. G., Ciais, P., Jackson, 100 R. B., Alin, S. R., Anthoni, P., Bates, N. R., Becker, M., Bellouin, N., Bopp, L., Chau, T. T. T., Chevallier, F., Chini, L. P., Cronin, M., Currie, K. I., Decharme, B., Djeutchouang, L. M., Dou, X., Evans, W., Feely, R. A., Feng, L., Gasser, T., Gilfillan, D., Gkritzalis, T., Grassi, G., Gregor, L., Gruber, N., Gürses, 105 Ö., Harris, I., Houghton, R. A., Hurtt, G. C., Iida, Y., Ilyina, T., Luijkx, I. T., Jain, A., Jones, S. D., Kato, E., Kennedy, D., Klein Goldewijk, K., Knauer, J., Korsbakken, J. I., Körtzinger, A., Landschützer, P., Lauvset, S. K., Lefèvre, N., Lienert, S., Liu, J., Marland, G., McGuire, P. C., Melton, J. R., Munro, D. 110 R., Nabel, J. E. M. S., Nakaoka, S.-I., Niwa, Y., Ono, T., Pierrot, D., Poulter, B., Rehder, G., Resplandy, L., Robertson, E., Rödenbeck, C., Rosan, T. M., Schwinger, J., Schwingshackl, C., Séférian, R., Sutton, A. J., Sweeney, C., Tanhua, T., Tans, P. P., Tian, H., Tilbrook, B., Tubiello, F., van der Werf, G. R., 115 Vuichard, N., Wada, C., Wanninkhof, R., Watson, A. J., Willis, D., Wiltshire, A. J., Yuan, W., Yue, C., Yue, X., Zaehle, S., and Zeng, J.: Global Carbon Budget 2021, *Earth Syst. Sci. Data*, 14, 1917–2005, https://doi.org/10.5194/essd-14-1917-2022, 2022
- IEA (2020), *Methane Tracker 2020*, IEA, Paris https://www.iea.org/reports/methane-tracker-2020, License: CC BY 4.0
- IPCC 2021: Climate Change 2021: The Physical Science Basis. Contribution of Working Group I to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [Masson-Delmotte, V., P. Zhai, A. Pirani, S.L. Connors, C. Péan, S. Berger, N. Caud, Y. Chen, L. Goldfarb, M.I. Gomis, M. Huang, K. Leitzell, E. Lonnoy, J.B.R. Matthews, T.K. Maycock, T. Waterfield, O. Yelekçi, R. Yu, and B. Zhou (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 2391 pp. doi:10.1017/9781009157896
- Karion, A., Sweeney, C., Tans, P. P. and Newberger, T. (2010). AirCore: An innovative atmospheric sampling system. *Journal of Atmospheric and Oceanic Technology*, **27**, 1839–1853. doi:10.1175/2010JTECHA1448.1
- Kiel, M., O'Dell, C. W., Fisher, B., Eldering, A., Nassar, R., MacDonald, C. G. and Wennberg, P. O. (2019). How bias correction goes wrong: measurement of XCO₂ affected by erroneous surface pressure estimates. Atmospheric Measurement Techniques, 12, 2241-2259. doi: 10.5194/amt-12-2241-2019
- Labrière, N., Davies, S. J., Disney, M. I., Duncnason, L. I., Herold, M., Lewis, S. L., Phillips, O. L., Quegan, S., Saatchi, S., S., Schepaschenko, D. G., Scipal, K., Sist, P., and Chave, J. (2022). Toward

a forest biomass reference measurement system for remote sensing applications. *Global Change Biology*, **2**

- Liu, Y. Y., Van Dijk, A. I., De Jeu, R. A., Canadell, J. G., McCabe, M. F., Evans, J. P., and Wang, G. (2015). Recent reversal in loss of global terrestrial biomass, *Nature Climate Change*, 5, 470– 474. doi: 10.1038/nclimate2581
- Müller, A., Tanimoto, H., Sugita, T., Machida, T., Nakaoka, S., Patra, P. K., Laughner, J., and Crisp, D. (2021). New approach to evaluate satellite-derived XCO₂ over oceans by integrating ship and aircraft observations, *Atmospheric Chemistry and Physics*, **21**, 8255–8271. doi: 10.5194/acp-21-8255-2021.
- O'Dell, C. W., Eldering, A., Wennberg, P. O., Crisp, D., Gunson, M. R., Fisher, B., Frankenberg, C., Kiel, M., Lindqvist, H., Mandrake, L., Merrelli, A., Natraj, V., Nelson, R. R., Osterman, G. B., Payne, V. H., Taylor, T. E., Wunch, D., Drouin, B. J., Oyafuso, F., Chang, A., McDuffie, J., Smyth, M., Baker, D. F., Basu, S., Chevallier, F., Crowell, S. M. R., Feng, L., Palmer, P. I., Dubey, M., García, O. E., Griffith, D. W. T., Hase, F., Iraci, L. T., Kivi, R., Morino, I., Notholt, J., Ohyama, H., Petri, C., Roehl, C. M., Sha, M. K., Strong, K., Sussmann, R., Te, Y., Uchino, O. and Velazco, V. A. (2018). Improved retrievals of carbon dioxide from Orbiting Carbon Observatory-2 with the version 8 ACOS algorithm, *Atmospheric Measurement Techniques*, **11**: 6539–6576. doi:10.5194/amt-11-6539-2018
- Peiro, H., Crowell, S., Schuh, A., Baker, D. F., O'Dell, C., Jacobson, A. R., Chevallier, F., Liu, J., Eldering, A., Crisp, D., Deng, F., Weir, B., Basu, S., Johnson, M. S., Philip, S., and Baker, I. (2022). Four years of global carbon cycle observed from the Orbiting Carbon Observatory 2 (OCO-2) version 9 and in situ data and comparison to OCO-2 version 7, *Atmos. Chem. Phys.*, 22, 1097–1130, doi: 10.5194/acp-22-1097-2022
- Powell, S. L., Cohen, W. B., Healey, S. P., Kennedy, R. E., Moisen, G. G., Pierce, K. B., Ohmann, J. L. (2010): Quantification of live aboveground forest biomass dynamics with Landsat time-series and field inventory data: a comparison of empirical modeling approaches. *Remote Sens. Environ.* 114, 1053–1068. doi: 10.1016/j.rse.2009.12.018
- Saatchi, S. S., Harris, N. L., Brown, S., Lefsky, M., Mitchard, E. T. A., Salas, W., Zutta, B. R., Buermann, W., Lewis, S. L., Hagen, S., Petrova, S., White, L., Silman, M. and Morel, A. (2011). Benchmark map of forest carbon stocks in tropical regions across three continents. *Proceedings of the National Academy of Sciences*, **108**, 9899-9904. doi: 10.1073/pnas.1019576108
- Saunois, M., Stavert, A. R., Poulter, B., Bousquet, P., Canadell, J. G., Jackson, 1 R. B., Raymond, P. A., Dlugokencky, E. J., Houweling, S., Patra, P. K., Ciais, P., Arora, V. K., Bastviken, D., Bergamaschi, P., Blake, D. R., Brailsford, G., Bruhwiler, L., Carlson, K. M., Carrol, M., Castaldi, S., Chandra, N., Crevoisier, C., Crill, P. M., Covey, K., Curry, C. L., Etiope, G., Frankenberg, C., Gedney, N., Hegglin, M. I., Hoglund-Isaksson, L., Hugelius, G., Ishizawa, M., Ito, A., Janssens-Maenhout, G., Jensen, K. M., Joos, F., Kleinen, T., Krummel, P. B., Langenfelds, R. L., Laruelle, G. G., Liu, L., Machida, T., Maksyutov, S., McDonald, K. C., McNorton, J., Miller, P. A., Melton, J. R., Morino, I., Muller, J., Murguia-Flores, F., Naik, V., Niwa, Y., Noce, S., O'Doherty, S., Parker, R. J., Peng, C., Peng, S., Peters, G. P., Prigent, C., Prinn, R., Ramonet, M., Regnier, P., Riley, W. J., Rosentreter, J. A., Segers, A., Simpson, I. J., Shi, H., Smith, S. J., Steele, L. P., Thornton, B. F., Tian, H., Tohjima, Y., Tubiello, F. N., Tsuruta, A., Viovy, N., Voulgarakis, A., Weber, T. S., van Weele, M., van der Werf, G. R., Weiss, R. F., Worthy, D., Wunch, D., Yin, Y., Yoshida, Y., Zhang, W., Zhang, Z., Zhao, Y., Zheng, B., Zhu, Q., Zhu, Q., and Zhuang, Q.: The Global Methane Budget 2000–2017, *Earth Syst. Sci. Data*, **12**, 1561–1623, https://doi.org/10.5194/essd-12-1561-2020, 2020.

- Urbazaev, M., Thiel, C., Cremer, F., Dubayah, R., Migliavacca, M., Reichstein, M., and Schmullius, C. (2018). Estimation of forest aboveground biomass and uncertainties by integration of field measurements, airborne LiDAR, and SAR and optical satellite data in Mexico. *Carbon Balance and Management*, **13**, 5. doi: 10.1186/s13021-018-0093-5
- Worden, J. R., Cusworth, D. H., Qu, Z., Yin, Y., Zhang, Y., Bloom, A. A., Ma, S., Byrne, B., Scarpelli, T., Maasakkers, J. D., Crisp, D., Duren, R., and Jacob, D. J. (2022). The 2019 methane budget and uncertainties at 1° resolution and each country through Bayesian integration of GOSAT total column methane data and a priori inventory estimates. *Atmos. Chem. Phys.*, **22**, 6811-6841. doi: 10.5194/acp-22-6811-2022
- Wunch, D., Wennberg, P. O., Osterman, G., Fisher, B., Naylor, B., Roehl, C. M., O'Dell, C., Mandrake, L., Viatte, C., Griffith, D. W., Deutscher, N. M., Velazco, V. A., Notholt, J., Warneke, T., Petri, C., De Maziere, M., Sha, M. K., Sussmann, R., Rettinger, M., Pollard, D., Robinson, J., Morino, I., Uchino, O., Hase, F., Blumenstock, T., Kiel, M., Feist, D. G., Arnold, S. G., Strong, K., Mendonca, J., Kivi, R., Heikkinen, P., Iraci, L., Podolske, J., Hillyard, P. W., Kawakami, S., Dubey, M. K., Parker, H. A., Sepulveda, E., Rodriguez, O. E. G., Te, Y., Jeseck, P., Gunson, M. R., Crisp, D. and Eldering, A. (2017). Comparisons of the Orbiting Carbon Observatory-2 (OCO-2) XCO₂ measurements with TCCON, *Atmospheric Measurement Tech*niques, **10**, 2209–2238. doi: 10.5194/amt-10-2209-2017